

TANG ANTHONY M  
Form 5  
February 03, 2005

# FORM 5

**UNITED STATES SECURITIES AND EXCHANGE COMMISSION**  
**Washington, D.C. 20549**

OMB APPROVAL

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Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).  
Form 3 Holdings Reported Form 4 Transactions Reported

**ANNUAL STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES**

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

1. Name and Address of Reporting Person \*  
TANG ANTHONY M

2. Issuer Name and Ticker or Trading Symbol  
CATHAY GENERAL BANCORP [CATY]

5. Relationship of Reporting Person(s) to Issuer

(Check all applicable)

(Last) (First) (Middle)

3. Statement for Issuer's Fiscal Year Ended (Month/Day/Year)  
12/31/2004

Director  10% Owner  
 Officer (give title below)  Other (specify below)  
Senior EVP

777 NORTH BROADWAY

(Street)

4. If Amendment, Date Original Filed(Month/Day/Year)

6. Individual or Joint/Group Reporting

(check applicable line)

LOS ANGELES, CA 90012

Form Filed by One Reporting Person  
 Form Filed by More than One Reporting Person

(City) (State) (Zip)

**Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned**

| 1. Title of Security (Instr. 3) | 2. Transaction Date (Month/Day/Year) | 2A. Deemed Execution Date, if any (Month/Day/Year) | 3. Transaction Code (Instr. 8) | 4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5) |        |                         | 5. Amount of Securities Beneficially Owned at end of Issuer's Fiscal Year (Instr. 3 and 4) | 6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4) | 7. Nature of Indirect Beneficial Ownership (Instr. 4) |
|---------------------------------|--------------------------------------|--|--------------------------------|---|--------|-------------------------|--|--|---|
|                                 |                                      |  |                                | (A) Amount  | or (D) | Price                   |  |  |   |
| Common Stock                    | Â                                    | Â  | Â                              | Â   | Â      | Â                       | 350,584 <sup>(1)</sup>   | D  | Â   |
| Common Stock                    | 12/31/2004                           | Â  | J <sup>(2)</sup>               | 793 <sup>(1)</sup>  | A      | \$ 31.21 <sup>(1)</sup> | 81,489 <sup>(1)</sup>  | I  | By ESOP   |
| Common Stock                    | Â                                    | Â  | Â                              | Â   | Â      | Â                       | 80,322 <sup>(1)</sup> <sup>(3)</sup>   | I  | As custodian for children                             |
|                                 | 01/21/2004                           | Â  | J <sup>(4)</sup>               |   | A      |                         |  | I  | By spouse   |

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|              |            |   |                  |                       |   |                         |                           |   |           |
|--------------|------------|---|------------------|-----------------------|---|-------------------------|---------------------------|---|-----------|
| Common Stock |            |   |                  | 638.128<br><u>(1)</u> |   | \$ 26.352<br><u>(1)</u> | 242,547.218<br><u>(1)</u> |   |           |
| Common Stock | 04/20/2004 | Â | J <sup>(4)</sup> | 531.528<br><u>(1)</u> | A | \$ 31.72<br><u>(1)</u>  | 242,547.218<br><u>(1)</u> | I | By spouse |
| Common Stock | 07/20/2004 | Â | J <sup>(4)</sup> | 546.272<br><u>(1)</u> | A | \$ 30.933<br><u>(1)</u> | 242,547.218<br><u>(1)</u> | I | By spouse |
| Common Stock | 10/22/2004 | Â | J <sup>(4)</sup> | 607.35                | A | \$ 35.852<br><u>(1)</u> | 242,547.218<br><u>(1)</u> | I | By spouse |

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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(9-02)

**Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned**  
(e.g., puts, calls, warrants, options, convertible securities)

| 1. Title of Derivative Security (Instr. 3) | 2. Conversion or Exercise Price of Derivative Security | 3. Transaction Date (Month/Day/Year) | 3A. Deemed Execution Date, if any (Month/Day/Year) | 4. Transaction Code (Instr. 8) | 5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5) | 6. Date Exercisable and Expiration Date (Month/Day/Year)                   | 7. Title and Amount of Underlying Securities (Instr. 3 and 4) |
|--|--|--------------------------------------|--|--------------------------------|---|--|---|
| Stock Option                               | \$ 10.625<br><u>(1)</u>                                | Â                                    | Â  | Â                              | Â Â   | Date Exercisable: 01/20/2001 <sup>(5)</sup><br>Expiration Date: 01/20/2010 | Common Stock 23,000<br><u>(1)</u> Shares                      |
| Stock Option                               | \$ 15.0475<br><u>(1)</u>                               | Â                                    | Â  | Â                              | Â Â   | Date Exercisable: 01/18/2002 <sup>(6)</sup><br>Expiration Date: 01/18/2011 | Common Stock 26,360<br><u>(1)</u> Shares                      |
| Stock Option                               | \$ 16.275<br><u>(1)</u>                                | Â                                    | Â  | Â                              | Â Â   | Date Exercisable: 02/21/2003 <sup>(7)</sup><br>Expiration Date: 02/21/2012 | Common Stock 26,000<br><u>(1)</u> Shares                      |
| Stock Option                               | \$ 19.925<br><u>(1)</u>                                | Â                                    | Â  | Â                              | Â Â   | Date Exercisable: 01/16/2004 <sup>(8)</sup><br>Expiration Date: 01/16/2013 | Common Stock 47,500<br><u>(1)</u> Shares                      |
| Stock Option                               | \$ 24.8 <u>(1)</u>                                     | Â                                    | Â  | Â                              | Â Â   | Date Exercisable: 11/20/2004 <sup>(9)</sup><br>Expiration Date: 11/20/2013 | Common Stock 105,140<br><u>(1)</u> Shares                     |

## Reporting Owners

| Reporting Owner Name / Address | Relationships |           |         |       |
|--------------------------------|---------------|-----------|---------|-------|
|                                | Director      | 10% Owner | Officer | Other |

TANG ANTHONY M  
777 NORTH BROADWAY  
LOS ANGELES, CA 90012

X Senior  
EVP

## Signatures

Anthony M. 02/03/2005  
Tang

Signature of Date  
Reporting Person

## Explanation of Responses:

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Adjusted to reflect a 2-for-1 stock split effective 9/28/04.
- (2) ESOP Earning Allocation.
- (3) The reporting person no longer has a reportable beneficial interest in 7,956 shares transferred to his children who reached the age of majority.
- (4) Dividend Reinvestment.
- (5) The option vests in 5 equal annual installments beginning 1/20/01.
- (6) The option vests in 5 equal annual installments beginning 1/18/02.
- (7) The option vests in 5 equal annual installments beginning 2/21/03.
- (8) The option vests in 5 equal annual installments beginning 1/16/04.
- (9) The option vests in 5 equal annual installments beginning 11/20/04.

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