### Edgar Filing: CALIFORNIA WATER SERVICE GROUP - Form 4

#### CALIFORNIA WATER SERVICE GROUP

Form 4 October 05, 2016

### FORM 4

# UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington D.C. 20549

Washington, D.C. 20549
STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF

Check this box if no longer subject to Section 16. Form 4 or Form 5

on 16.

SECURITIES

4 or

obligations may continue. See Instruction

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

1(b).

(Print or Type Responses)

1. Name and Address of Reporting Person \* 5. Relationship of Reporting Person(s) to 2. Issuer Name and Ticker or Trading Treloar Timothy D Issuer Symbol CALIFORNIA WATER SERVICE (Check all applicable) **GROUP** [CWT] (Last) (First) (Middle) 3. Date of Earliest Transaction Director 10% Owner Other (specify Officer (give title (Month/Day/Year) below) 1720 NORTH FIRST STREET 10/03/2016 VP, Ops and Water Quality (Street) 4. If Amendment, Date Original 6. Individual or Joint/Group Filing(Check Filed(Month/Day/Year) Applicable Line) \_X\_ Form filed by One Reporting Person Form filed by More than One Reporting SAN JOSE, CA 95112 Person (C:+-) (State) (7:-

| (City)                               | (State) (                               | Table   | e I - Non-D  | erivative | Secur            | ities Acqı   | uired, Disposed of   | f, or Beneficiall   | ly Owned |
|--------------------------------------|---|---|--|-----------|------------------|--|--|---|----------|
| 1.Title of<br>Security<br>(Instr. 3) | 2. Transaction Date<br>(Month/Day/Year) | 2A. Deemed<br>Execution Date, if<br>any<br>(Month/Day/Year) | 3. 4. Securities Acquire<br>Transaction(A) or Disposed of (I<br>Code (Instr. 3, 4 and 5)<br>(Instr. 8) |           | d of (D)         | 5. Amount of<br>Securities<br>Beneficially<br>Owned<br>Following<br>Reported | 6. Ownership<br>Form: Direct<br>(D) or<br>Indirect (I)<br>(Instr. 4) | 7. Nature of<br>Indirect<br>Beneficial<br>Ownership<br>(Instr. 4) |          |
|                                      |   |   | Code V   | Amount    | (A)<br>or<br>(D) | Price  | Transaction(s) (Instr. 3 and 4)                                      |   |          |
| Common<br>Stock                      | 10/03/2016                              |   | F  | 25 (1)    | D                | \$<br>31.76  | 8,311  | D   |          |
| Common<br>Stock                      | 10/04/2016                              |   | F  | 27 (2)    | D                | \$<br>30.96  | 8,284  | D   |          |

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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(9-02)

**OMB APPROVAL** 

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January 31,

2005

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Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

| 1. Title of | 2.          | 3. Transaction Date | 3A. Deemed         | 4.                     | 5.         | 6. Date Exerc                    | cisable and | 7. Titl | e and    | 8. Price of | 9. Nu  |
|-------------|-------------|---------------------|--------------------|------------------------|------------|----------------------------------|-------------|---------|----------|-------------|--------|
| Derivative  | Conversion  | (Month/Day/Year)    | Execution Date, if | Transaction            | orNumber   | Expiration D                     | ate         | Amou    | int of   | Derivative  | Deriv  |
| Security    | or Exercise |                     | any                | Code                   | of         | (Month/Day/                      | Year)       | Under   | lying    | Security    | Secui  |
| (Instr. 3)  | Price of    |                     | (Month/Day/Year)   | (Instr. 8)             | Derivative | e                                |             | Secur   | ities    | (Instr. 5)  | Bene   |
|             | Derivative  |                     |                    | Securities<br>Acquired |            |                                  |             | (Instr. | 3 and 4) |             | Own    |
|             | Security    |                     |                    |                        |            |                                  |             |         |          |             | Follo  |
|             | •           |                     |                    |                        | (A) or     |                                  |             |         |          |             | Repo   |
|             |             |                     |                    |                        | Disposed   |                                  |             |         |          |             | Trans  |
|             |             |                     |                    |                        | of (D)     |                                  |             |         |          |             | (Instr |
|             |             |                     |                    |                        | (Instr. 3, |                                  |             |         |          |             |        |
|             |             |                     |                    |                        | 4, and 5)  |                                  |             |         |          |             |        |
|             |             |                     |                    |                        |            |                                  |             |         |          |             |        |
|             |             |                     |                    |                        |            |                                  |             |         | Amount   |             |        |
|             |             |                     |                    |                        |            | Date Expiration Exercisable Date | Expiration  |         | or       |             |        |
|             |             |                     |                    |                        |            |                                  | Title N     | Number  |          |             |        |
|             |             |                     |                    | C 1 17                 | (A) (D)    |                                  |             |         | of       |             |        |
|             |             |                     |                    | Code V                 | (A) (D)    |                                  |             |         | Shares   |             |        |

## **Reporting Owners**

Relationships Reporting Owner Name / Address

> Officer Other Director 10% Owner

Treloar Timothy D 1720 NORTH FIRST STREET SAN JOSE, CA 95112

VP, Ops and Water Quality

### **Signatures**

/s/ Michelle Mortensen on behalf of Timothy D. 10/05/2016 Treloar

> \*\*Signature of Reporting Person Date

## **Explanation of Responses:**

- If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- Represents the number of shares withheld by and surrendered to the Issuer to satisfy the tax withholding obligations that arose in (1) connection with the vesting of the Restricted Stock Award (RSA) Award #344.
- Represents the number of shares withheld by and surrendered to the Issuer to satisfy the tax withholding obligations that arose in **(2)** connection with the vesting of the Restricted Stock Award (RSA) Award #286.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

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