Edgar Filing: APOGEE ENTERPRISES, INC. - Form 4

| APOGEE ENTER Form 4 April 04, 2017 | RPRISES, II | NC. | J | | | , | | | | |
|---|---------------------|---|-------------------|---|----------------|--|---|--|---|--|
| FORM 4 | | | | | | | | | PPROVAL | |
| | UNITED | STATES | | RITIES A shington | | NOMB Number: | 3235-0287 | | | |
| Check this box if no longer | | | Expires: | January 31, 2005 | | | | | | |
| subject to Section 16. | STATEN | STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES | | | | | | | | |
| Form 4 or Form 5 | Filed put | rement to S | lection . | 16(a) of t | na Sacur | ities Excha | nge Act of 1934, | response | . 0.5 | |
| obligations may continue. <i>See</i> Instruction 1(b). | Section 17(| (a) of the I | Public U | tility Ho | lding Co | | of 1935 or Sectio | on | | |
| (Print or Type Respon | nses) | | | | | | | | | |
| 1. Name and Addres | Person [*] | 2. Issue Symbol | er Name an | d Ticker o | r Trading | 5. Relationship of Reporting Person(s) to Issuer | | | | |
| | | | APOG | EE ENTE 3] | ERPRISE | ES, INC. | (Check all applicable) | | | |
| (Last) (First) (Middle) 9085 BRECKENRIDGE LANE | | | | of Earliest T Day/Year) 2017 | ransaction | | X_ Director 10% Owner Officer (give title Other (specify below) below) | | | |
| (Street) | | | | endment, D onth/Day/Yea | - | al | 6. Individual or Joint/Group Filing(Check Applicable Line) _X_ Form filed by One Reporting Person | | | |
| EDEN PRAIRIE | E, MN 55437 | 7 | | | | | Form filed by Person | More than One R | eporting | |
| (City) (| (State) | (Zip) | Tab | le I - Non- | Derivative | e Securities A | cquired, Disposed o | of, or Beneficia | lly Owned | |
| (Instr. 3) | | 2A. Deemo Execution any (Month/Da | Date, if | 3. 4. Securities TransactionAcquired (A) or Code Disposed of (D) (Instr. 8) (Instr. 3, 4 and 5) (A) | | (A) or l of (D) 4 and 5) (A) | Securities Beneficially Owned | 6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4) | 7. Nature of Indirect Beneficial Ownership (Instr. 4) | |
| | | | | Code V | Amount | or (D) Price | (Instr. 3 and 4) | | | |
| Reminder: Report on | a separate line | e for each cla | ass of sec | urities bene | - | - | - | | | |
| | | | | | infor requi | mation cont red to resp | spond to the collect ained in this form ond unless the for ntly valid OMB con | are not rm | SEC 1474 (9-02) | |

 Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned
 (e.g., puts, calls, warrants, options, convertible securities)

| 1. Title of | 2. | 3. Transaction Date | 3A. Deemed | 4. | 5. Number | 6. Date Exercisable and | 7. Title and Amount of | 8. Price |
|-------------|-------------|---------------------|--------------------|-------------|------------|-------------------------|------------------------|-----------|
| Derivative | Conversion | (Month/Day/Year) | Execution Date, if | Transaction | onof | Expiration Date | Underlying Securities | Derivativ |
| Security | or Exercise | | any | Code | Derivative | (Month/Day/Year) | (Instr. 3 and 4) | Security |

number.

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| (Instr. 3) | Price of Derivative Security | (Mo | onth/Day/Year) | (Instr. | 8) | Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5) | | | | | (Instr. 5) | |
|--------------------------------------|------------------------------------|------------|----------------|--------------|----|---|-----|---------------------|--------------------|-----------------|--|---------|
| | | | | Code | V | (A) | (D) | Date Exercisable | Expiration Date | Title | Amount or Number of Shares | |
| Phantom Stock Units <u>(1)</u> | \$ 0 <u>(2)</u> | 03/31/2017 | | A <u>(3)</u> | | 112 | | <u>(1)</u> | <u>(1)</u> | Common Stock | 112 | \$ 59.6 |

Reporting Owners

| Reporting Owner Name / Address | | Relationsh | | |
|---|------------|------------|---------|-------|
| | Director | 10% Owner | Officer | Other |
| ALDRICH BERNARD P 9085 BRECKENRIDGE LANE EDEN PRAIRIE, MN 55437 | Х | | | |
| Signatures | | | | |
| /s/ Patricia A. Beithon, Attorney- Aldrich | 04/04/2017 | | | |

**Signature of Reporting Person

Date

Explanation of Responses:

* If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

The phantom stock units were allocated under the Deferred Compensation Plan for Non-Employee Directors. The units of phantom stock(1) will be settled in shares of common stock following the director's termination from the Board in accordance with the election of the reporting person, or following the occurrence of other events specified in the Plan.

- (2) Settled 1-for-1.
- (3) Units acquired pursuant to a dividend equivalent reinvestment feature of the Deferred Compensation Plan for Non-Employee Directors.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.