

ALIGN TECHNOLOGY INC

Form 4

July 31, 2007

**FORM 4**
**UNITED STATES SECURITIES AND EXCHANGE COMMISSION**  
**Washington, D.C. 20549**

Check this box  
if no longer  
subject to  
Section 16.  
Form 4 or  
Form 5  
obligations  
may continue.  
See Instruction  
1(b).

**STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF  
SECURITIES**

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934,  
Section 17(a) of the Public Utility Holding Company Act of 1935 or Section  
30(h) of the Investment Company Act of 1940

## OMB APPROVAL

OMB  
Number: 3235-0287  
Expires: January 31,  
2005  
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(Print or Type Responses)

1. Name and Address of Reporting Person \*  
**PRESCOTT THOMAS M**

(Last) (First) (Middle)

**C/O ALIGN TECHNOLOGY  
INC, 881 MARTIN AVENUE**

(Street)

**SANTA CLARA, CA 95050**

(City) (State) (Zip)

2. Issuer Name **and** Ticker or Trading  
Symbol  
**ALIGN TECHNOLOGY INC**  
**[ALGN]**

3. Date of Earliest Transaction  
(Month/Day/Year)  
**07/27/2007**

4. If Amendment, Date Original  
Filed(Month/Day/Year)

5. Relationship of Reporting Person(s) to  
Issuer

(Check all applicable)

☐ Director ☐ 10% Owner  
☒ Officer (give title below) ☐ Other (specify below)  
**President and CEO**

6. Individual or Joint/Group Filing(Check  
Applicable Line)  
☒ Form filed by One Reporting Person  
☐ Form filed by More than One Reporting  
Person

**Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned**

1. Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transaction Code (Instr. 8)	4. Securities Acquired or Disposed of (D) (Instr. 3, 4 and 5)	5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)
			Code	V	Amount (A) or (D) Price		
Common Stock	07/27/2007	07/27/2007	S		1,000 D \$ 27.308	206,573	D
Common Stock	07/27/2007	07/27/2007	S		1,000 D \$ 27.33	205,573	D
Common Stock	07/27/2007	07/27/2007	S		500 D \$ 27.332	205,073	D
Common Stock	07/27/2007	07/27/2007	S		500 D \$ 27.37	204,573	D
Common Stock	07/27/2007	07/27/2007	S		2,000 D \$ 27.371	202,573	D

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Common Stock	07/27/2007	07/27/2007	S	400	D	\$ 27.38	202,173	D
Common Stock	07/27/2007	07/27/2007	S	500	D	\$ 27.386	201,673	D
Common Stock	07/27/2007	07/27/2007	S	1,400	D	\$ 27.39	200,273	D
Common Stock	07/27/2007	07/27/2007	S	8,900	D	\$ 27.4	191,373	D
Common Stock	07/27/2007	07/27/2007	S	7,000	D	\$ 27.41	184,373	D
Common Stock	07/27/2007	07/27/2007	S	5,000	D	\$ 27.42	179,373	D
Common Stock	07/27/2007	07/27/2007	S	500	D	\$ 27.425	178,873	D
Common Stock	07/27/2007	07/27/2007	S	4,300	D	\$ 27.43	174,573	D
Common Stock	07/27/2007	07/27/2007	S	3,400	D	\$ 27.44	171,173	D
Common Stock	07/27/2007	07/27/2007	S	600	D	\$ 27.443	170,573	D
Common Stock	07/27/2007	07/27/2007	S	1,100	D	\$ 27.445	169,473	D
Common Stock	07/27/2007	07/27/2007	S	5,500	D	\$ 27.45	163,973	D
Common Stock	07/27/2007	07/27/2007	S	8,699	D	\$ 27.45	155,274	D
Common Stock	07/27/2007	07/27/2007	S	3,800	D	\$ 27.48	151,474	D
Common Stock	07/27/2007	07/27/2007	S	700	D	\$ 27.49	150,774	D
Common Stock	07/27/2007	07/27/2007	S	6,301	D	\$ 27.5	144,473	D
Common Stock	07/27/2007	07/27/2007	S	400	D	\$ 27.55	144,073	D
Common Stock	07/27/2007	07/27/2007	S	100	D	\$ 27.6	143,973	D
Common Stock	07/27/2007	07/27/2007	S	400	D	\$ 27.61	143,573	D
Common Stock	07/27/2007	07/27/2007	S	3,500	D	\$ 27.62	140,073	D
	07/27/2007	07/27/2007	S	3,500	D	\$ 27.65	136,573	D

Common  
Stock

Common Stock	07/27/2007	07/27/2007	S	2,100	D	\$ 27.7	134,473	D
Common Stock	07/27/2007	07/27/2007	S	300	D	\$ 27.75	134,173	D
Common Stock	07/27/2007	07/27/2007	S	3,100	D	\$ 27.766	131,073	D
Common Stock	07/27/2007	07/27/2007	S	2,000	D	\$ 27.77	129,073	D

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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(9-02)

**Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned**  
(e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transaction Code (Instr. 8)	5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	6. Date Exercisable and Expiration Date (Month/Day/Year)	7. Title and Amount of Underlying Securities (Instr. 3 and 4)	8. Price of Derivative Security (Instr. 5)	9. Number of Derivative Securities Beneficially Owned Following Reportable Transaction (Instr. 6)
				Code	V (A) (D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares

## Reporting Owners

Reporting Owner Name / Address	Relationships			
	Director	10% Owner	Officer	Other
PRESCOTT THOMAS M C/O ALIGN TECHNOLOGY INC 881 MARTIN AVENUE SANTA CLARA, CA 95050	X		President and CEO	

## Signatures

Roger E. George, Atty-in-Fact for Thomas M.  
Prescott

07/31/2007

\_\_Signature of Reporting Person

Date

## Explanation of Responses:

- \* If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. *See* 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

### Remarks:

Related transactions effected by Reporting Person on July 27 are reported on additional Forms 4.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure.

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