Stereotaxis, Inc. Form 4/A August 12, 2013

# FORM 4

# **OMB APPROVAL**

### UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

OMB 3235-0287 Number:

Check this box if no longer subject to Section 16.

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF

January 31, Expires: 2005 Estimated average

Form 4 or Form 5 obligations may continue.

**SECURITIES** 

burden hours per response... 0.5

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940 See Instruction 1(b).

(Print or Type Responses)

1. Name and Address of Reporting Person * MIDDLETON FRED A			2. Issuer Name <b>and</b> Ticker or Trading Symbol Stereotaxis, Inc. [STXS]	5. Relationship of Reporting Person(s) to Issuer  (Check all applicable)			
(Last) 400 SOUTH E. REAL, SUITE		(Middle)	3. Date of Earliest Transaction (Month/Day/Year) 08/07/2013	X Director 10% Owner Officer (give title below) Other (specify below)			
(Street) SAN MATEO, CA 94402-1708			4. If Amendment, Date Original Filed(Month/Day/Year) 08/09/2013	6. Individual or Joint/Group Filing(Check Applicable Line) _X_ Form filed by One Reporting Person Form filed by More than One Reporting Person			

(City)	(State)	(Zip) Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned								
1.Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	Execution Date, if Transactionor Iny Code (In Month/Day/Year) (Instr. 8)				5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)	
Common Stock	08/07/2013		M(1)	Amount 29,248	(D)	\$ 4.1	811,520	I	Sanderling Venture Partners VI Co-Investment Fund, L.P.	
Common Stock	08/07/2013		F(2)	11,838	D	\$ 10.13	799,682	I	Sanderling Venture Partners VI Co-Investment Fund, L.P.	
Common Stock	08/07/2013		M <u>(1)</u>	149,849	A	\$ 3.36	949,531	I	Sanderling Venture	

								Partners VI Co-Investment Fund, L.P.
Common Stock	08/07/2013	F(2)	49,718	D	\$ 10.13	899,813	I	Sanderling Venture Partners VI Co-Investment Fund, L.P.
Common Stock	08/07/2013	M <u>(1)</u>	75,759	A	\$ 1.98	975,572	I	Sanderling Venture Partners VI Co-Investment Fund, L.P.
Common Stock	08/07/2013	F(2)	14,808	D	\$ 10.13	960,764	I	Sanderling Venture Partners VI Co-Investment Fund, L.P.
Common Stock	08/07/2013	M <u>(1)</u>	675	A	\$ 4.1	4,322	I	Sanderling VI Limited Partnership
Common Stock	08/07/2013	F(2)	273	D	\$ 10.13	4,049	I	Sanderling VI Limited Partnership
Common Stock	08/07/2013	M <u>(1)</u>	3,455	A	\$ 3.36	7,504	I	Sanderling VI Limited Partnership
Common Stock	08/07/2013	F(2)	1,146	D	\$ 10.13	6,358	I	Sanderling VI Limited Partnership
Common Stock	08/07/2013	M <u>(1)</u>	566	A	\$ 4.1	3,626	I	Sanderling VI Beteiligungs GmbH & Co. KG
Common Stock	08/07/2013	F(2)	229	D	\$ 10.13	3,397	I	Sanderling VI Beteiligungs GmbH & Co. KG
Common Stock	08/07/2013	M <u>(1)</u>	2,900	A	\$ 3.36	6,297	I	Sanderling VI Beteiligungs GmbH & Co. KG
Common Stock	08/07/2013	F(2)	962	D	\$ 10.13	5,335	I	Sanderling VI Beteiligungs GmbH & Co.

			KG
Common Stock	88,072	D	
Common Stock	28,117	I	Sanderling Ventures Management VI
Common Stock	1,500	I	Sanderling Mgmt. LLC 401K Pension Profit Sharing Plan
Common Stock	53,275	I	Sanderling IV Biomedical Co-Investment Fund, L.P.
Common Stock	11,097	I	Sanderling V Beteiligungs GmbH & Co. KG
Common Stock	39,716	I	Sanderling V Biomedical Co-Investment Fund, L.P.
Common Stock	11,956	I	Sanderling V Limited Partnership
Common Stock	67,790	I	Sanderling Venture Partners V Co-Investment Fund, L.P.
Common Stock	22,451	I	Sanderling Venture Partners IV Co-Investment Fund
Common Stock	82	I	Sanderling Ventures Management V
Common Stock	79	I	Middleton McNeil Retirement Trust

#### Edgar Filing: Stereotaxis, Inc. - Form 4/A

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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SEC 1474

(9-02)

# $\label{thm:convergence} \begin{tabular}{ll} Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned \\ (e.g., puts, calls, warrants, options, convertible securities) \end{tabular}$

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. 5. Number of TransactionDerivative Code Securities (Instr. 8) Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)		6. Date Exercisable and Expiration Date (Month/Day/Year)		7. Title and Amount o Underlying Securities (Instr. 3 and 4)		
				Code V	(A)	(D)	Date Exercisable	Expiration Date	Title	Amount Number Shares
Common Stock Warrant (right to buy)	\$ 4.1	08/07/2013		M <u>(1)</u>		29,248	05/01/2012	05/01/2017	Common Stock	29,24
Common Stock Warrant (right to buy)	\$ 3.36	08/07/2013		M <u>(1)</u>		149,849	05/07/2012	05/07/2017	Common Stock	149,84
Common Stock Warrant (right to buy)	\$ 1.98	08/07/2013		M(1)		75,759	03/29/2013	03/29/2018	Common Stock	75,75
Common Stock Warrant (right to buy)	\$ 4.1	08/07/2013		M(1)		675	05/01/2012	05/01/2017	Common Stock	675
Common Stock Warrant (right to buy)	\$ 3.36	08/07/2013		M(1)		3,455	05/07/2012	05/07/2017	Common Stock	3,455
Common Stock Warrant (right to buy)	\$ 4.1	08/07/2013		M(1)		566	05/01/2012	05/01/2017	Common Stock	566

Common Stock

Stock Warrant \$ 3.36 08/07/2013  $M_{\underline{}}^{(1)}$  2,900 05/07/2012 05/07/2017 Common Stock

2,900

(right buy)

# **Reporting Owners**

Reporting Owner Name / Address Relationships

Director 10% Owner Officer Other

MIDDLETON FRED A 400 SOUTH EL CAMINO REAL SUITE 1200 SAN MATEO, CA 94402-1708

X

## **Signatures**

/s/ Karen W. Duros, Attorney-in-Fact 08/12/2013

\*\*Signature of Reporting Person Date

# **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Corrects the transaction code included in the original Form 4, which should have been "M" ("Exercise or conversion of derivative security exempted pursuant to Rule 16b-3").
- (2) Corrects the transaction code included in the original Form 4, which should have been "F" ("Payment of exercise price or tax liability by delivering or holding securities incident to receipt, exercise or vesting of a security issued in accordance with Rule 16b-3").

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

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