Approach Resources Inc Form 4 December 06, 2016

### UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

Check this box if no longer subject to Section 16.

### STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF **SECURITIES**

Expires:

OMB

Number:

Estimated average burden hours per response... 0.5

**OMB APPROVAL** 

3235-0287

January 31,

2005

Form 4 or Form 5 obligations may continue. See Instruction

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1(b).

(Last)

(City)

1. Name and Address of Reporting Person \* Datla Uma L.

2. Issuer Name and Ticker or Trading Symbol

5. Relationship of Reporting Person(s) to Issuer

(Middle)

(Zip)

Approach Resources Inc [AREX]

(Check all applicable)

ONE RIDGMAR CENTRE, 6500

(Street)

(State)

(First)

3. Date of Earliest Transaction

(Month/Day/Year) 12/03/2016

Director 10% Owner Other (specify X\_ Officer (give title below)

WEST FREEWAY, SUITE 800

4. If Amendment, Date Original Filed(Month/Day/Year)

Chief Accounting Officer 6. Individual or Joint/Group Filing(Check

Applicable Line)

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

\_X\_ Form filed by One Reporting Person Form filed by More than One Reporting

FORT WORTH, TX 76116

			Tubic	Table 1 1 (on Derivative Securities Acquired, Disposed 61, 61 Beneficiary 6 when									
1.Title of		2. Transaction Date	2A. Deemed	3.	4. Securities			5. Amount of	6. Ownership	7. Nature of			
Se	ecurity	(Month/Day/Year)	Execution Date, if	secution Date, if TransactionAcqu			r	Securities	Form: Direct	Indirect			
(I	nstr. 3)		any	Code	Disposed of (D)			Beneficially	(D) or	Beneficial			
			(Month/Day/Year)	(Instr. 8)	(Instr. 3, 4 and 5)			Owned	Indirect (I)	Ownership			
								Following	(Instr. 4)	(Instr. 4)			
								Reported					
						(A)		Transaction(s)					
				C 1 W		or	ъ.	(Instr. 3 and 4)					
_				Code V	Amount	(D)	Price						
	ommon	12/03/2016		F	2,899	D	\$ 0	69,888	D				
S	tock				(1)		, -	,					
C	ommon				1,904								
		12/04/2016		F	(2)	D	\$ 0	67,984	D				
2	tock				(2)								

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of SEC 1474 information contained in this form are not (9-02)required to respond unless the form displays a currently valid OMB control number.

### Edgar Filing: Approach Resources Inc - Form 4

#### Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of	2.	3. Transaction Date	3A. Deemed	4.	5.	6. Date Exerc	cisable and	7. Title	and	8. Price of	9. Nu
Derivative	Conversion	(Month/Day/Year)	Execution Date, if	TransactionNumber		Expiration D	ate	Amount	t of	Derivative	Deriv
Security	or Exercise		any	Code	of	(Month/Day/	Year)	Underly	ing	Security	Secui
(Instr. 3)	Price of		(Month/Day/Year)	(Instr. 8)	Derivative	e		Securitie	es	(Instr. 5)	Bene
	Derivative				Securities			(Instr. 3	and 4)		Own
	Security				Acquired						Follo
	•				(A) or						Repo
					Disposed						Trans
					of (D)						(Instr
					(Instr. 3,						
					4, and 5)						
								^	mount		
									mount		
						Date	Expiration	Title N			
						Exercisable	e Date	Title Number of			
				C + V	(A) (D)						
				Code V	(A) (D)			S	hares		

# **Reporting Owners**

Relationships Reporting Owner Name / Address

> Officer Other Director 10% Owner

Datla Uma L. ONE RIDGMAR CENTRE 6500 WEST FREEWAY, SUITE 800 FORT WORTH, TX 76116

Chief Accounting Officer

# **Signatures**

/s/ J. Curtis Henderson, as attorney-in-fact

12/06/2016

\*\*Signature of Reporting Person

Date

# **Explanation of Responses:**

- If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- Represents tax liability on December 3, 2016, satisfied by withholding 2,899 shares incident to the vesting of securities under a Restricted **(1)** Stock Award Agreement dated December 3, 2015 between the Reporting Person and the Issuer.
- Represents tax liability on December 4, 2016, satisfied by withholding 1,904 shares incident to the vesting of securities under a Restricted Stock Award Agreement dated December 4, 2014 between the Reporting Person and the Issuer.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

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