

NOMURA HOLDINGS INC
Form 6-K
January 10, 2019
Table of Contents

FORM 6-K

U.S. SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549

Report of Foreign Private Issuer

Pursuant to Rule 13a-16 or 15d-16 of

the Securities Exchange Act of 1934

Commission File Number: 1-15270

For the month of January 2019

NOMURA HOLDINGS, INC.

(Translation of registrant's name into English)

9-1, Nihonbashi 1-chome

Chuo-ku, Tokyo 103-8645

Japan

(Address of principal executive offices)

Indicate by check mark whether the registrant files or will file annual reports under cover Form 20-F or Form 40-F.

Form 20-F Form 40-F

Indicate by check mark if the registrant is submitting the Form 6-K in paper as permitted by Regulation S-T Rule 101(b)(1):

Indicate by check mark if the registrant is submitting the Form 6-K in paper as permitted by Regulation S-T Rule 101(b)(7):

Table of Contents

Information furnished on this form:

EXHIBIT

Exhibit Number

1. (English Translation) Share Buyback Report for the reporting month from December 1, 2018 to December 31, 2018.

Table of Contents

SIGNATURES

Pursuant to the requirements of the Securities Exchange Act of 1934, the registrant has duly caused this report to be signed on its behalf by the undersigned, thereunto duly authorized.

NOMURA HOLDINGS, INC.

Date: January 10, 2019

By: /s/ Hajime Ikeda
Hajime Ikeda
Senior Managing Director

Table of Contents

*[Translation of Share Buyback Report for the reporting month from December 1, 2018 to December 31, 2018
filed with the Director General of the Kanto Finance Bureau by Nomura Holdings, Inc. on January 10, 2019]*

Class of Shares: Common Stock

1. Status of repurchase

(1) Status of a repurchase pursuant to a resolution at a general meeting of shareholders

Not applicable

(2) Status of a repurchase pursuant to a resolution at the meeting of a board of directors (Board)

as of December 31, 2018

	Number of Shares	Total Repurchase Amount (JPY)
Authorization pursuant to the resolution of the Board (April 26, 2018)		
(Period of repurchase: from May 16, 2018 to March 29, 2019 (excluding the ten business days following the announcement of each quarterly financial results))	100,000,000	70,000,000,000
Repurchases during this reporting month (Date of repurchase)	(Date)	
	December 3	1,308,100
	December 4	1,300,000
	December 5	1,962,100
	December 6	1,960,000
	December 7	2,190,000
	December 10	2,160,000
	December 11	2,190,000
	December 12	1,802,700
		651,475,900
		666,788,810
		631,469,340
		937,575,390
		926,288,680
		1,003,406,310
		972,377,520
		1,011,290,470
		843,644,280

Edgar Filing: NOMURA HOLDINGS INC - Form 6-K

December 13	2,197,900	1,023,086,440
December 14	2,055,500	949,943,740
December 17	1,415,400	648,696,300
December 18	740,000	332,802,300
December 19		

Total	22,541,700	10,598,845,480
--------------	-------------------	-----------------------

Aggregate shares repurchased as of the end of this reporting month	100,000,000	51,702,988,850
--	-------------	----------------

Progress of share repurchase (%)	100.0	73.9
---	--------------	-------------

Table of Contents**2. Status of disposition**

as of December 31, 2018

	Number of shares disposed in the reporting month (Date)	Aggregate amount of disposition (JPY)
Treasury shares disposed subject to procedures for issuance of new shares		
Subtotal		
Treasury shares canceled	(Date)	
	December 17	150,000,000
Subtotal		89,915,970,000
Treasury shares transferred upon merger, share exchange or demerger	(Date)	
Subtotal		89,915,970,000
Other (upon request for purchasing less-than-a-full-unit shares)	(Date)	
Subtotal		
Other (exercise of stock acquisition rights)	(Date)	42,200
	December 4	72,000
	December 5	402,800
	December 6	14,500
	December 7	30,400
	December 10	15,700
	December 11	72,800
	December 12	11,100
	December 13	1,400
	December 14	130,800
	December 19	2,300
	December 20	31,000
	December 21	94,200

	December 25	10,000	10,000
	December 26		
Subtotal		931,200	3,010,200
Total		150,931,200	89,918,980,200

3. Status of shares held in treasury

as of December 31, 2018

As of the end of the reporting month	Number of Shares
Total number of issued shares	3,493,562,601
Number of shares held in treasury	185,500,141