

Edgar Filing: JOHNSON CONTROLS INC - Form 4

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned
(e.g., puts, calls, warrants, options, convertible securities)

| 1. Title of Derivative Security (Instr. 3) | 2. Conversion or Exercise Price of Derivative Security | 3. Transaction Date (Month/Day/Year) | 3A. Deemed Execution Date, if any (Month/Day/Year) | 4. Transaction Code (Instr. 8) | 5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5) | 6. Date Exercisable and Expiration Date (Month/Day/Year) | 7. Title and Amount of Underlying Securities (Instr. 3 and 4) | | |
|---|--|--------------------------------------|--|--------------------------------|---|--|---|--------------|----------------------------|
| | | | | Code | V (A) (D) | Date Exercisable | Expiration Date | Title | Amount or Number of Shares |
| Phantom Stock Units - Excess Benefit Plan | (3) | | | | | (4) | (4) | Common Stock | 398,498 |
| Phantom Stock Units - Annual Incentive Plan | (3) | | | | | (5) | (5) | Common Stock | 3,959.45 |
| Phantom Stock Units - Restricted Stock Plan | (6) | | | | | (6) | (6) | Common Stock | 466.407 |
| Stock Option | \$ 52.55 | | | | | 11/19/2005(7) | 11/19/2013 | Common Stock | 20,000 |
| Stock Option | \$ 61.69 | | | | | 11/17/2006(7) | 11/17/2014 | Common Stock | 30,000 |
| Stock Option | \$ 67.685 | | | | | 11/16/2007(7) | 11/16/2015 | Common Stock | 50,000 |
| Stock Option | \$ 71.895 | | | | | 10/02/2008(7) | 10/02/2016 | Common Stock | 20,000 |

Reporting Owners

| Reporting Owner Name / Address | Relationships | | | |
|--------------------------------|---------------|-----------|----------------|-------|
| | Director | 10% Owner | Officer | Other |
| | | | Vice President | |

Edwards Jeffrey S
49200 HALYARD DRIVE
P.O. BOX 8010
PLYMOUTH, MI 48170

Signatures

Arlene D. Gumm, Attorney-in-Fact for Jeffrey S.
Edwards

02/06/2007

__Signature of Reporting Person

Date

Explanation of Responses:

* If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. *See* 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

(1) Includes 20,000 shares of restricted stock grants. 12,500 vest on 1/3/2008 and 7,500 vest on 1/3/2010.

(2) The number of underlying securities is based on the stock fund balance on February 5, 2007. The actual number of shares issuable upon the distribution date is not determinable since the stock fund is a unitized account consisting of 96% company stock and 4% money market fund. The stock account balance reflected in this report is based on a February 5, 2007, stock fund price of \$95.80 per share.

(3) Each share of phantom stock is the economic equivalent of one share of Johnson Controls common stock. Shares of phantom stock are payable in cash following the reporting person's termination of employment with the company and may be transferred by the reporting person into an alternative investment account at any time.

(4) The phantom stock units were accrued under the Johnson Controls Equalization 401(k) Benefit Plan and are to be settled 100% in cash upon the reporting person's retirement or other termination of service with the company.

(5) The phantom stock units were accrued under the Johnson Controls Annual Incentive Compensation Plan and are to be settled 100% in cash upon the reporting person's retirement or other termination of service with the company.

(6) Each share of phantom stock is the economic equivalent of one share of Johnson Controls common stock. The restricted stock units were accrued under the Johnson Controls Restricted Stock Plan. The units represent the reinvestment of dividends and are to be settled 100% in cash upon the vesting of the reporting person's Restricted Stock Grant.

(7) Fifty percent of the options become exercisable two years after the grant date; the remaining 50%, three years after grant date.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure.

Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.