WATERS CORP /DE/ Form 8-K October 20, 2017

### **UNITED STATES**

## SECURITIES AND EXCHANGE COMMISSION

**WASHINGTON, D.C. 20549** 

### Form 8-K

### **CURRENT REPORT**

Pursuant to Section 13 or 15(d)

of the Securities Exchange Act of 1934

Date of report (Date of earliest event reported) October 17, 2017

### **Waters Corporation**

(Exact Name of Registrant as Specified in Its Charter)

### **Delaware**

(State or Other Jurisdiction of Incorporation)

01-14010 (Commission File Number) 13-3668640 (IRS Employer Identification No.)

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34 Maple Street, Milford, Massachusetts (Address of Principal Executive Offices)

01757 (Zip Code)

(508) 478-2000

(Registrant s Telephone Number, Including Area Code)

N/A

(Former Name or Former Address, if Changed Since Last Report)

Check the appropriate box below if the Form 8-K filing is intended to satisfy the filing obligation of the registrant under any of the following provisions (*see* General Instruction A.2 below):

Written communications pursuant to Rule 425 under the Securities Act (17 CFR 230.425)

Soliciting material pursuant to Rule 14a-12 under the Exchange Act (17 CFR 240.14a-12)

Pre-commencement communications pursuant to Rule 14d-2(b) under the Exchange Act (17 CFR 240.14d-2(b))

Pre-commencement communications pursuant to Rule 13e-4(c) under the Exchange Act (17 CFR 240.13e-4(c)) Indicate by check mark whether the registrant is an emerging growth company as defined in Rule 405 of the Securities Act of 1933 (§230.405 of this chapter) or Rule 12b-2 of the Securities Exchange Act of 1934 (§240.12b-2 of this chapter). Emerging growth company

If an emerging growth company, indicate by check mark if the registrant has elected not to use the extended transition period for complying with any new or revised financial accounting standards provided pursuant to Section 13(a) of the Exchange Act.

# Item 5.02 Departure of Directors or Certain Officers; Election of Directors; Appointment of Certain Officers; Compensatory Arrangements of Certain Officers

(b) On October 17, 2017, Douglas A. Berthiaume notified Waters Corporation (the Company) of his decision to resign as a Director and Chairman of the Board of the Company, effective December 31, 2017.

### Item 7.01 Regulation FD Disclosure

The Company issued a press release on October 19, 2017 related to the matters discussed in Item 5.02 above. A copy of the press release is furnished as Exhibit 99.1 to this Current Report on Form 8-K. Exhibit 99.1 shall not be deemed filed for purposes of Section 18 of the Securities Exchange Act of 1934, as amended (the Exchange Act ), or otherwise subject to the liabilities of that Section, nor shall it be deemed to be incorporated by reference in any filing under the Securities Act of 1933, as amended, or the Exchange Act.

### Item 9.01 Financial Statements and Exhibits

(d) Exhibits

#### **Exhibit**

No. Exhibit

99.1 <u>Waters Corporation press release dated October 19, 2017</u>

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### **SIGNATURE**

Pursuant to the requirements of the Securities Exchange Act of 1934, the Registrant has duly caused this report to be signed on its behalf by the undersigned hereunto duly authorized.

### WATERS CORPORATION

Dated: October 20, 2017 By: /s/ Sherry L. Buck Name: Sherry L. Buck

Title: Senior Vice President and

Chief Financial Officer