

CARROLL DAVID M
Form 3
January 09, 2009

FORM 3 UNITED STATES SECURITIES AND EXCHANGE COMMISSION
Washington, D.C. 20549

OMB APPROVAL

OMB Number: 3235-0104
Expires: January 31, 2005
Estimated average burden hours per response... 0.5

INITIAL STATEMENT OF BENEFICIAL OWNERSHIP OF SECURITIES

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934,
Section 17(a) of the Public Utility Holding Company Act of 1935 or Section
30(h) of the Investment Company Act of 1940

(Print or Type Responses)

| | | | | | | |
|---|---------|----------|--|--|--|--|
| 1. Name and Address of Reporting Person * | | | 2. Date of Event Requiring Statement (Month/Day/Year) | 3. Issuer Name and Ticker or Trading Symbol | 4. Relationship of Reporting Person(s) to Issuer | 5. If Amendment, Date Original Filed(Month/Day/Year) |
| Â CARROLL DAVID M | | | 01/01/2009 | WELLS FARGO & CO/MN [WFC] | | |
| (Last) | (First) | (Middle) | | | | |
| ONE WACHOVIA CENTER | | | | | | |
| (Street) | | | | (Check all applicable) | | |
| CHARLOTTE,Â NCÂ 28288-0013 | | | | <input type="checkbox"/> Director <input type="checkbox"/> 10% Owner | | 6. Individual or Joint/Group Filing(Check Applicable Line) |
| (City) | (State) | (Zip) | | <input checked="" type="checkbox"/> Officer <input type="checkbox"/> Other (specify below) | | <input checked="" type="checkbox"/> Form filed by One Reporting Person |
| | | | | Senior Exec. Vice President | | <input type="checkbox"/> Form filed by More than One Reporting Person |

Table I - Non-Derivative Securities Beneficially Owned

| 1. Title of Security (Instr. 4) | 2. Amount of Securities Beneficially Owned (Instr. 4) | 3. Ownership Form: Direct (D) or Indirect (I) (Instr. 5) | 4. Nature of Indirect Beneficial Ownership (Instr. 5) |
|------------------------------------|--|---|--|
| Common Stock, \$1 2/3 par value | 57,813 | D | Â |
| Common Stock, \$1 2/3 par value | 151.8325 | I | Through 401(k) Plan |

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

SEC 1473 (7-02)

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

Table II - Derivative Securities Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

| 1. Title of Derivative Security (Instr. 4) | 2. Date Exercisable and Expiration Date (Month/Day/Year) | 3. Title and Amount of Securities Underlying Derivative Security (Instr. 4) Title | 4. Conversion or Exercise Price of Derivative Security | 5. Ownership Form of Derivative Security: Direct (D) | 6. Nature of Indirect Beneficial Ownership (Instr. 5) |
|---|---|---|--|---|--|
|---|---|---|--|---|--|

Edgar Filing: CARROLL DAVID M - Form 3

| | Date Exercisable | Expiration Date | Amount or Number of Shares | | or Indirect (I) (Instr. 5) | |
|-----------------------------------|---------------------|--------------------|--|--------|----------------------------------|--------|
| Employee Stock Purchase Option | 04/20/2000 | 04/20/2009 | Common Stock, \$1 2/3 par value | 362 | \$ 275.93 | D Â |
| Employee Stock Purchase Option | 04/20/2000 | 04/20/2009 | Common Stock, \$1 2/3 par value | 4,933 | \$ 275.93 | D Â |
| Employee Stock Purchase Option | 12/14/2000 | 12/14/2009 | Common Stock, \$1 2/3 par value | 7,257 | \$ 175.48 | D Â |
| Employee Stock Purchase Option | 01/03/2001 | 01/03/2010 | Common Stock, \$1 2/3 par value | 16,933 | \$ 158.53 | D Â |
| Employee Stock Purchase Option | 04/17/2002 | 04/17/2011 | Common Stock, \$1 2/3 par value | 9,955 | \$ 152.69 | D Â |
| Employee Stock Purchase Option | 04/17/2003 | 04/17/2011 | Common Stock, \$1 2/3 par value | 9,955 | \$ 152.69 | D Â |
| Employee Stock Purchase Option | 04/17/2004 | 04/17/2011 | Common Stock, \$1 2/3 par value | 9,955 | \$ 152.69 | D Â |
| Employee Stock Purchase Option | 07/31/2002 | 07/31/2011 | Common Stock, \$1 2/3 par value | 35,499 | \$ 175.39 | D Â |
| Employee Stock Purchase Option | 04/16/2003 | 04/16/2012 | Common Stock, \$1 2/3 par value | 7,876 | \$ 190.76 | D Â |
| Employee Stock Purchase Option | 04/16/2004 | 04/16/2012 | Common Stock, \$1 2/3 par value | 7,875 | \$ 190.76 | D Â |
| Employee Stock Purchase Option | 04/16/2005 | 04/16/2012 | Common Stock, \$1 2/3 par | 7,876 | \$ 190.76 | D Â |

