## Edgar Filing: PIPER JAFFRAY COMPANIES - Form 4

Form 4	FRAY COMPAN	IES									
February 17, FORN Check th if no long	<b>14</b> UNITED States	4 UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549									
subject to Section 1 Form 4 o Form 5 obligatio may cont See Instru 1(b).	6. or <sup>ns</sup> Section 17(a uction	Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section									
	Address of Reporting	Person <u>*</u>		r Name <b>and</b>	I Ticker or	Tradi	ng	5. Relationship of Issuer	Reporting Pers	on(s) to	
LaRue R Scott			Symbol PIPER . [PJC]	JAFFRA	Y COMI	PANI	ES	(Check all applicable)			
(Last) (First) (Middle) 800 NICOLLET MALL			3. Date of Earliest Transaction (Month/Day/Year) 02/15/2017					Director 10% Owner X Officer (give title Other (specify below) below) Co-Head Global IB and Cap Mkts			
				endment, Da nth/Day/Year	-	ıl		6. Individual or Joint/Group Filing(Check Applicable Line) _X_ Form filed by One Reporting Person			
MINNEAP	OLIS, MN 55402							Form filed by M Person	ore than One Rej	porting	
(City)	(State)	(Zip)	Tabl	le I - Non-I	Derivative	Secu	rities Acqu	uired, Disposed of,	or Beneficiall	y Owned	
1.Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	ransaction Date 2A. Deemed onth/Day/Year) Execution Dat any (Month/Day/Y		3. Transactic Code (Instr. 8)	4. Securi on(A) or Di (Instr. 3,	ispose	d of (D)	5. Amount of Securities Beneficially Owned Following Reported Transaction(s)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)	
Common Stock	02/15/2017			Code V S	Amount 5,000	(D) D	Price \$ 81.506 (1)	(Instr. 3 and 4) 16,604 (2)	I	Through Living Trust	
Common Stock	02/15/2017			А	8,367	А	<b>\$</b> 0	45,920	D		

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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 Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned

 (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transactio Code (Instr. 8)	5. orNumber of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)		ate	Amou Unde Secur	le and unt of rlying ities . 3 and 4)	8. Price of Derivative Security (Instr. 5)	9. Nu Deriv Secu Bene Owne Follo Repo Trans (Instr
				Code V	(A) (D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares		

## **Reporting Owners**

Reporting Owner Name / Address	Relationships						
	Director	10% Owner	Officer	Other			
LaRue R Scott 800 NICOLLET MALL MINNEAPOLIS, MN 55402			Co-Head Global IB and Cap Mkts				
Signatures							
/s/ James Grant for R. Scott LaRue		02/17/2017					

Date

<u>\*\*</u>Signature of Reporting Person

## **Explanation of Responses:**

\* If the form is filed by more than one reporting person, see Instruction 4(b)(v).

\*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

The price reported in Column 4 is a weighted average price. These shares were sold in multiple transactions at prices ranging from
 \$81.4525 to \$81.65 inclusive. The reporting person undertakes to provide full information regarding the number of shares sold at each price within the range set forth in this footnote upon request by the SEC staff, the issuer, or any shareholder of the issuer.

(2) These shares were previous reported as directly beneficially owned, and are held by a revocable living trust, of which the reporting person and the reporting person's spouse are co-beneficiaries.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.