Edgar Filing: PIPER JAFFRAY COMPANIES - Form 4

PIPER JAFFI Form 4 May 08, 2014	RAY COMPAN	IES	3								
FORM Check this if no long subject to Section 16 Form 4 or Form 5 obligation may conti <i>See</i> Instru- 1(b).	4 UNITED s box er 5. 5. 5. 5. 5. 5. 5. 5. 5. 5.	IENT OI suant to S a) of the I	Was F CHAN Section 16 Public Uti	hington, l GES IN E SECURI	D.C. 205 BENEFI TIES Securiti ing Com	5 49 CIAI es Ex pany	L OW tchang Act o	COMMISSION NERSHIP OF ge Act of 1934, ff 1935 or Sectio 40	OMB Number: Expires: Estimated a burden hou response	irs per	
(Print or Type R	esponses)										
SORAN PHILIP Symbol			Symbol PIPER J	uer Name and Ticker or Trading l R JAFFRAY COMPANIES				5. Relationship of Reporting Person(s) to Issuer (Check all applicable)			
			of Earliest Transaction Day/Year) 2014				X Director Officer (give below)	title 10% Owner Other (specify below)			
				endment, Date Original nth/Day/Year)				 6. Individual or Joint/Group Filing(Check Applicable Line) _X_ Form filed by One Reporting Person Form filed by More than One Reporting 			
MINNEAPC	DLIS, MN 55402							Form filed by M Person	Iore than One R	eporting	
(City)	(State)	(Zip)	Table	e I - Non-De	erivative S	ecuri	ties Ac	quired, Disposed of	f, or Beneficia	lly Owned	
1.Title of Security (Instr. 3)	2. Transaction Dat (Month/Day/Year)	Executio any		3.	4. Securities onAcquired (A) or Disposed of (D) (Instr. 3, 4 and 5) (A) or (A) or (Instr. 3 and 4)		6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	-			
Common Stock	05/07/2014			A	1,409	A	\$ 0	4,641	D		
			0								

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

 Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned

 (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	4. Transactic Code (Instr. 8)	5. ofNumber of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)		ate	Amou Under Secur	rlying	8. Price of Derivative Security (Instr. 5)	9. Nu Deriv Secu Bene Own Follo Repo Tran (Inst
			Code V	(A) (D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares		

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Reporting Owners

Reporting Owner Name / Address	Relationships						
reporting officer (unit) (read officer	Director	10% Owner	Officer	Other			
SORAN PHILIP 800 NICOLLET MALL MINNEAPOLIS, MN 55402	Х						
Signatures							
/s/ John W. Geelan for Philip E Soran	05/08/2014						

<u>**</u>Signature of Reporting Person

Date

Explanation of Responses:

* If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.