

HOME BANCSHARES INC

Form 4

November 05, 2013

**FORM 4****UNITED STATES SECURITIES AND EXCHANGE COMMISSION  
Washington, D.C. 20549**

Check this box  
if no longer  
subject to  
Section 16.  
Form 4 or  
Form 5  
obligations  
may continue.  
See Instruction  
1(b).

**STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF  
SECURITIES**

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934,  
Section 17(a) of the Public Utility Holding Company Act of 1935 or Section  
30(h) of the Investment Company Act of 1940

## OMB APPROVAL

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response... 0.5

(Print or Type Responses)

1. Name and Address of Reporting Person \*  
Adcock Robert H Jr

2. Issuer Name **and** Ticker or Trading  
Symbol  
HOME BANCSHARES INC  
[HOMB]

5. Relationship of Reporting Person(s) to  
Issuer

(Check all applicable)

(Last) (First) (Middle)

3. Date of Earliest Transaction  
(Month/Day/Year)  
11/01/2013

☒ Director ☐ 10% Owner  
☐ Officer (give title below) ☐ Other (specify below)

P.O. BOX 966

(Street)

4. If Amendment, Date Original  
Filed(Month/Day/Year)

6. Individual or Joint/Group Filing(Check  
Applicable Line)  
☒ Form filed by One Reporting Person  
☐ Form filed by More than One Reporting  
Person

CONWAY, AR 72033

(City) (State) (Zip)

**Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned**

| 1. Title of<br>Security<br>(Instr. 3) | 2. Transaction Date<br>(Month/Day/Year) | 2A. Deemed<br>Execution Date, if<br>any<br>(Month/Day/Year) | 3. Transaction<br>Code<br>(Instr. 8) | 4. Securities Acquired (A)<br>or Disposed of (D)<br>(Instr. 3, 4 and 5) | 5. Amount of<br>Securities<br>Beneficially<br>Owned<br>Following<br>Reported<br>Transaction(s)<br>(Instr. 3 and 4) | 6. Ownership<br>Form:<br>Direct (D)<br>or Indirect<br>(I)<br>(Instr. 4) | 7. Nature of<br>Indirect<br>Beneficial<br>Ownership<br>(Instr. 4) |                                                           |                                    |
|---------------------------------------|-----------------------------------------|-------------------------------------------------------------|--------------------------------------|-------------------------------------------------------------------------|--------------------------------------------------------------------------------------------------------------------|-------------------------------------------------------------------------|-------------------------------------------------------------------|-----------------------------------------------------------|------------------------------------|
|                                       |                                         |                                                             | Code                                 | V                                                                       | Amount                                                                                                             | (A)<br>or<br>(D)                                                        | Price                                                             |                                                           |                                    |
| Common<br>Stock                       | 05/14/2013                              |                                                             | G                                    | V                                                                       | 1,356                                                                                                              | D                                                                       | \$ 0                                                              | 768,104 <sup>(3)</sup> <sup>(5)</sup><br><sub>(6)</sub> I | By<br>Robert H.<br>Adcock<br>Trust |
| Common<br>Stock                       | 07/15/2013                              |                                                             | G                                    | V                                                                       | 3,365                                                                                                              | D                                                                       | \$ 0                                                              | 764,739 I                                                 | By<br>Robert H.<br>Adcock<br>Trust |
| Common<br>Stock                       | 11/01/2013                              |                                                             | S                                    |                                                                         | 2,975                                                                                                              | D                                                                       | \$<br>33.8427                                                     | 761,764 I                                                 | By<br>Robert H.<br>Adcock<br>Trust |

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|                                 |            |   |   |       |   |      |                                                                      |   |                             |
|---------------------------------|------------|---|---|-------|---|------|----------------------------------------------------------------------|---|-----------------------------|
| Common<br>Stock                 | 05/14/2013 | G | V | 1,356 | D | \$ 0 | 176,640 <sup>(3)</sup>                                               | I | By Carol<br>Adcock<br>Trust |
| Common<br>Stock                 |            |   |   |       |   |      | 37,083.0126<br><sup>(3)</sup> <sup>(4)</sup>                         | I | By IRA                      |
| Common<br>Stock -<br>Restricted |            |   |   |       |   |      | 2,666 <sup>(1)</sup> <sup>(2)</sup><br><sup>(3)</sup> <sup>(5)</sup> | D |                             |

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

**Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.**

SEC 1474  
(9-02)

**Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned**  
(e.g., puts, calls, warrants, options, convertible securities)

| 1. Title of<br>Derivative<br>Security<br>(Instr. 3) | 2. Conversion<br>or Exercise<br>Price of<br>Derivative<br>Security | 3. Transaction Date<br>(Month/Day/Year) | 3A. Deemed<br>Execution Date, if<br>any<br>(Month/Day/Year) | 4. Transaction<br>Code<br>(Instr. 8) | 5. Number<br>of<br>Derivative<br>Securities<br>Acquired<br>(A) or<br>Disposed<br>of (D)<br>(Instr. 3,<br>4, and 5) | 6. Date Exercisable and<br>Expiration Date<br>(Month/Day/Year) | 7. Title and<br>Amount of<br>Underlying<br>Securities<br>(Instr. 3 and 4) | 8. Price of<br>Derivative<br>Security<br>(Instr. 5) | 9. Nu<br>Deriv<br>Secur<br>Bene<br>Own<br>Follo<br>Repo<br>Trans<br>(Instr |
|-----------------------------------------------------|--------------------------------------------------------------------|-----------------------------------------|-------------------------------------------------------------|--------------------------------------|--------------------------------------------------------------------------------------------------------------------|----------------------------------------------------------------|---------------------------------------------------------------------------|-----------------------------------------------------|----------------------------------------------------------------------------|
|                                                     |                                                                    |                                         |                                                             | Code                                 | V (A) (D)                                                                                                          | Date<br>Exercisable                                            | Expiration<br>Date                                                        | Title                                               | Amount<br>or<br>Number<br>of<br>Shares                                     |

## Reporting Owners

| Reporting Owner Name / Address                         | Relationships                    |
|--------------------------------------------------------|----------------------------------|
|                                                        | Director 10% Owner Officer Other |
| Adcock Robert H Jr<br>P.O. BOX 966<br>CONWAY, AR 72033 | X                                |

## Signatures

/s/Robert H. Adcock Jr. by Rachel  
Wesson 11/05/2013

           \*\*Signature of Reporting Person

Date

## Explanation of Responses:

\* If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).

\*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. *See* 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

- (1) Restricted Stock granted on April 21, 2011 will vest in 33 1/3% installments over three years each April 21st.
- (2) Restricted Stock granted on January 18, 2013 will vest in 33 1/3% installments over three years each January 18th.
- (3) The reporting person received shares as a result of the Company declaring a 2-for-1 stock split to shareholders of record as of May 22, 2013 and made payable June 12, 2013.
- (4) Includes 799.0126 shares acquired through the Home BancShares, Inc. Dividend Reinvestment Plan since the last filing.
- (5) The reporting person had 666 shares vest since the last filing which were subsequently transferred to the Robert H. Adcock Trust.
- (6) The reporting person transferred 668 shares since the last filing from a directly held account into their account held by the Robert H. Adcock Trust.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure.

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