Parsons Joan

#### Form 3 April 28, 2011 UNITED STATES SECURITIES AND EXCHANGE COMMISSION **OMB APPROVAL** FORM 3 Washington, D.C. 20549 OMB 3235-0104 Number: January 31, **INITIAL STATEMENT OF BENEFICIAL OWNERSHIP OF** Expires: 2005 **SECURITIES** Estimated average burden hours per Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, response... 0.5 Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940 (Print or Type Responses) 1. Name and Address of Reporting 2. Date of Event Requiring 3. Issuer Name and Ticker or Trading Symbol Person \* Statement SVB FINANCIAL GROUP [SIVB] Parsons Joan (Month/Day/Year) 04/21/2011 (Last) (First) (Middle) 4. Relationship of Reporting 5. If Amendment, Date Original Person(s) to Issuer Filed(Month/Day/Year) 3005 TASMAN DRIVE (Check all applicable) (Street) 6. Individual or Joint/Group Filing(Check Applicable Line) 10% Owner Director \_X\_ Form filed by One Reporting \_X\_\_Officer Other Person SANTA CLARA, CAÂ 95054 (give title below) (specify below) Form filed by More than One Head of US Banking Reporting Person (City) (State) (Zip) Table I - Non-Derivative Securities Beneficially Owned 2. Amount of Securities 4. Nature of Indirect Beneficial 1. Title of Security 3 Beneficially Owned Ownership (Instr. 4) Ownership (Instr. 4) Form: (Instr. 5) Direct (D) or Indirect **(I)** (Instr. 5) D Â Common Stock 45,940 Common Stock 5.659 Ι 401K/ESOP Reminder: Report on a separate line for each class of securities beneficially SEC 1473 (7-02)

owned directly or indirectly. Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a

currently valid OMB control number.

#### Table II - Derivative Securities Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative	2. Date Exercisable and	3. Title and Amount of	4.	5.	6. Nature of Indirect
Security	Expiration Date	Securities Underlying	Conversion	Ownership	Beneficial
(Instr. 4)	(Month/Day/Year)	Derivative Security	or Exercise	Form of	Ownership
		(Instr. 4)	Price of	Derivative	(Instr. 5)
			Derivative	Security:	

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	Date Exercisable	Expiration Date	Title	Amount or Number of Shares	Security	Direct (D) or Indirect (I) (Instr. 5)	
Stock Options	11/16/2002(1)	11/16/2011	Common Stock	5,000	\$ 26	D	Â
Stock Options	04/17/2003(1)	04/17/2012	Common Stock	5,000	\$ 31.29	D	Â
Stock Options	09/04/2003(1)	09/04/2012	Common Stock	10,000	\$ 22.5	D	Â
Stock Options	11/19/2005(1)	11/19/2011	Common Stock	4,500	\$ 41.66	D	Â
Stock Options	04/01/2006(1)	04/01/2012	Common Stock	3,500	\$ 43.49	D	Â
Stock Options	04/04/2007(1)	04/04/2013	Common Stock	3,000	\$ 53.29	D	Â
Stock Options	04/02/2008(1)	04/02/2014	Common Stock	2,750	\$ 48.15	D	Â
Stock Options	04/29/2009(1)	04/29/2015	Common Stock	2,750	\$ 48.76	D	Â
Stock Options	01/27/2010(1)	01/27/2016	Common Stock	2,500	\$ 23.16	D	Â
Stock Options	04/28/2010(1)	04/28/2016	Common Stock	3,500	\$ 19.48	D	Â
Stock Options	10/27/2010(1)	10/27/2016	Common Stock	2,500	\$ 42.45	D	Â
Stock Options	04/30/2011(1)	04/30/2017	Common Stock	5,000	\$ 49.18	D	Â
Stock Options	10/26/2011 <u>(1)</u>	10/26/2017	Common Stock	682	\$ 43.53	D	Â
Restricted Stock Unit	11/19/2008(2)	11/19/2011	Common Stock	789	\$ 0	D	Â
Restricted Stock Unit	04/29/2009(2)	04/29/2012	Common Stock	624	\$ 0	D	Â
Restricted Stock Unit	01/27/2010(2)	01/27/2013	Common Stock	500	\$ 0	D	Â
Restricted Stock Unit	04/28/2010(2)	04/28/2013	Common Stock	1,312	\$ 0	D	Â
Restricted Stock Unit	04/30/2011(2)	04/30/2014	Common Stock	2,000	\$ 0	D	Â
Restricted Stock Unit	10/26/2011 <u>(2)</u>	10/26/2014	Common Stock	777	\$ O	D	Â

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Restricted Stock Unit	10/27/2010(2)	10/27/2013	Common Stock	750	\$ 0	D	Â
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# **Reporting Owners**

Reporting Owner Name / Address	Relationships					
	Director	10% Owner	Officer	Other		
Parsons Joan 3005 TASMAN DRIVE SANTA CLARA, CA 95054	Â	Â	Head of US Banking	Â		
Signatures						
Lisa Bertolet as attorney in fact	04/28/2011					

Date

<u>\*\*</u>Signature of Reporting Person

## **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, *see* Instruction 5(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Stock options vest 25% annually over four years from date of grant.
- (2) Restricted stock units vest 25% annually over four years from the date of grant.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *See* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.