## Edgar Filing: Caruso Daniel - Form 4

Caruso Dan Form 4	iel										
January 12, <b>FORN</b>	ЛЛ								B APPROVAL		
Check t	UNITED STAT		RITIES A shington				COMMISSIC	N OMB Numbe			
if no lor subject Section Form 4 Form 5 obligation may cor	so 16. or Filed pursuant tinue. Section 17(a) of t	STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940						Estima burden respon	ted average hours per		
See Instruction 50(ff) of the Investment Company Act of 1940 1(b).											
(Print or Type	Responses)										
1. Name and Caruso Dar	Address of Reporting Person hiel	Symbol	er Name <b>an</b> Group Hol			-	5. Relationship Issuer				
(Last)	(First) (Middle)	3. Date of	of Earliest T	C	-	-		neck all appli			
(Month/I 1805 29TH STREET SUITE 2050 01/11/2				th/Day/Year) 1/2018				_X_ Director10% Owner _X_ Officer (give title Other (specify below) below) CEO			
			endment, Date Original onth/Day/Year)				6. Individual or Joint/Group Filing(Check Applicable Line) _X_ Form filed by One Reporting Person Form filed by More than One Reporting Person				
(City)	(State) (Zip)	Tal	ole I - Non-	Derivativ	e Secu	rities A	cquired, Disposed	l of, or Bene	ficially Owned		
1.Title of Security (Instr. 3)	2. Transaction Date 2A. E (Month/Day/Year) Exect any (Mon	3. 4. Securities Acquired Transactior(A) or Disposed of Code (D) (Instr. 8) (Instr. 3, 4 and 5) (A) or				5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)			
Common	01/11/2018		Code V $\frac{S^{(1)}}{S^{(1)}}$	Amount 9,100	(D) D	Price \$ 36.7	167,809	I	By Bear		
Stock	01/11/2010		~_	,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,	2	(2)	101,005	-	Equity, LLC		
Common Stock	01/12/2018		S <u>(1)</u>	3,400	D	\$ 36.7 (3)	164,409	I	By Bear Equity, LLC		
Common Stock							4,286,654	D			
Common Stock							1,130,957	I	By Bear Investments, LLLP		

Common Stock			4,500,000	) I		By DPC Bear Enterpr LLC (4)	rises,		
Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly. Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.									
Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned         (e.g., puts, calls, warrants, options, convertible securities)									
1. Title of Derivative2.3. Transactio (Month/Day/ SecuritySecurity (Instr. 3)Price of Derivative Security	Date 3A. Deemed ear) Execution Date, if any (Month/Day/Year)	Code of	(Month/Day/ ive es d d	ate	7. Title Amour Underl Securit (Instr. 1	nt of ying	8. Price of Derivative Security (Instr. 5)	9. Nu Deriv Secu Bene Owno Follo Repo Trans (Instr	
		Code V (A) (I	Date Exercisable	Expiration Date	Title	Amount or Number of Shares			

## **Reporting Owners**

Reporting Owner Name / Address	Relationships						
	Director	10% Owner	Officer	Other			
Caruso Daniel 1805 29TH STREET SUITE 2050 BOULDER, CO 80301	Х		CEO				
Signatures							
/s/ Laura Littman, as attorney-in-fact	01/	/12/2018					
**Signature of Reporting Person		Date					

## **Explanation of Responses:**

\* If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).

\*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

(1) The sale reported was effected pursuant to a Rule 10b5-1 trading plan adopted by the reporting person on August 24, 2017.

(2) The price reported is a weighted average price. These shares were sold in multiple transactions at prices ranging from \$36.70 to \$36.72. The reporting person undertakes to provide the Company, any security holder of the Company, or the staff of the Securities and Exchange

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Commission, upon request, full information regarding the number of shares sold at each separate price within the range set forth in in this footnote

The price reported is a weighted average price. These shares were sold in multiple transactions at prices ranging from \$36.70 to \$36.75. The reporting person undertakes to provide the Company, any security holder of the Company, or the staff of the Securities and Exchange

(3) The reporting person undertakes to provide the Company, any security holder of the Company, of the start of the Securities and Exchange Commission, upon request, full information regarding the number of shares sold at each separate price within the range set forth in in this footnote.

The reporting person disclaims beneficial ownership of the shares held by DPC Papa Bear Enterprises, LLC, except to the extent of his(4) pecuniary interest therein, and the inclusion of these shares in this report shall not be deemed an admission of beneficial ownership of all of the reported shares for purposes of Section 16 or for any other purpose.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.