Manitowoc Foodservice, Inc.

Form 3

January 12, 2017

## FORM 3

# UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

OMB APPROVAL

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INITIAL STATEMENT OF BENEFICIAL OWNERSHIP OF SECURITIES

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting 2. Date of Event Requiring 3. Issuer Name and Ticker or Trading Symbol Person \* Statement Manitowoc Foodservice, Inc. [MFS] Horn Joel H. (Month/Day/Year) 01/02/2017 (Last) (First) (Middle) 4. Relationship of Reporting 5. If Amendment, Date Original Person(s) to Issuer Filed(Month/Day/Year) 2227 WELBILT AVENUE (Check all applicable) (Street) 6. Individual or Joint/Group Filing(Check Applicable Line) 10% Owner Director \_X\_ Form filed by One Reporting Officer Other Person (give title below) (specify below) **NEW PORT** Form filed by More than One SVP, General Counsel and Secy RICHEY, Â FLÂ 34655 Reporting Person (City) (State) (Zip) Table I - Non-Derivative Securities Beneficially Owned 2. Amount of Securities 4. Nature of Indirect Beneficial 1. Title of Security Beneficially Owned Ownership Ownership (Instr. 4) (Instr. 4) Form: (Instr. 5) Direct (D) or Indirect (I) (Instr. 5) D Â Common Stock 5,885 Reminder: Report on a separate line for each class of securities beneficially SEC 1473 (7-02) owned directly or indirectly. Persons who respond to the collection of information contained in this form are not

information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

Table II - Derivative Securities Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

| 1. Title of Derivative Security (Instr. 4) | · ·                 |                 | 3. Title and Amount of Securities Underlying Derivative Security |        | Conversion or Exercise | 5.<br>Ownership<br>Form of             | 6. Nature of Indirect Beneficial |  |
|--|---------------------|-----------------|--|--------|------------------------|--|----------------------------------|--|
|  |                     |                 | (Instr. 4)   |        | Price of               | Derivative                             | Ownership                        |  |
|  | Date<br>Exercisable | Expiration Date | Title  | Amount | Derivative<br>Security | Security:<br>Direct (D)<br>or Indirect | (Instr. 5)                       |  |

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|   |            |            |                 | Number of Shares |            | (I)<br>(Instr. 5) |   |
|---|------------|------------|-----------------|------------------|------------|-------------------|---|
| 02.14.2011EmployeeStockOption $(1)$                     | 02/14/2012 | 02/14/2021 | Common<br>Stock | 5,300            | \$ 15.7429 | D                 | Â |
| $02.28.2012 Employee Stock Option  \underline{^{(1)}} $ | 02/28/2013 | 02/28/2022 | Common<br>Stock | 3,500            | \$ 12.9573 | D                 | Â |
| 02.26.2013EmployeeStockOption $(1)$                     | 02/26/2014 | 02/26/2023 | Common<br>Stock | 3,915            | \$ 14.4376 | D                 | Â |
| 02.14.2014EmpStockOption (1)                            | 02/14/2015 | 02/14/2024 | Common<br>Stock | 3,170            | \$ 23.1368 | D                 | Â |
| 02.17.2015OfficerStockOption (1)                        | 02/17/2016 | 02/17/2025 | Common<br>Stock | 4,990            | \$ 17.3506 | D                 | Â |
| 03.17.2016OFFOPTION (1)                                 | 03/17/2017 | 03/17/2026 | Common<br>Stock | 7,135            | \$ 13.51   | D                 | Â |
| 02.11.2010EmployeeStockOption                           | 02/11/2012 | 02/11/2020 | Common<br>Stock | 2,150            | \$ 9.0335  | D                 | Â |

## **Reporting Owners**

| Reporting Owner Name / Address                                   | Relationships |           |                               |       |  |  |
|--|---------------|-----------|-------------------------------|-------|--|--|
| 1  | Director      | 10% Owner | Officer                       | Other |  |  |
| Horn Joel H.<br>2227 WELBILT AVENUE<br>NEW PORT RICHEY, FL 34655 | Â             | Â         | SVP, General Counsel and Secy | Â     |  |  |

### **Signatures**

Joel H. Horn 01/12/2017

\*\*Signature of Person Date

Reporting Person

#### **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 5(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Options vest in 25% increments annually beginning on date indicated in the "Date Exercisable" column

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *See* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

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