

AVERITT RICHARD G
Form 4
December 11, 2002

**FORM
4**

Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).

**UNITED STATES SECURITIES AND EXCHANGE
COMMISSION Washington, D.C. 20549**

**STATEMENT OF CHANGES IN
BENEFICIAL OWNERSHIP**

OMB APPROVAL

OMB
Number:
3235-0287
Expires:
January 31, 2005
Estimated
average
burden hours per
response 0.5

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1934 or Section 30(f) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting Person*

2. Issuer Name and Ticker or Trading Symbol

6. Relationship of Reporting Person(s) to Issuer

(Check all applicable)

Averitt Richard G.

Raymond James Financial (RJF)

Director

10%
Owner

(Last) (First) (Middle)

3. IRS or Social Security

4. Statement for

Officer

Other (specify

880 Carillon Parkway
(Street)

Number of Reporting Person (Voluntary)

Month/Day/Year

December 10, 2002

below)

below)

CEO RJFS

St. Petersburg FL 33716
(City) (State) (ZIP)

5. If Amendment

Date of Original

(Month/Day/Year)

7. Individual or Joint/Group Filing (Check Applicable Line)

Form filed by One Reporting Person

Form filed by More than One Reporting Person

Table I – Non Derivative Securities Acquired, Disposed of, or beneficially Owned

1. Title of Security (Instr. 3)

2. Trans- action Date (Month/ Day/

Year)

2A. Deemed Execution Date, if any (Month/Day/Year (Instr. 8)

3. Trans- action Code (Instr. 8)

Code V
A V

4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5)

(A)
or

Amount (D)
1,427 A

5. Amount of Securities Beneficially Owned at Following Reported

Transactions (I)

Raymond James Financial, Inc. (RJF)

RJF Common Stock
RJF Common Stock

12/6/2002

Price (Instr. 3 and 4)(Instr. 4)
\$ - **83,538D**
15,920I

Edgar Filing: AVERITT RICHARD G - Form 4

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

(Over)
SEC
1474
(7-96)

* If the form is filed by more than one reporting person, see Instruction 4(b)(v).

FORM

4 Table II — Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3.A Deemed if any (Month/Day/Year)	4. Transaction Code (Instr. 8)	5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	6. Date Exercisable and Expiration Date (Month/Day/Year)	7. Title and Amount of Underlying Securities (Instr. 3 and 4)	8. Price of Derivative Security (Instr. 5)	9. Number of Derivative Securities Beneficially Owned at End of Derivative Month (Instr. 4)	10. Ownership Form of Security: Direct (D) or Indirect (I) (Instr. 4)
Employee Stock Option (Right to buy)						Current 1/18/2003	Common stock	6,000	22.1667	
Employee Stock Option (Right to buy)						Current 1/18/2005	Common stock	2,400	20.6250	
Employee Stock Option (Right to buy)						11/18/2003 1/18/2005	Common stock	800	20.6250	

Edgar Filing: AVERITT RICHARD G - Form 4

Employee Stock Option (Right to buy)	11/18/2004	1/18/2005	Common stock	800	20.6250		
Employee Stock Option (Right to buy)	11/28/2004	1/28/2007	Common stock	2,609	32.0000		
Employee Stock Option (Right to buy)	11/28/2005	1/28/2007	Common stock	2,191	32.0000		
Employee Stock Option (Right to buy)	11/28/2006	1/28/2007	Common stock	1,200	32.0000	16,000	D

Explanation of Responses:

**Intentional misstatements or omissions of facts constitute Federal Criminal Violations, See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

/S/ DICK AVERITT
**Signature of Reporting Person

12/10/2002
Date

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient. see Instructions 6 for procedure.

Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB Number.