LaRue R Scott Form 4/A February 21, 2018

### FORM 4

### UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF

30(h) of the Investment Company Act of 1940

**OMB APPROVAL OMB** 

3235-0287 Number:

Expires:

January 31, 2005

0.5

Estimated average burden hours per

response...

if no longer subject to Section 16. Form 4 or Form 5

Check this box

**SECURITIES** Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, obligations Section 17(a) of the Public Utility Holding Company Act of 1935 or Section may continue.

See Instruction 1(b).

(Print or Type Responses)

1. Name and Address of Reporting Person * LaRue R Scott			Symbol	PIPER JAFFRAY COMPANIES				5. Relationship of Reporting Person(s) to Issuer  (Check all applicable)				
(Last) 800 NICOL	collet MALL (Middle)			3. Date of Earliest Transaction (Month/Day/Year) 02/15/2018				Director 10% Owner Other (specify below) below)  .Head Global IB and Cap Mkts				
MINNEAPO	(Street)  MINNEAPOLIS, MN 55402			4. If Amendment, Date Original Filed(Month/Day/Year) 02/20/2018					6. Individual or Joint/Group Filing(Check Applicable Line) _X_ Form filed by One Reporting Person Form filed by More than One Reporting			
(City)	(State)	(Zip)	m 11					Person	a D # 1			
1.Title of Security (Instr. 3)	2. Transaction (Month/Day/Y	Date 2A (ear) Ex	. Deemed ecution Date, if	3. Transaction Code (Instr. 8)	4. SecurionAcquired Disposed (Instr. 3,	ties (A) o of (D	or O)	5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	·		
Common Stock	02/15/2018			A	4,157	A	\$0	32,756	D			
Common Stock								30,123	I	Through Living Trust		
Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.  Persons who respond to the collection of information contained in this form are not (9-02)												

required to respond unless the form displays a currently valid OMB control

number.

### Edgar Filing: LaRue R Scott - Form 4/A

#### Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of	2.	3. Transaction Date		4.	5.	6. Date Exer		7. Title a		8. Price of	9. Nu
Derivative	Conversion	(Month/Day/Year)	Execution Date, if		onNumber	Expiration D		Amount		Derivative	Deriv
Security	or Exercise		any	Code	of	(Month/Day/	Year)	Underly	_	Security	Secui
(Instr. 3)	Price of		(Month/Day/Year)	(Instr. 8)	Derivative	e		Securitie	es	(Instr. 5)	Bene
	Derivative			Securities			(Instr. 3 and 4)		Own		
	Security				Acquired					Follo	
	,				(A) or						Repo
					Disposed						Trans
					of (D)						(Instr
	·			(Instr. 3,	<i>'</i>					(IIISti	
				•							
					4, and 5)						
								A	mount		
						D.	E	01	r		
						Date Exercisable	Expiration Date	Title Numb	umber		
								01			
				Code V	(A) (D)				hares		

## **Reporting Owners**

Relationships Reporting Owner Name / Address

> Officer Other Director 10% Owner

LaRue R Scott 800 NICOLLET MALL MINNEAPOLIS, MN 55402

.Head Global IB and Cap Mkts

### **Signatures**

/s/ John W. Geelan for R. Scott 02/20/2018 LaRue

\*\*Signature of Reporting Person Date

# **Explanation of Responses:**

- If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

Reporting Owners 2