

Leroux Lilian
Form 3
March 22, 2019

FORM 3 UNITED STATES SECURITIES AND EXCHANGE COMMISSION
Washington, D.C. 20549

OMB APPROVAL

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INITIAL STATEMENT OF BENEFICIAL OWNERSHIP OF SECURITIES

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934,
Section 17(a) of the Public Utility Holding Company Act of 1935 or Section
30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting Person *

Â Leroux Lilian

(Last) (First) (Middle)

1001 AIR BRAKE AVENUE

(Street)

WILMERDING,Â PAÂ

(City) (State) (Zip)

2. Date of Event Requiring Statement

(Month/Day/Year)
03/12/2019

3. Issuer Name and Ticker or Trading Symbol

WESTINGHOUSE AIR BRAKE TECHNOLOGIES
CORP [WAB]

4. Relationship of Reporting Person(s) to Issuer

(Check all applicable)

Director 10% Owner
 Officer Other
(give title below) (specify below)
President - Transit

5. If Amendment, Date Original Filed(Month/Day/Year)

6. Individual or Joint/Group Filing(Check Applicable Line)
 Form filed by One Reporting Person
 Form filed by More than One Reporting Person

Table I - Non-Derivative Securities Beneficially Owned

1. Title of Security (Instr. 4)

2. Amount of Securities Beneficially Owned (Instr. 4)

3. Ownership Form: Direct (D) or Indirect (I) (Instr. 5)

4. Nature of Indirect Beneficial Ownership (Instr. 5)

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

SEC 1473 (7-02)

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

Table II - Derivative Securities Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 4)

2. Date Exercisable and Expiration Date (Month/Day/Year)

Date Exercisable Expiration Date

3. Title and Amount of Securities Underlying Derivative Security (Instr. 4)

Title Amount or Number of Shares

4. Conversion or Exercise Price of Derivative Security

5. Ownership Form of Derivative Security: Direct (D) or Indirect (I)

6. Nature of Indirect Beneficial Ownership (Instr. 5)

(Instr. 5)

| | | | | | | | |
|---------------|---------------------------|------------|--------------|-------|-------------------|---|---|
| Phantom Stock | 03/01/2021 ⁽¹⁾ | 03/01/2021 | Common Stock | 1,100 | \$ ⁽²⁾ | D | Â |
| Phantom Stock | 03/01/2022 ⁽³⁾ | 03/01/2022 | Common Stock | 2,250 | \$ ⁽²⁾ | D | Â |
| Phantom Stock | 12/31/2021 ⁽⁴⁾ | 12/31/2021 | Common Stock | 2,500 | \$ ⁽²⁾ | D | Â |

Reporting Owners

| Reporting Owner Name / Address | Relationships | | | |
|---|---------------|-----------|-----------------------|-------|
| | Director | 10% Owner | Officer | Other |
| Leroux Lilian 1001 AIR BRAKE AVENUE WILMERSDING, PA | Â | Â | Â President - Transit | Â |

Signatures

David L. DeNinno, POA for Lilian
Leroux

03/22/2019

__Signature of Reporting Person

Date

Explanation of Responses:

- * If the form is filed by more than one reporting person, see Instruction 5(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Shares of Phantom Stock vest at a rate of 50% per year beginning March 1, 2020.
- (2) Each share of phantom stock is the economic equivalent of one share of Westinghouse Air Brake Technologies Corporation's common stock.
- (3) Shares of Phantom Stock vest at 33.33% per year beginning March 1, 2020.
- (4) Shares of Phantom Stock vest 50% on December 31, 2019, 25% on December 31, 2020, and 25% on December 31, 2021.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, See Instruction 6 for procedure.

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