PEARSON DAVID T.

Form 4

March 19, 2018

### FORM 4

# UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549

Check this box if no longer subject to Section 16. Form 4 or

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF **SECURITIES** 

Form 5 Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, obligations Section 17(a) of the Public Utility Holding Company Act of 1935 or Section may continue. See Instruction

30(h) of the Investment Company Act of 1940

1(b).

(Last)

(Print or Type Responses)

1. Name and Address of Reporting Person \* 2. Issuer Name and Ticker or Trading PEARSON DAVID T. Symbol

(Middle)

VONAGE HOLDINGS CORP [VG]

3. Date of Earliest Transaction (Month/Day/Year)

03/15/2018

4. If Amendment, Date Original Filed(Month/Day/Year)

5. Relationship of Reporting Person(s) to Issuer

below)

(Check all applicable)

**OMB** 

Number:

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**OMB APPROVAL** 

3235-0287

January 31,

2005

0.5

Director 10% Owner \_X\_\_ Officer (give title Other (specify

Chief Financial Officer

6. Individual or Joint/Group Filing(Check

Applicable Line)

\_X\_ Form filed by One Reporting Person Form filed by More than One Reporting

Person

#### HOLMDEL, NJ 07733

(First)

(Street)

C/O VONAGE HOLDINGS

CORP., 23 MAIN STREET

(City)	(State)	(Zip) Tabl	e I - Non-I	Derivative S	Securi	ities Acqu	iired, Disposed of	f, or Beneficiall	y Owned
1.Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. 4. Securities Acquir Transaction(A) or Disposed of Code (Instr. 3, 4 and 5) (Instr. 8)  (A) or		of (D) 5)	5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)	
Common Stock (1)	03/15/2018		Code V M	Amount 54,734	(D)	Price \$ 0	267,776	D	
Common Stock	03/15/2018		F	18,747	D	\$ 10.74	249,029	D	
Common Stock (2)	03/15/2018		M	66,437	A	\$ 0	315,466	D	
Common Stock	03/15/2018		F	31,623	D	\$ 10.74	283,843	D	
Common Stock (3)	03/16/2018		M	47,617	A	\$ 0	331,460	D	

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Common Stock 03/16/2018 F 23,452 D \$ 308,008 D

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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SEC 1474

(9-02)

## Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transaction Code (Instr. 8)	5. Number of orDerivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)		6. Date Exercisable and Expiration Date (Month/Day/Year)		7. Title and Amount of Underlying Securities (Instr. 3 and 4)	
				Code V	(A)	(D)	Date Exercisable	Expiration Date	Title	Amount or Number of Share
Restricted Stock Unit	\$ 0	03/15/2018		A	93,896		<u>(4)</u>	<u>(4)</u>	Common Stock	93,896
Restricted Stock Unit	\$ 0	03/15/2018		M		54,734	(5)	(5)(6)	Common Stock	54,734
Restricted Stock Unit	\$ 0	03/15/2018		M		66,437	<u>(6)</u>	(6)	Common Stock	66,437
Restricted Stock Unit	\$ 0	03/16/2018		M		47,617	<u>(7)</u>	<u>(7)</u>	Common Stock	47,617

### **Reporting Owners**

Reporting Owner Name / Address Relationships

Director 10% Owner Officer Other

PEARSON DAVID T. C/O VONAGE HOLDINGS CORP. 23 MAIN STREET HOLMDEL, NJ 07733

Chief Financial Officer

**Signatures** 

/s/ Randy K. Rutherford, Attorney-in-fact for David T.
Pearson 03/16/2018

\*\*Signature of Reporting Person Date

Reporting Owners 2

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### **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Represents vesting of the first installment of the restricted stock unit granted on March 15, 2017.
- (2) Represents vesting of the second installment of the restricted stock unit granted on March 15, 2016.
- (3) Represents vesting of the final installment of the restricted stock unit granted on March 16, 2015.
- (4) The restricted stock unit vests in equal annual installments on the first through third anniversaries of March 15, 2018.
- (5) The restricted stock unit vests in equal annual installments on the first through third anniversaries of March 15, 2017.
- (6) The restricted stock unit vests in equal annual installments on the first through third anniversaries of March 15, 2016.
- (7) The restricted stock unit vests in equal annual installments on the first through third anniversaries of March 16, 2015.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.