

HOME BANCSHARES INC

Form 4

December 09, 2014

FORM 4**UNITED STATES SECURITIES AND EXCHANGE COMMISSION
Washington, D.C. 20549**

Check this box
if no longer
subject to
Section 16.
Form 4 or
Form 5
obligations
may continue.
See Instruction
1(b).

**STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF
SECURITIES**

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934,
Section 17(a) of the Public Utility Holding Company Act of 1935 or Section
30(h) of the Investment Company Act of 1940

OMB APPROVAL

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(Print or Type Responses)

1. Name and Address of Reporting Person *
Ashley Richard H

2. Issuer Name and Ticker or Trading
Symbol
HOME BANCSHARES INC
[HOMB]

5. Relationship of Reporting Person(s) to
Issuer

(Check all applicable)

(Last) (First) (Middle)

3. Date of Earliest Transaction
(Month/Day/Year)
12/05/2014

☒ Director ☐ 10% Owner
☐ Officer (give title below) ☐ Other (specify below)

P.O. BOX 966

(Street)

4. If Amendment, Date Original
Filed(Month/Day/Year)

6. Individual or Joint/Group Filing(Check
Applicable Line)
☒ Form filed by One Reporting Person
☐ Form filed by More than One Reporting
Person

CONWAY, AR 72033

(City) (State) (Zip)

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

1. Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transaction Code (Instr. 8)	4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5)				5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)
			Code	V	Amount	(A) or (D)	Price			
Common Stock	12/05/2014		S		32,100	D	\$ 32.047	1,102,518	I	By RH Ashley Investments LLC
Common Stock	12/08/2014		S		42,014	D	\$ 31.9127	1,060,504	I	By RH Ashley Investments LLC
Common Stock	12/09/2014		S		5,886	D	\$ 31.3012	1,054,618	I	By RH Ashley Investments LLC

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Common Stock	3,700.603 ⁽⁶⁾	I	By Wife IRA
Common Stock	1,234,480	I	By Conservative Development
Common Stock	12,834.8	I	By Wife
Common Stock	7,422 ⁽⁴⁾	I	By IRA
Common Stock	544	I	Custodian for children
Common Stock	1,750	I	By Square Associates LLC
Common Stock - Restricted	1,333 ⁽²⁾ ⁽³⁾ ⁽⁵⁾	D	
Common Stock	63,967.9952 ⁽⁵⁾	D	

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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(9-02)

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned
(e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transaction Code (Instr. 8)	5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	6. Date Exercisable and Expiration Date (Month/Day/Year)		7. Title and Amount of Underlying Securities (Instr. 3 and 4)	8. Price or Derivative Security (Instr. 3)
						Date Exercisable	Expiration Date	Title	Amount or Number of Shares
Common Stock	\$ 8.6			Code V (A) (D)		(1)	01/09/2018	Common Stock	2,376

Reporting Owners

Reporting Owner Name / Address	Relationships			
	Director	10% Owner	Officer	Other
Ashley Richard H P.O. BOX 966 CONWAY, AR 72033	X			

Signatures

/s/Richard H. Ashley by Rachel Wesson	12/09/2014
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__Signature of Reporting Person

Date

Explanation of Responses:

- * If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. *See* 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) The option became exercisable in five equal annual installments beginning on January 10, 2009.
- (2) Restricted Stock granted on April 21, 2011 will vest in 33 1/3% installments over three years each April 21st.
- (3) Restricted Stock granted on January 18, 2013 will vest in 33 1/3% installments over three years each January 18th.
- (4) Includes 31 shares acquired through the Home BancShares, Inc. Dividend Reinvestment Plan since the last filing.
- (5) The reporting person had 1,333 shares vest since the last filing.
- (6) Includes 32.603 shares acquired through the Home BancShares, Inc. Dividend Reinvestment Plan since the last filing.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure.

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