

BURCAR ALISON D  
Form 4  
June 21, 2018

**FORM 4**

**UNITED STATES SECURITIES AND EXCHANGE COMMISSION**  
**Washington, D.C. 20549**

Check this box  
if no longer  
subject to  
Section 16.  
Form 4 or  
Form 5  
obligations  
may continue.  
See Instruction  
1(b).

**STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF  
SECURITIES**

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934,  
Section 17(a) of the Public Utility Holding Company Act of 1935 or Section  
30(h) of the Investment Company Act of 1940

## OMB APPROVAL

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(Print or Type Responses)

1. Name and Address of Reporting Person \*  
BURCAR ALISON D

(Last) (First) (Middle)

951 CALLE AMANECER

(Street)

SAN CLEMENTE, CA 92673

(City) (State) (Zip)

2. Issuer Name **and** Ticker or Trading  
Symbol

ICU MEDICAL INC/DE [ICUI]

3. Date of Earliest Transaction  
(Month/Day/Year)

06/21/2018

4. If Amendment, Date Original  
Filed(Month/Day/Year)

5. Relationship of Reporting Person(s) to  
Issuer

(Check all applicable)

\_\_\_\_ Director \_\_\_\_ 10% Owner  
\_\_\_\_X\_\_\_\_ Officer (give title \_\_\_\_ Other (specify  
below) below)

Vice President and Gen'l Mgr.

6. Individual or Joint/Group Filing(Check  
Applicable Line)  
\_\_\_\_X\_\_\_\_ Form filed by One Reporting Person  
\_\_\_\_ Form filed by More than One Reporting  
Person

**Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned**

| 1. Title of<br>Security<br>(Instr. 3) | 2. Transaction Date<br>(Month/Day/Year) | 2A. Deemed<br>Execution Date, if<br>any<br>(Month/Day/Year) | 3. Transaction<br>Code<br>(Instr. 8) | 4. Securities Acquired (A)<br>or Disposed of (D)<br>(Instr. 3, 4 and 5) | 5. Amount of<br>Securities<br>Beneficially<br>Owned<br>Following<br>Reported<br>Transaction(s)<br>(Instr. 3 and 4) | 6. Ownership<br>Form:<br>Direct (D)<br>or Indirect<br>(I)<br>(Instr. 4) | 7. Nature of<br>Ownership<br>Indirect<br>Beneficial<br>Ownership<br>(Instr. 4) |
|---------------------------------------|-----------------------------------------|-------------------------------------------------------------|--------------------------------------|-------------------------------------------------------------------------|--------------------------------------------------------------------------------------------------------------------|-------------------------------------------------------------------------|--------------------------------------------------------------------------------|
| Common<br>Stock                       | 06/21/2018                              |                                                             | X                                    | 13,219 A                                                                | \$ 88.76 13,219                                                                                                    | D                                                                       |                                                                                |
| Common<br>Stock                       | 06/21/2018                              |                                                             | X                                    | 1,976 A                                                                 | \$ 58.79 15,195                                                                                                    | D                                                                       |                                                                                |
| Common<br>Stock                       | 06/21/2018                              |                                                             | S                                    | 3,320 D                                                                 | \$ 295.27 11,875<br>(1)                                                                                            | D                                                                       |                                                                                |
| Common<br>Stock                       | 06/21/2018                              |                                                             | S                                    | 4,704 D                                                                 | \$ 296.34 7,171<br>(2)                                                                                             | D                                                                       |                                                                                |
|                                       | 06/21/2018                              |                                                             | S                                    | 5,502 D                                                                 | 1,669                                                                                                              | D                                                                       |                                                                                |

# Edgar Filing: BURCAR ALISON D - Form 4

|                 |            |   |       |   |                     |     |   |
|-----------------|------------|---|-------|---|---------------------|-----|---|
| Common<br>Stock |            |   |       |   | \$<br>297.38<br>(3) |     |   |
| Common<br>Stock | 06/21/2018 | S | 1,412 | D | \$<br>298.24<br>(4) | 257 | D |
| Common<br>Stock | 06/21/2018 | S | 257   | D | \$<br>301.12<br>(5) | 0   | D |

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

**Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.**

SEC 1474  
(9-02)

**Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned**  
(e.g., puts, calls, warrants, options, convertible securities)

| 1. Title of<br>Derivative<br>Security<br>(Instr. 3) | 2.<br>Conversion<br>or Exercise<br>Price of<br>Derivative<br>Security | 3. Transaction Date<br>(Month/Day/Year) | 3A. Deemed<br>Execution Date, if<br>any<br>(Month/Day/Year) | 4.<br>Transaction<br>Code<br>(Instr. 8) | 5. Number of<br>Derivative<br>Securities<br>Acquired (A)<br>or Disposed of<br>(D)<br>(Instr. 3, 4,<br>and 5) | 6. Date Exercisable and<br>Expiration Date<br>(Month/Day/Year) |     | 7. Title and Amount<br>Underlying Security<br>(Instr. 3 and 4) |                    |                 |                                     |
|-----------------------------------------------------|-----------------------------------------------------------------------|-----------------------------------------|-------------------------------------------------------------|-----------------------------------------|--------------------------------------------------------------------------------------------------------------|----------------------------------------------------------------|-----|----------------------------------------------------------------|--------------------|-----------------|-------------------------------------|
|                                                     |                                                                       |                                         |                                                             | Code                                    | V                                                                                                            | (A)                                                            | (D) | Date<br>Exercisable                                            | Expiration<br>Date | Title           | Amount<br>or<br>Number<br>of Shares |
| Non-Qualified<br>Stock Option<br>(right to buy)     | \$ 58.79                                                              | 06/21/2018                              |                                                             | X                                       |                                                                                                              | 1,976                                                          |     | <u>(6)</u>                                                     | 02/24/2024         | Common<br>Stock | 1,976                               |
| Non-Qualified<br>Stock Option<br>(right to buy)     | \$ 88.76                                                              | 06/21/2018                              |                                                             | X                                       |                                                                                                              | 13,219                                                         |     | <u>(8)</u>                                                     | 02/11/2025         | Common<br>Stock | 13,219                              |

## Reporting Owners

| Reporting Owner Name / Address                                  | Relationships                    |
|-----------------------------------------------------------------|----------------------------------|
|                                                                 | Director 10% Owner Officer Other |
| BURCAR ALISON D<br>951 CALLE AMANECER<br>SAN CLEMENTE, CA 92673 | Vice President and Gen'l Mgr.    |

## Signatures

By: Alejandro Parras, Attorney-In-Fact For: Alison Burcar

06/21/2018

\_\_Signature of Reporting Person

Date

## Explanation of Responses:

- \* If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).
  - \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. *See* 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) The price reported in Column 4 is an average weighted price. These shares were sold in multiple transactions at prices ranging from \$294.75 to \$295.75, inclusive. The reporting person undertakes to provide ICU Medical, Inc., any security holder of ICU Medical, Inc., or the staff of the Securities and Exchange Commission, upon request, full information regarding the number of shares sold at each separate price within the range set forth in this Form 4.
  - (2) The price reported in Column 4 is an average weighted price. These shares were sold in multiple transactions at prices ranging from \$295.80 to \$296.80, inclusive. The reporting person undertakes to provide ICU Medical, Inc., any security holder of ICU Medical, Inc., or the staff of the Securities and Exchange Commission, upon request, full information regarding the number of shares sold at each separate price within the range set forth in this Form 4.
  - (3) The price reported in Column 4 is an average weighted price. These shares were sold in multiple transactions at prices ranging from \$296.85 to \$297.85, inclusive. The reporting person undertakes to provide ICU Medical, Inc., any security holder of ICU Medical, Inc., or the staff of the Securities and Exchange Commission, upon request, full information regarding the number of shares sold at each separate price within the range set forth in this Form 4.
  - (4) The price reported in Column 4 is an average weighted price. These shares were sold in multiple transactions at prices ranging from \$297.95 to \$298.50, inclusive. The reporting person undertakes to provide ICU Medical, Inc., any security holder of ICU Medical, Inc., or the staff of the Securities and Exchange Commission, upon request, full information regarding the number of shares sold at each separate price within the range set forth in this Form 4.
  - (5) The price reported in Column 4 is an average weighted price. These shares were sold in multiple transactions at prices ranging from \$301.00 to \$302.00, inclusive. The reporting person undertakes to provide ICU Medical, Inc., any security holder of ICU Medical, Inc., or the staff of the Securities and Exchange Commission, upon request, full information regarding the number of shares sold at each separate price within the range set forth in this Form 4.
  - (6) Options exercisable in four equal annual cumulative installments commencing one year after the grant date.
  - (7) Transaction is the exercise of a derivative security; see Column 2.
  - (8) Options exercisable one-third annually over the first three anniversaries of the grant date.

### Remarks:

The sales reported in this Form 4 were effected pursuant to a Rule 10b5-1 trading plan adopted by the reporting person on May 1, 2018.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure.

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