#### Edgar Filing: PACIFIC BIOSCIENCES OF CALIFORNIA, INC. - Form 4/A

PACIFIC BIOSCIENCES OF CALIFORNIA, INC.

Form 4/A August 21, 2014

### FORM 4

#### UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

Check this box

if no longer subject to Section 16. Form 4 or

Form 5 obligations may continue.

See Instruction

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF **SECURITIES** 

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1(b).

1. Name and Address of Reporting Person \* Ericson William W.

PACIFIC BIOSCIENCES OF CALIFORNIA, INC. [PACB]

4. If Amendment, Date Original

(Last) (First) (Middle)

C/O MOHR DAVIDOW VENTURES, 3000 SAND HILL ROAD, #3-290

(Street)

(State)

(Month/Day/Year)

Filed(Month/Day/Year) 06/12/2014

2. Issuer Name and Ticker or Trading Symbol

3. Date of Earliest Transaction (Month/Day/Year) 06/10/2014

5. Relationship of Reporting Person(s) to

Issuer

(Check all applicable)

OMB

Number:

Expires:

response...

Estimated average

burden hours per

**OMB APPROVAL** 

3235-0287

January 31,

2005

0.5

\_X\_\_ Director 10% Owner Other (specify Officer (give title below)

6. Individual or Joint/Group Filing(Check

Applicable Line)

Securities

Following

Reported

Transaction(s)

(Instr. 3 and 4)

Owned

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

\_X\_ Form filed by One Reporting Person Form filed by More than One Reporting

MENLO PARK, CA 94025

1.Title of 2. Transaction Date 2A. Deemed

(City)

(Zip)

3. 4. Securities Acquired (A) 5. Amount of Transactionr Disposed of (D) Execution Date, if

> Code (Instr. 3, 4 and 5) (Instr. 8)

> > (A) or (D) Price

4,667,318

Ownership Beneficially Form: Direct (D) or Indirect

(Instr. 4)

I

Indirect Beneficial Ownership (Instr. 4)

7. Nature of

**MDV VII** LP, nom

for MDV VII LP, **MDV VII** Leaders' Fund LP, **MDV ENF VII** (A) LP, and MDV

Common

Stock

Security

(Instr. 3)

06/10/2014

(Month/Day/Year)

Code V Amount P 68,921

A \$ 5.2112

ENF VII (B) LP (1)

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

SEC 1474

(9-02)

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of	2.	3. Transaction Date	3A. Deemed	4.	5.	6. Date Exer	cisable and	7. Titl	e and	8. Price of	9. Nu
Derivative	Conversion	(Month/Day/Year)	Execution Date, if	Transacti	orNumber	Expiration D	ate	Amou	int of	Derivative	Deriv
Security	or Exercise		any	Code	of	(Month/Day/	Year)	Under	lying	Security	Secui
(Instr. 3)	Price of		(Month/Day/Year)	(Instr. 8)	Derivativ	e		Securi	ities	(Instr. 5)	Bene
	Derivative				Securities	3		(Instr.	3 and 4)		Own
	Security				Acquired						Follo
					(A) or						Repo
					Disposed						Trans
					of (D)						(Instr
					(Instr. 3,						
					4, and 5)						
									Amount		
									or		
						Date	Expiration	Title	Number		
						Exercisable	Date	11110	of		
				Code V	(A) (D)				Shares		

# **Reporting Owners**

Reporting Owner Name / Address	Relationships						
•	Director	10% Owner	Officer	Other			
Ericson William W.							
C/O MOHR DAVIDOW VENTURES	X						
3000 SAND HILL ROAD, #3-290	Λ						
MENLO PARK, CA 94025							

### **Signatures**

/s/ William W.
Ericson

\*\*Signature of Date

Reporting Person

# **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Seventh MDV Partners, L.L.C. is the general partner of (i) MDV VII, L.P., as nominee for MDV VII, L.P., MDV VII Leaders' Fund, L.P., MDV ENF VII(A), L.P., and MDV ENF(B), L.P., (ii) MDV VII, L.P., (iii) MDV ENF VII (A), L.P., (iv) MDV ENF VII (B), L.P. and (v) MDV VII Leaders' Fund, L.P. (the "MDV VII Funds") and has sole voting and investment power over the shares. William Ericson is a general partner with Mohr Davidow Ventures, and may be deemed to indirectly beneficially own the shares affiliated with the

Reporting Owners 2

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MDV VII Funds. Mr. Ericson disclaims beneficial ownership of the shares held by the MDV VII Funds except to the extent of any pecuniary interest therein.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.