Edgar Filing: CARROLL DAVID M - Form 3

| | | | value | | | | |
|--------------------------------|-------|------------|---------------------------------|-----|-----------|---|---|
| Employee Stock Purchase Option | Â (1) | 04/22/2013 | Common Stock, \$1 2/3 par value | (1) | \$ 188 | D | Â |
| Employee Stock Purchase Option | Â (2) | 04/19/2014 | Common Stock, \$1 2/3 par value | (2) | \$ 224.26 | D | Â |
| Employee Stock Purchase Option | Â (3) | 04/18/2015 | Common Stock, \$1 2/3 par value | (3) | \$ 253.03 | D | Â |
| Employee Stock Purchase Option | Â (4) | 03/31/2016 | Common Stock, \$1 2/3 par value | (4) | \$ 281.52 | D | Â |
| Employee Stock Purchase Option | Â (5) | 02/20/2017 | Common Stock, \$1 2/3 par value | (5) | \$ 293.12 | D | Â |
| Employee Stock Purchase Option | Â (6) | 02/19/2018 | Common Stock, \$1 2/3 par value | (6) | \$ 205.93 | D | Â |
| Employee Stock Purchase Option | Â (7) | 02/19/2018 | Common Stock, \$1 2/3 par value | (7) | \$ 241.08 | D | Â |
| Employee Stock Purchase Option | Â (8) | 02/19/2018 | Common Stock, \$1 2/3 par value | (8) | \$ 169.71 | D | Â |

Reporting Owners

| Reporting Owner Name / Address | Relationships | | | |
|--|---------------|-----------|-------------------------------------|-------|
| | Director | 10% Owner | Officer | Other |
| CARROLL DAVID M ONE WACHOVIA CENTER CHARLOTTE, NC 28288-0013 | Â | Â | Â Senior Exec. Vice President | Â |

Signatures

David M. Carroll, by Robert S. Singley, as
Attorney-in-Fact

01/09/2009

__Signature of Reporting Person

Date

Explanation of Responses:

- * If the form is filed by more than one reporting person, *see* Instruction 5(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. *See* 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) The vesting dates and the numbers of shares in the five tranches of this grant are as follows: 04/22/2004, 5,911 shares; 04/22/2005, 5,911 shares; 04/22/2006, 5,910 shares; 04/22/2007, 5,910 shares; 04/22/2008, 5,910 shares.
- (2) The vesting dates and the numbers of shares in the five tranches of this grant are as follows: 04/19/2005: 3,824 shares; 04/19/2006, 3,824 shares; 04/19/2007, 3,824 shares; 04/19/2008, 3,824 shares; 04/19/2009, 3,823 shares.
- (3) The vesting dates and the numbers of shares in the five tranches of this grant are as follows: 04/18/2006, 3,528 shares; 04/18/2007, 3,528 shares; 04/18/2008, 3,528 shares; 04/18/2009, 3,528 shares; 04/18/2010, 3,529 shares.
- (4) The vesting dates and the numbers of shares in the five tranches of this grant are as follows: 03/31/2007, 4,288 shares; 03/31/2008, 4,288 shares; 03/31/2009, 4,288 shares; 03/31/2010, 4,288 shares; 03/31/2011, 4,289 shares.
- (5) The vesting dates and the numbers of shares in the five tranches of this grant are as follows: 02/20/2008; 1,404 shares; 02/20/2009, 1,404 shares; 02/20/2010, 1,405 shares; 02/20/2011, 1,405 shares; 02/20/2012, 1,405 shares.
- (6) The vesting dates and the numbers of shares in the five tranches of this grant are as follows: 02/19/2009, 3,244 shares; 02/19/2010, 3,244 shares; 02/19/2011, 3,244 shares; 02/19/2012, 3,244 shares; 02/19/2013, 3,245 shares.
- (7) The vesting dates and the numbers of shares in the five tranches of this grant are as follows: 02/19/2009, 3,784 shares; 02/19/2010, 3,785 shares; 02/19/2011, 3,785 shares; 02/19/2012, 3,785 shares; 02/19/2013, 3,785 shares.
- (8) The vesting dates and the numbers of shares in the five tranches of this grant are as follows: 02/19/2009, 3,270 shares; 02/19/2010, 3,270 shares; 02/19/2011, 3,270 shares; 02/19/2012, 3,270 shares; 02/19/2013, 3,271 shares.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *See* Instruction 6 for procedure.

Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.