Edgar Filing: LAKELAND FINANCIAL CORP - Form 4

LAKELAND Form 4 January 14, 2	9 FINANCIAL CC 016	DRP										
FORM Check this if no long subject to Section 16 Form 4 or Form 5 obligation may conti	 Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549 STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940 							OMB APPROVAL OMB 3235-0287 Number: January 31 Expires: January 31 2005 Estimated average burden hours per response 0.5				
(Print or Type R	esponses)											
1. Name and Address of Reporting Person <u>*</u> Augsburger Blake			2. Issuer Name and Ticker or Trading Symbol LAKELAND FINANCIAL CORP [LKFN]					-	5. Relationship of Reporting Person(s) to Issuer (Check all applicable)			
	AN IONAL, 1101 'ANIA AVE, NW	(M 01	Date of I Ionth/Da 1/12/20	y/Year)		nsaction			X Director Officer (give below)		6 Owner er (specify	
				nendment, Date Original Ionth/Day/Year)					 6. Individual or Joint/Group Filing(Check Applicable Line) _X_ Form filed by One Reporting Person 			
WASHINGT	TON, DC 20004								Form filed by M Person	More than One Re	eporting	
(City)	(State) (Z	Zip)	Table	I - Non	-De	rivative S	Securi	ties Aco	quired, Disposed o	f, or Beneficial	lly Owned	
1.Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	action Date 2A. Deemed Day/Year) Execution Date, if any (Month/Day/Year)		3. 4. Securities TransactionAcquired (A) or Code Disposed of (D) (Instr. 8) (Instr. 3, 4 and 5) (A) or Code V Amount (D) Price)	5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)	
Common Stock	01/12/2016			A		625	A	\$0	6,125	D		

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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 Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned

 (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	4. Transactio Code (Instr. 8)	5. onNumber of Derivativ Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	3	Date	7. Title and A Underlying S (Instr. 3 and	Securities	8. Price of Derivative Security (Instr. 5)
			Code V	(A) (D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares	
Phantom Stock	<u>(1)</u>				(2)	(3)	Common Stock	5,013	

Reporting Owners

Reporting Owner Name / Address		Relationships					
1	D	Director	10% Owner	Officer	Other		
Augsburger Blake C/O HARMAN INTERNATIONAL 1101 PENNSYLVANIA AVE, NW, SUI WASHINGTON, DC 20004	TE 1010	Х					
Signatures							
Teresa A. Bartman, Attorney-in-Fact	01/14/2016						
**Signature of Reporting Person	Date						

Explanation of Responses:

- * If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Each phantom stock unit exercises into 1 share of Common Stock.
- (2) Phantom Stock is exercisable after the directors' retirement as a Board member.
- (3) Phantom shares expire after the directors' retirement as a Board member.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

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Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number. ated with the convertible notes exchange offer; the Company s ability to comply with the financial tests and covenants in its existing and future debt obligations; the significant demands on the Company s liquidity while current economic and credit conditions are severely affecting its operations; and the uncertainty surrounding the transactions described herein, including the Company s ability to retain employees, customers and vendors. Item 1A Risk Factors in the Company s Annual Report on Form 10-K for the fiscal year ended November 2, 2008 identifies other important factors, though not

necessarily all such factors, that could cause future outcomes to differ materially from those set forth in the forward-looking statements. NCI expressly disclaims any obligation to release publicly any updates or revisions to these forward-looking statements to reflect any changes in its expectations.

Item 9.01. Financial Statements and Exhibits.

(d) Exhibits.

Exhibit Number		Description
10.1	Waiver Extension dated August 21, 2009.	
99.1	Press Release dated August 27, 2009.	

SIGNATURES

Pursuant to the requirements of the Securities Exchange Act of 1934, the registrant has duly caused this report to be signed on its behalf by the undersigned thereunto duly authorized.

NCI BUILDING SYSTEMS, INC.

By: /s/ Todd R. Moore Name: Todd R. Moore Title: Executive Vice President, Secretary & General Counsel

Dated: August 27, 2009

EXHIBIT INDEX

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Description

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