Stereotaxis, Inc. Form 4 August 09, 2013

FORM 4

UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

Check this box if no longer subject to Section 16. Form 4 or Form 5

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF **SECURITIES**

OMB APPROVAL

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January 31,

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Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

(Print or Type Responses)

Stock

Stock

Common 08/07/2013

MIDDLETON EDED A			2. Issuer Name and Ticker or Trading Symbol				ng	5. Relationship of Reporting Person(s) to Issuer			
		Stereotaxis, Inc. [STXS]					(Check all applicable)				
(Last)	(First)	(Middle)	3. Date of Earliest Transaction					(Check an applicable)			
		(Month/Day/Year)					_X_ Director		0% Owner		
400 SOUT REAL, SU		08/07/2013					Officer (give title Other (specify below)				
	(Street)		4. If Amendment, Date Original					6. Individual or Joint/Group Filing(Check			
			Filed(Month/Day/Year)					Applicable Line) _X_ Form filed by One Reporting Person _ Form filed by More than One Reporting			
SAN MAT	TEO, CA 94402-1	708						Person	More than One	Reporting	
(City)	(State)	(Zip)	Ta	ble I - Non	-Derivative	Secur	ities Acq	uired, Disposed	of, or Benefic	cially Owned	
1.Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deeme Execution I any (Month/Day	Date, if	3. Transaction Code (Instr. 8)	4. Securities over Disposed (Instr. 3, 4	d of (È))	5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)	
Common Stock	08/07/2013			X	29,248	A	\$ 4.1	811,520	I	Sanderling Venture Partners VI Co-Investment Fund, L.P.	
Common	08/07/2013			S <u>(1)</u>	11,838	D	\$ 10.13	799,682	I	Sanderling Venture Partners VI	

X

149,849 A

10.13

\$ 3.36 949,531

Co-Investment Fund, L.P.

Sanderling

Venture

Ι

								Partners VI Co-Investment Fund, L.P.
Common Stock	08/07/2013	S(2)	49,718	D	\$ 10.13	899,813	I	Sanderling Venture Partners VI Co-Investment Fund, L.P.
Common Stock	08/07/2013	X	75,759	A	\$ 1.98	975,572	I	Sanderling Venture Partners VI Co-Investment Fund, L.P.
Common Stock	08/07/2013	S(3)	14,808	D	\$ 10.13	960,764	I	Sanderling Venture Partners VI Co-Investment Fund, L.P.
Common Stock	08/07/2013	X	675	A	\$ 4.1	4,322	I	Sanderling VI Limited Partnership
Common Stock	08/07/2013	S(4)	273	D	\$ 10.13	4,049	I	Sanderling VI Limited Partnership
Common Stock	08/07/2013	X	3,455	A	\$ 3.36	7,504	I	Sanderling VI Limited Partnership
Common Stock	08/07/2013	S(5)	1,146	D	\$ 10.13	6,358	I	Sanderling VI Limited Partnership
Common Stock	08/07/2013	X	566	A	\$ 4.1	3,626	I	Sanderling VI Beteiligungs GmbH & Co. KG
Common Stock	08/07/2013	S <u>(6)</u>	229	D	\$ 10.13	3,397	I	Sanderling VI Beteiligungs GmbH & Co. KG
Common Stock	08/07/2013	X	2,900	A	\$ 3.36	6,297	I	Sanderling VI Beteiligungs GmbH & Co. KG
Common Stock	08/07/2013	S <u>(7)</u>	962	D	\$ 10.13	5,335	Ι	Sanderling VI Beteiligungs GmbH & Co.

			KG
Common Stock	88,072	D	
Common Stock	28,117	I	Sanderling Ventures Management VI
Common Stock	1,500	I	Sanderling Mgmt. LLC 401K Pension Profit Sharing Plan
Common Stock	53,275	I	Sanderling IV Biomedical Co-Investment Fund, L.P.
Common Stock	11,097	I	Sanderling V Beteiligungs GmbH & Co. KG
Common Stock	39,716	I	Sanderling V Biomedical Co-Investment Fund, L.P.
Common Stock	11,956	I	Sanderling V Limited Partnership
Common Stock	67,790	I	Sanderling Venture Partners V Co-Investment Fund, L.P.
Common Stock	22,451	I	Sanderling Venture Partners IV Co-Investment Fund
Common Stock	82	I	Sanderling Ventures Management V
Common Stock	79	I	Middleton McNeil Retirement Trust

