

PIPER JAFFRAY COMPANIES
Form 4
February 22, 2017

FORM 4

UNITED STATES SECURITIES AND EXCHANGE COMMISSION
Washington, D.C. 20549

OMB APPROVAL

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STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting Person *
LaRue R Scott

2. Issuer Name and Ticker or Trading Symbol
PIPER JAFFRAY COMPANIES
[PJC]

5. Relationship of Reporting Person(s) to Issuer

(Check all applicable)

(Last) (First) (Middle)
800 NICOLLET MALL
(Street)

3. Date of Earliest Transaction (Month/Day/Year)
02/17/2017

____ Director _____ 10% Owner
 Officer (give title below) _____ Other (specify below)
Co-Head Global IB and Cap Mkts

MINNEAPOLIS, MN 55402

4. If Amendment, Date Original Filed(Month/Day/Year)

6. Individual or Joint/Group Filing(Check Applicable Line)
 Form filed by One Reporting Person
____ Form filed by More than One Reporting Person

(City) (State) (Zip)

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

| 1. Title of Security (Instr. 3) | 2. Transaction Date (Month/Day/Year) | 2A. Deemed Execution Date, if any (Month/Day/Year) | 3. Transaction Code (Instr. 8) | 4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5) | 5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4) | 6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4) | 7. Nature of Ownership (Instr. 4) |
|---------------------------------|--------------------------------------|--|--------------------------------|---|---|--|-----------------------------------|
| | | | Code | V Amount (A) or (D) Price | | | |
| Common Stock | 02/17/2017 | | F | 2,842 D \$ 76.05 | 35,728 | D | |
| Common Stock | 02/17/2017 | | G | 2,689 (1) D \$ 0 | 33,039 | D | |
| Common Stock | 02/17/2017 | | G | 2,689 A \$ 0 | 23,895 | I | Through Living Trust (2) |
| Common Stock | 02/18/2017 | | F | 2,308 D \$ 76.05 | 30,731 | D | |
| Common Stock | 02/18/2017 | | G | 2,132 (1) D \$ 0 | 28,599 | D | |

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