

Edgar Filing: TRICO BANCSHARES / - Form 4

TRICO BANCSHARES /  
Form 4  
November 21, 2002

U.S. SECURITIES AND EXCHANGE COMMISSION  
Washington, DC 20549

FORM 4

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934,  
Section 17(a) of the Public Utility Holding Company Act of 1935 or  
Section 30(f) of the Investment Company Act of 1940

Check box if no longer subject to Section 16. Form 4 or Form 5 obligations  
may continue. See Instruction 1(b).

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1. Name and Address of Reporting Person\*

|        |         |          |
|--------|---------|----------|
| SMITH  | RICHARD | P        |
| (Last) | (First) | (Middle) |

63 CONSTITUTION DRIVE

(Street)

|        |         |       |
|--------|---------|-------|
| CHICO  | CA      | 95973 |
| (City) | (State) | (Zip) |

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2. Issuer Name and Ticker or Trading Symbol

TRICO BANCSHARES/TCBK

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3. IRS Identification Number of Reporting Person, if an Entity (Voluntary)

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4. Statement for Month/Year

11/21/02

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5. If Amendment, Date of Original (Month/Year)

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6. Relationship of Reporting Person to Issuer  
(Check all applicable)

|  |  |
|--|--|
| <input checked="" type="checkbox"/> Director                   | <input type="checkbox"/> 10% Owner             |
| <input checked="" type="checkbox"/> Officer (give title below) | <input type="checkbox"/> Other (specify below) |

PRESIDENT AND CEO

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7. Individual or Joint/Group Filing (Check applicable line)

- Form filed by one Reporting Person
- Form filed by more than one Reporting Person

Table I -- Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

| 1.<br>Title of Security<br>(Instr. 3) | 2.<br>Transaction<br>Date<br>(mm/dd/yy) | 3.<br>Transaction<br>Code<br>(Instr. 8) |   | 4.<br>Securities Acquired (A) or<br>Disposed of (D)<br>(Instr. 3, 4 and 5) |                  |       | 5.<br>Amount<br>of<br>Underlying<br>Securities<br>(Instr. 3 and 4) |
|---------------------------------------|---|---|---|--|------------------|-------|--|
|                                       |   | Code                                    | V | Amount   | (A)<br>or<br>(D) | Price |  |
| COMMON STOCK                          |   |   |   |  |                  |       | 1  |
| COMMON STOCK                          |   |   |   |  |                  |       |  |
| COMMON STOCK                          | 11/20/02                                |   | T | 5,000.0000   | A                | 22.60 | 555,8  |

FORM 4 (continued)

Table II -- Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

| 1. | 2.<br>Conver-<br>sion<br>or<br>Exer-<br>cise<br>Price<br>of | 3.<br>Trans-<br>action<br>Code | 4.<br>Trans-<br>action<br>Code | 5.<br>Number of<br>Derivative<br>Securities<br>Acquired (A)<br>or Disposed<br>of (D) | 6.<br>Date<br>Exercisable and<br>Expiration Date<br>(Month/Day/Year) | 7.<br>Title and Amount<br>of Underlying<br>Securities<br>(Instr. 3 and 4) |
|----|---|--------------------------------|--------------------------------|--|--|---|
|    |   |                                |                                |  |  | Amount  |

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| Title of<br>Derivative<br>Security<br>(Instr. 3) | Deriv-<br>ative<br>Secur-<br>ity | Date<br>(Month/<br>Day/<br>Year) | (Instr.<br>8)<br>-----<br>Code V | (Instr. 3,<br>4 and 5)<br>-----<br>(A) (D) | -----<br>Date<br>Exer-<br>cisable | Expira-<br>tion<br>Date | Title | or<br>Number<br>of<br>Shares |
|--|----------------------------------|----------------------------------|----------------------------------|--|-----------------------------------|-------------------------|-------|------------------------------|
|--|----------------------------------|----------------------------------|----------------------------------|--|-----------------------------------|-------------------------|-------|------------------------------|

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Explanation of Responses: T: Please Note: Purchased ESOP shares.

/s/ Suzanne Youngs 11/21/02  
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\*\*Signature of Reporting Person Date  
/S/ Richard P. Smith  
By Suzanne Youngs pursuant to the power of attorney dated 9/10/02.

\*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations.

See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed.  
If space provided is insufficient, see Instruction 6 for procedure.