Edgar Filing: Stereotaxis, Inc. - Form 4/A

if no lo subject Section Form 4 Form 5 obligat may co	2013 M 4 UNITER this box onger to 16. for 5 for 5 for 5 filed pu Section 17	MENT OF ursuant to Se 7(a) of the Pr	Wa CHA ection ublic U	ashington NGES IN SECU 16(a) of t Utility Ho	n, D.C. 20 N BENEF: RITIES the Securit	549 ICIA ties E	L OWN xchange y Act of	OMMISSION NERSHIP OF e Act of 1934, 1935 or Sectio 0	OMB Number: Expires: Estimate burden h response	January 31, 2005 d average ours per
	•	- D *						5 Deletienskin e	f D	
	I Address of Reportin		2. Issu Symbol		nd Ticker or	Tradi	ng	5. Relationship o Issuer	f Reporting I	erson(s) to
a 3				taxis, Inc				(Che	ck all applica	ıble)
	(First) FH EL CAMINO JITE 1200	(/Day/Year)	Transaction			X Director Officer (give below)	e title 1 below)	0% Owner Other (specify
	(Street)				Date Origina	1		6. Individual or J	oint/Group F	iling(Check
ς α ΝΙ ΜΑ΄	ГЕО, CA 94402-1	(08/09/	onth/Day/Ye 2013	ar)			Applicable Line) _X_ Form filed by Form filed by		
(City)	(State)	(Zip)			.	G	•.•	Person	0 D (1	
		-					-	uired, Disposed o		-
1.Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Da any (Month/Day/	ate, if	(A) or))	5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)
Common Stock	08/07/2013			M <u>(1)</u>	29,248	A	\$ 4.1	811,520	I	Sanderling Venture Partners VI Co-Investment Fund, L.P.
Common Stock	08/07/2013			F <u>(2)</u>	11,838	D	\$ 10.13	799,682	I	Sanderling Venture Partners VI Co-Investment Fund, L.P.
Common Stock	08/07/2013			M <u>(1)</u>	149,849	А	\$ 3.36	949,531	Ι	Sanderling Venture

								Partners VI Co-Investment Fund, L.P.
Common Stock	08/07/2013	F <u>(2)</u>	49,718	D	\$ 10.13	899,813	I	Sanderling Venture Partners VI Co-Investment Fund, L.P.
Common Stock	08/07/2013	M <u>(1)</u>	75,759	A	\$ 1.98	975,572	I	Sanderling Venture Partners VI Co-Investment Fund, L.P.
Common Stock	08/07/2013	F <u>(2)</u>	14,808	D	\$ 10.13	960,764	I	Sanderling Venture Partners VI Co-Investment Fund, L.P.
Common Stock	08/07/2013	M <u>(1)</u>	675	А	\$ 4.1	4,322	I	Sanderling VI Limited Partnership
Common Stock	08/07/2013	F <u>(2)</u>	273	D	\$ 10.13	4,049	I	Sanderling VI Limited Partnership
Common Stock	08/07/2013	M <u>(1)</u>	3,455	А	\$ 3.36	7,504	Ι	Sanderling VI Limited Partnership
Common Stock	08/07/2013	F <u>(2)</u>	1,146	D	\$ 10.13	6,358	Ι	Sanderling VI Limited Partnership
Common Stock	08/07/2013	M <u>(1)</u>	566	А	\$ 4.1	3,626	I	Sanderling VI Beteiligungs GmbH & Co. KG
Common Stock	08/07/2013	F <u>(2)</u>	229	D	\$ 10.13	3,397	I	Sanderling VI Beteiligungs GmbH & Co. KG
Common Stock	08/07/2013	M <u>(1)</u>	2,900	A	\$ 3.36	6,297	I	Sanderling VI Beteiligungs GmbH & Co. KG
Common Stock	08/07/2013	F <u>(2)</u>	962	D	\$ 10.13	5,335	Ι	Sanderling VI Beteiligungs GmbH & Co.

			NO
Common Stock	88,072	D	
Common Stock	28,117	Ι	Sanderling Ventures Management VI
Common Stock	1,500	I	Sanderling Mgmt. LLC 401K Pension Profit Sharing Plan
Common Stock	53,275	Ι	Sanderling IV Biomedical Co-Investment Fund, L.P.
Common Stock	11,097	Ι	Sanderling V Beteiligungs GmbH & Co. KG
Common Stock	39,716	Ι	Sanderling V Biomedical Co-Investment Fund, L.P.
Common Stock	11,956	I	Sanderling V Limited Partnership
Common Stock	67,790	I	Sanderling Venture Partners V Co-Investment Fund, L.P.
Common Stock	22,451	I	Sanderling Venture Partners IV Co-Investment Fund
Common Stock	82	I	Sanderling Ventures Management V
Common Stock	79	Ι	Middleton McNeil Retirement Trust

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Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transactio Code (Instr. 8)	5. Number nDerivative Securities Acquired (Disposed of (Instr. 3, 4 5)	(A) or of (D)	6. Date Exercisable and Expiration Date (Month/Day/Year)		7. Title and Amount o Underlying Securities (Instr. 3 and 4)	
				Code V	(A) (I	D)	Date Exercisable	Expiration Date	Title	Amount Number Shares
Common Stock Warrant (right to buy)	\$ 4.1	08/07/2013		M <u>(1)</u>	29,	,248	05/01/2012	05/01/2017	Common Stock	29,24
Common Stock Warrant (right to buy)	\$ 3.36	08/07/2013		M <u>(1)</u>	149	9,849	05/07/2012	05/07/2017	Common Stock	149,84
Common Stock Warrant (right to buy)	\$ 1.98	08/07/2013		M <u>(1)</u>	75,	,759	03/29/2013	03/29/2018	Common Stock	75,75
Common Stock Warrant (right to buy)	\$ 4.1	08/07/2013		M <u>(1)</u>	6	75	05/01/2012	05/01/2017	Common Stock	675
Common Stock Warrant (right to buy)	\$ 3.36	08/07/2013		M <u>(1)</u>	3,4	455	05/07/2012	05/07/2017	Common Stock	3,455
Common Stock Warrant (right to buy)	\$ 4.1	08/07/2013		M <u>(1)</u>	5	66	05/01/2012	05/01/2017	Common Stock	566

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Common Stock Warrant \$ 3.36 08/07/2013 M ⁽¹⁾ 2,900 05/07/2012 05/07/2017 Comm (right to buy) Stock Stock	·) un
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Reporting Owners

Reporting Owner Name / Address

Relationships

Director 10% Owner Officer Other

MIDDLETON FRED A 400 SOUTH EL CAMINO REAL **SUITE 1200** SAN MATEO, CA 94402-1708

Signatures

/s/ Karen W. Duros, Attorney-in-Fact

08/12/2013

Date

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Signature of Reporting Person **Explanation of Responses:

- * If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- Corrects the transaction code included in the original Form 4, which should have been "M" ("Exercise or conversion of derivative security (1)exempted pursuant to Rule 16b-3").
- Corrects the transaction code included in the original Form 4, which should have been "F" ("Payment of exercise price or tax liability by (2)delivering or holding securities incident to receipt, exercise or vesting of a security issued in accordance with Rule 16b-3").

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.