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HERZFELD CARIBBEAN BASIN FUND INC

Form NSAR-B

August 29, 2017

PAGE 1
000 B000000 06/30/2017
000 C000000 0000880406
000 D000000 N
000 E000000 NF
000 F000000 Y
000 G000000 N
000 H000000 N
000 I000000 6.1
000 J000000 A
001 A000000 THE HERZFELD CARIBBEAN BASIN FUND INC.
001 B000000 811-6445
001 C000000 3052711900
002 A000000 119 WASHINGTON AVE SUITE 504
002 B000000 MIAMI BEACH
002 C000000 FL
002 D010000 33139
003 000000 N
004 000000 N
005 000000 N
006 000000 N
007 A000000 N
007 B000000 0
008 A000001 HERZFELD/CUBA
008 B000001 A
008 C000001 801-20866
008 D010001 MIAMI BEACH
008 D020001 FL
008 D030001 33139
011 A000001 THOMAS J. HERZFELD & CO., INC.
011 B000001 8-25933
011 C010001 MIAMI BEACH
011 C020001 FL
011 C030001 33139
012 A000001 STATE STREET BANK AND TRUST COMPANY
012 B000001 84-0000
012 C010001 BOSTON
012 C020001 MA
012 C030001 02116
013 A000001 TAIT, WELLER & BAKER LLP
013 B010001 PHILADELPHIA
013 B020001 PA
013 B030001 19103
015 A000001 STATE STREET BANK AND TRUST COMPANY
015 B000001 C
015 C010001 BOSTON
015 C020001 MA
015 C030001 02116
015 E010001 X
018 000000 Y
019 A000000 N
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PAGE 2
020 A000001 CHARLES SCHWAB
020 B000001 94-1737782
020 C000001 0
020 A000002 GOLDMAN SACHS
020 B000002 13-5108880
020 C000002 0

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020 A000003 BLOOMBERG TRADEBOOK
020 B000003 13-3880286
020 C000003 5
021 000000 5
023 C000000 0
023 D000000 0
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026 A000000 N
026 B000000 N
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026 D000000 Y
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PAGE 3
058 A000000 N
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062 A000000 N
066 A000000 Y
066 B000000 N
066 C000000 Y
066 D000000 N
066 E000000 N
066 F000000 N
066 G000000 N
067 000000 N
068 A000000 N
068 B000000 N

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| 070 | M010000 | Y | |
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| 070 | P020000 | N | |
| 070 | Q010000 | N | |
| 070 | Q020000 | N | |
| | PAGE | 4 | |
| 070 | R010000 | N | |
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| 072 | M000000 | | 121 |
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| 072 | P000000 | | 0 |
| 072 | Q000000 | | 0 |
| 072 | R000000 | | 74 |
| 072 | S000000 | | 393 |
| 072 | T000000 | | 0 |

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| 072 U000000 | 0 |
| 072 V000000 | 14 |
| 072 W000000 | 95 |
| 072 X000000 | 1537 |
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| 072AA000000 | 0 |
| 072BB000000 | 975 |
| 072CC010000 | 14034 |
| 072CC020000 | 0 |
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| 072DD020000 | 0 |
| 072EE000000 | 0 |
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| 073 A020000 | 0.0000 |
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| 073 C000000 | 0.0000 |
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| 074 C000000 | 0 |
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| 074 E000000 | 0 |
| 074 F000000 | 49061 |
| 074 G000000 | 0 |
| 074 H000000 | 0 |
| PAGE 5 | |
| 074 I000000 | 0 |
| 074 J000000 | 0 |
| 074 K000000 | 0 |
| 074 L000000 | 55 |
| 074 M000000 | 134 |
| 074 N000000 | 52603 |
| 074 O000000 | 0 |
| 074 P000000 | 187 |
| 074 Q000000 | 0 |
| 074 R010000 | 0 |
| 074 R020000 | 0 |
| 074 R030000 | 0 |
| 074 R040000 | 1369 |
| 074 S000000 | 0 |
| 074 T000000 | 51047 |
| 074 U010000 | 6134 |
| 074 U020000 | 0 |
| 074 V010000 | 8.32 |
| 074 V020000 | 0.00 |
| 074 W000000 | 0.0000 |
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| 074 Y000000 | 0 |
| 075 B000000 | 45783 |
| 076 000000 | 7.20 |
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| 077 B000000 | Y |
| 077 C000000 | N |
| 077 D000000 | N |
| 077 E000000 | N |
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| 077 G000000 | N |
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| 077 I000000 | N |
| 077 J000000 | N |
| 077 K000000 | N |
| 077 L000000 | N |

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077 M000000 N
077 N000000 N
077 O000000 N
077 P000000 N
077 Q010000 N
077 Q020000 N
077 Q030000 N
078 000000 N
080 A000000 ICI MUTUAL INSURANCE CO
080 C000000 350
081 A000000 Y
081 B000000 2
082 A000000 Y
082 B000000 10
083 A000000 N
PAGE 6
084 A000000 N
085 A000000 Y
085 B000000 N
086 A010000 0
086 A020000 0
086 B010000 0
086 B020000 0
086 C010000 0
086 C020000 0
086 D010000 0
086 D020000 0
086 E010000 0
086 E020000 0
086 F010000 0
086 F020000 0
087 A010000 COMMON STOCK
087 A020000 42804T105
087 A030000 CUBA
088 A030000 N
088 B030000 N
088 C030000 N
088 D030000 N
SIGNATURE REANNA J. M. LEE
TITLE SECRETARY/TREASURER