Stereotaxis, Inc. Form 4 August 15, 2013

FORM 4

Form 5

UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

Check this box if no longer subject to Section 16. Form 4 or

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF **SECURITIES**

Number: January 31, Expires:

OMB

Estimated average burden hours per 0.5

OMB APPROVAL

3235-0287

2005

response...

obligations may continue. See Instruction 1(b).

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

(Print or Type Responses)

REAL, SUITE 1200

1. Name and Address of Reporting Person * 5. Relationship of Reporting Person(s) to 2. Issuer Name and Ticker or Trading MIDDLETON FRED A Issuer Symbol Stereotaxis, Inc. [STXS] (First) (Middle) 3. Date of Earliest Transaction (Last) (Month/Day/Year) 400 SOUTH EL CAMINO

_X__ Director 10% Owner _ Other (specify Officer (give title 08/13/2013 below)

(Street) 4. If Amendment, Date Original Filed(Month/Day/Year)

Applicable Line) _X_ Form filed by One Reporting Person Form filed by More than One Reporting

6. Individual or Joint/Group Filing(Check

(Check all applicable)

SAN MATEO, CA 94402-1708

| (City) | (State) | (Zip) Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned | | | | | | | |
|--------------------------------------|--------------------------------------|--|---|---|--------|------------|--|--|---|
| 1.Title of Security (Instr. 3) | 2. Transaction Date (Month/Day/Year) | 2A. Deemed Execution Date, if any (Month/Day/Year) | 3. Transaction Code (Instr. 8) | 4. Securitie on(A) or Disp (Instr. 3, 4 | osed o | of (D) | 5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4) | 6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4) | 7. Nature of Indirect Beneficial Ownership (Instr. 4) |
| Common Stock | 08/13/2013 | | M | 624,113 | | \$ 3.36 | 1,584,877 | I | Sanderling Venture Partners VI Co-Investment Fund, L.P. |
| Common Stock | 08/13/2013 | | F(1) | 328,475 | D | \$ 6.39 | 1,256,402 | I | Sanderling Venture Partners VI Co-Investment Fund, L.P. |
| Common Stock | 08/13/2013 | | M | 26,506 | A | \$ 3.36 | 54,623 | I | Sanderling Ventures |

| | | | | | | | | Management VI |
|-----------------|------------|------|--------|---|------------|--------|---|--|
| Common Stock | 08/13/2013 | F(2) | 13,950 | D | \$ 6.39 | 40,673 | I | Sanderling Ventures Management VI |
| Common Stock | | | | | | 88,072 | D | |
| Common Stock | | | | | | 6,358 | I | Sanderling VI Limited Partnership |
| Common Stock | | | | | | 5,335 | I | Sanderling VI Beteiligungs GmbH & Co. KG |
| Common Stock | | | | | | 1,500 | I | Sanderling Mgmt. LLC 401K Pension Profit Sharing Plan |
| Common Stock | | | | | | 53,275 | I | Sanderling IV Biomedical Co-Investment Fund, L.P. |
| Common Stock | | | | | | 11,097 | I | Sanderling V Beteiligungs GmbH & Co. KG |
| Common Stock | | | | | | 39,716 | I | Sanderling V Biomedical Co-Investment Fund, L.P. |
| Common Stock | | | | | | 11,956 | I | Sanderling V Limited Partnership |
| Common Stock | | | | | | 67,790 | I | Sanderling Venture Partners V Co-Investment Fund, L.P. |
| Common Stock | | | | | | 22,451 | I | Sanderling Venture Partners IV Co-Investment Fund |

Edgar Filing: Stereotaxis, Inc. - Form 4

| Common Stock | 82 | I | Sanderling Ventures Management V |
|-----------------|----|---|--|
| Common Stock | 79 | I | Middleton McNeil Retirement Trust |

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

SEC 1474

(9-02)

united Disposed of at Ranaficially Owned

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

| 1. Title of Derivative Security (Instr. 3) | 2. Conversion or Exercise Price of Derivative Security | 3. Transaction Date (Month/Day/Year) | 3A. Deemed Execution Date, if any (Month/Day/Year) | 4. Transactio Code (Instr. 8) | 5. Number of 6. Date Exercisable and Expiration Date Securities (Month/Day/Year) Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5) | | 7. Title and Amount of Underlying Securities (Instr. 3 and 4) | | | |
|---|---|---|---|--|---|---------|---|--------------------|-----------------|----------------------------|
| | | | | Code V | (A) | (D) | Date Exercisable | Expiration Date | Title | Amount Number Shares |
| Common Stock Warrant (right to buy) | \$ 3.36 | 08/13/2013 | | M | | 624,113 | 05/07/2012 | 05/07/2018 | Common Stock | 624,11 |
| Common Stock Warrant (right to buy) | \$ 3.36 | 08/13/2013 | | M | | 26,506 | 05/07/2012 | 05/07/2018 | Common Stock | 26,50 |

Reporting Owners

| Reporting Owner Name / Address | Relationships | | | | | | |
|--|---------------|-----------|---------|-------|--|--|--|
| reporting Owner Name / Mariess | Director | 10% Owner | Officer | Other | | | |
| MIDDLETON FRED A 400 SOUTH EL CAMINO REAL SUITE 1200 SAN MATEO, CA 94402-1708 | X | | | | | | |

Reporting Owners 3

Signatures

/s/ Karen W. Duros, Attorney-in-Fact

08/15/2013

**Signature of Reporting Person

Date

Explanation of Responses:

- * If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- On August 13, 2013, the reporting person exercised a warrant to purchase 624,113 shares of Stereotaxis, Inc. common stock for \$3.36 per (1) share. The reporting person paid the exercise price on a cashless basis, resulting in Stereotaxis, Inc. withholding 328,475 shares to pay the exercise price and issuing to the reporting person the remaining 295,638 shares.
- On August 13, 2013, the reporting person exercised a warrant to purchase 26,506 shares of Stereotaxis, Inc. common stock for \$3.36 per (2) share. The reporting person paid the exercise price on a cashless basis, resulting in Stereotaxis, Inc. withholding 13,950 shares to pay the exercise price and issuing to the reporting person the remaining 12,556 shares.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

Signatures 4