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Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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SEC 1474

(9-02)

 $\label{thm:convergence} \begin{tabular}{ll} Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned \\ (e.g., puts, calls, warrants, options, convertible securities) \end{tabular}$

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. 5. Number of TransactionDerivative Code Securities (Instr. 8) Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)		6. Date Exercisable and Expiration Date (Month/Day/Year)		7. Title and Amount of Underlying Securities (Instr. 3 and 4)		
				Code V	(A)	(D)	Date Exercisable	Expiration Date	Title	Amount Number Shares
Common Stock Warrant (right to buy)	\$ 4.1	08/07/2013		X		29,248	05/01/2012	05/01/2017	Common Stock	29,24
Common Stock Warrant (right to buy)	\$ 3.36	08/07/2013		X		149,849	05/07/2012	05/07/2017	Common Stock	149,84
Common Stock Warrant (right to buy)	\$ 1.98	08/07/2013		X		75,759	03/29/2013	03/29/2018	Common Stock	75,75
Common Stock Warrant (right to buy)	\$ 4.1	08/07/2013		X		675	05/01/2012	05/01/2017	Common Stock	675
Common Stock Warrant (right to buy)	\$ 3.36	08/07/2013		X		3,455	05/07/2012	05/07/2017	Common Stock	3,455
Common Stock Warrant (right to buy)	\$ 4.1	08/07/2013		X		566	05/01/2012	05/01/2017	Common Stock	566

Common Stock

Stock
Warrant \$ 3.36 08/07/2013 X 2,900 05/07/2012 05/07/2017 Common Stock

2,900

(right to buy)

Reporting Owners

Reporting Owner Name / Address Relationships

Director 10% Owner Officer Other

MIDDLETON FRED A 400 SOUTH EL CAMINO REAL SUITE 1200 SAN MATEO, CA 94402-1708

Signatures

/s/ Karen W. Duros, Attorney-in-Fact 08/09/2013

**Signature of Reporting Person Date

Explanation of Responses:

- * If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- On August 7, 2013, the reporting person exercised a warrant to purchase 29,248 shares of Stereotaxis, Inc. common stock for \$4.10 per (1) share. The reporting person paid the exercise price on a cashless basis, resulting in Stereotaxis, Inc. withholding 11,838 shares to pay the exercise price and issuing to the reporting person the remaining 17,410 shares.
- On August 7, 2013, the reporting person exercised a warrant to purchase 149,849 shares of Stereotaxis, Inc. common stock for \$3.36 per (2) share. The reporting person paid the exercise price on a cashless basis, resulting in Stereotaxis, Inc. withholding 49,718 shares to pay the exercise price and issuing to the reporting person the remaining 100,131 shares.
- On August 7, 2013, the reporting person exercised a warrant to purchase 75,759 shares of Stereotaxis, Inc. common stock for \$1.98 per (3) share. The reporting person paid the exercise price on a cashless basis, resulting in Stereotaxis, Inc. withholding 14,808 shares to pay the exercise price and issuing to the reporting person the remaining 60,951 shares.
- On August 7, 2013, the reporting person exercised a warrant to purchase 675 shares of Stereotaxis, Inc. common stock for \$4.10 per (4) share. The reporting person paid the exercise price on a cashless basis, resulting in Stereotaxis, Inc. withholding 273 shares to pay the exercise price and issuing to the reporting person the remaining 402 shares.
- On August 7, 2013, the reporting person exercised a warrant to purchase 3,455 shares of Stereotaxis, Inc. common stock for \$3.36 per (5) share. The reporting person paid the exercise price on a cashless basis, resulting in Stereotaxis, Inc. withholding 1,146 shares to pay the exercise price and issuing to the reporting person the remaining 2,309 shares.
- On August 7, 2013, the reporting person exercised a warrant to purchase 566 shares of Stereotaxis, Inc. common stock for \$4.10 per (6) share. The reporting person paid the exercise price on a cashless basis, resulting in Stereotaxis, Inc. withholding 229 shares to pay the exercise price and issuing to the reporting person the remaining 337 shares.
- On August 7, 2013, the reporting person exercised a warrant to purchase 2,900 shares of Stereotaxis, Inc. common stock for \$3.36 per (7) share. The reporting person paid the exercise price on a cashless basis, resulting in Stereotaxis, Inc. withholding 962 shares to pay the exercise price and issuing to the reporting person the remaining 1,938 shares.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

Reporting Owners 5