### Edgar Filing: DATTA DEEPSHIKHA - Form 3

#### DATTA DEEPSHIKHA

Form 3

February 26, 2018

#### UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

**OMB APPROVAL** 

**OMB** Number:

3235-0104

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INITIAL STATEMENT OF BENEFICIAL OWNERSHIP OF **SECURITIES** 

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, response... Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting

Person \*

DATTA DEEPSHIKHA

(Last)

(First)

(Middle)

Statement

(Month/Day/Year)

02/14/2018

2. Date of Event Requiring 3. Issuer Name and Ticker or Trading Symbol

XOMA Corp [XOMA]

4. Relationship of Reporting Person(s) to Issuer

5. If Amendment, Date Original

Filed(Month/Day/Year)

C/O XOMA

CORPORATION, Â 2200

**POWELL STREET, SUITE 310** 

(Street)

(Check all applicable)

10% Owner Director \_X\_\_ Officer Other (give title below) (specify below) Chief Business Officer

6. Individual or Joint/Group

Filing(Check Applicable Line) \_X\_ Form filed by One Reporting

Person

Form filed by More than One

Reporting Person

EMERYVILLE, CAÂ 94608

(City) (State) (Zip)

1. Title of Security (Instr. 4)

2. Amount of Securities Beneficially Owned

(Instr. 4)

3. Ownership

Table I - Non-Derivative Securities Beneficially Owned

4. Nature of Indirect Beneficial

Ownership (Instr. 5)

Form: Direct (D) or Indirect (I)

(Instr. 5)

Reminder: Report on a separate line for each class of securities beneficially

owned directly or indirectly.

SEC 1473 (7-02)

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

Table II - Derivative Securities Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 4)

2. Date Exercisable and **Expiration Date** (Month/Day/Year)

3. Title and Amount of Securities Underlying Derivative Security (Instr. 4)

4. Conversion or Exercise

Price of

5 Ownership Form of Derivative

6. Nature of Indirect Beneficial

Exercisable

Expiration Date

Title

Amount or Number of Derivative Security: Security Direct (D) Ownership (Instr. 5)

1

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				Shares		or Indirect (I) (Instr. 5)	
Non-Qualified Share Option (right to buy)	(1)	12/21/2027	Common Shares	80,000	\$ 33.41	D	Â

## **Reporting Owners**

Reporting Owner Name / Address	Relationships				
1	Director	10% Owner	Officer	Other	
DATTA DEEPSHIKHA C/O XOMA CORPORATION 2200 POWELL STREET, SUITE 310 EMERYVILLE Â CAÂ 94608	Â	Â	Chief Business Officer	Â	

# **Signatures**

/s/ Deepshikha Datta	02/26/2018		
**Signature of Reporting Person	Date		

## **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 5(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Shares subject to this option shall vest in equal monthly installments over three years from the grant date.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *See* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

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