Norbe Todd Form 4 December 14, 2018

### UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

Check this box if no longer subject to Section 16. Form 4 or

# STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF

**SECURITIES** 

**OMB APPROVAL** 

3235-0287

January 31,

2005

0.5

**OMB** 

Number:

Expires:

response...

5. Relationship of Reporting Person(s) to

Estimated average

burden hours per

obligations may continue. See Instruction

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

2. Issuer Name and Ticker or Trading

1(b).

Common

Stock

12/13/2018

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(Print or Type Responses)

1. Name and Address of Reporting Person \*

Norbe Todd	Symbol BIOLASE, INC [BIOL]					C	Issuer (Check all applicable)					
(Last) (First) (Middle) 4 CROMWELL			3. Date of Earliest Transaction (Month/Day/Year)						X Director 10% Owner X Officer (give title Other (specify			
4 CROW WELL			12/12/2018						below)  President and CEO			
	(Street) 4. If Amendment, Date Original Filed(Month/Day/Year)					6. Individual or Joint/Group Filing(Check Applicable Line) _X_ Form filed by One Reporting Person						
IRVINE, CA 92618									Form filed by More than One Reporting Person			
(City)	(State)	(Zip) Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned										
1.Title of Security (Instr. 3)	2. Transaction Da (Month/Day/Year	Execution (	xecution Date, if Transaction(A) or Disposed of		d of	5. Amount of Securities Form: Direct Indirect Beneficially (D) or Benefici Owned Indirect (I) Ownersh Following (Instr. 4) (Instr. 4) Reported Transaction(s)						
Common Stock	12/12/2018			Code P	V	Amount 5,000	(D)	Price \$ 1.03	(Instr. 3 and 4) 5,000	D		
Common Stock	12/13/2018			P		4,100	A	\$ 1.04	9,100	D		
Common Stock	12/13/2018			P		3,700	A	\$ 1.03	12,800	D		

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

P

2,200 A

15,000

D

#### Edgar Filing: Norbe Todd - Form 4

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SEC 1474 (9-02)

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of	2.	3. Transaction Date		4.	5.	6. Date Exerc		7. Title		8. Price of	9. Nu
Derivative	Conversion	(Month/Day/Year)	Execution Date, if	Transaction	onNumber	Expiration Date		Amour	it of	Derivative	Deriv
Security	or Exercise		any	Code	of	(Month/Day/	Year)	Underl	ying	Security	Secui
(Instr. 3)	Price of		(Month/Day/Year)	(Instr. 8)	Derivative	e		Securit	ies	(Instr. 5)	Bene
	Derivative		• •	·	Securities			(Instr.	3 and 4)	, , ,	Owne
	Security				Acquired			Ì			Follo
	·				(A) or						Repo
					Disposed						Trans
					of (D)						(Instr
					(Instr. 3,						Ì
					4, and 5)						
					, ,						
									Amount		
						Date	Expiration		or		
						Exercisable	Date	Title Number			
						Exercisable Date	Date		of		
				Code V	(A) (D)				Shares		

## **Reporting Owners**

Reporting Owner Name / Address		Re	elationships		
	Director	10% Owner	Officer		

Director 10% Owner Officer Other

Norbe Todd

4 CROMWELL X President and CEO

IRVINE, CA 92618

### **Signatures**

/s/ Michael C. Carroll, attorney-in-fact for Todd Norbe

\*\*Signature of Reporting Person Date

### **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

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