#### APOGEE ENTERPRISES, INC.

Form 4 May 01, 2014

## FORM 4

# UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549

if no longer subject to Section 16. Form 4 or Form 5

Check this box

### STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF **SECURITIES**

Estimated average burden hours per response...

obligations may continue. See Instruction

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

1(b).

(Print or Type Responses)

1. Name and Address of Reporting Person \* JOHNSON GARY ROBERT

2. Issuer Name and Ticker or Trading Symbol

Issuer

Director

APOGEE ENTERPRISES, INC.

(Check all applicable)

5. Relationship of Reporting Person(s) to

OMB

Number:

Expires:

(Middle) (Last) (First)

3. Date of Earliest Transaction (Month/Day/Year)

[APOG]

10% Owner \_X\_\_ Officer (give title Other (specify

**OMB APPROVAL** 

3235-0287

January 31,

2005

0.5

4400 WEST 78TH STREET, SUITE

04/29/2014

below) Treasurer & Vice President

520

(Street)

4. If Amendment, Date Original

Filed(Month/Day/Year)

Applicable Line) \_X\_ Form filed by One Reporting Person

6. Individual or Joint/Group Filing(Check

Form filed by More than One Reporting

MINNEAPOLIS, MN 55435

(City)	(State)	(Zip) Tabl	e I - Non-D	<b>Derivative</b>	Secur	ities Acq	uired, Disposed o	of, or Beneficial	ly Owned
1.Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	Code (Instr. 3, 4 and 5)		5. Amount of Securities Beneficially Owned Following Reported Transaction(s)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)			
Common Stock	04/29/2014		Code V A	Amount 2,065 (3)	(D)	Price \$ 0	(Instr. 3 and 4) 47,658 (1)	D	
Common Stock	04/29/2014		A	6,229 (4)	A	\$ 0	53,887	D	
Common Stock	04/29/2014		F	2,092	D	\$ 31.36	51,795	D	
Common Stock	04/30/2014		F	203	D	\$ 31.77	51,592	D	
Common Stock							500	I	IRA

Common Stock 2,116  $\frac{(2)}{}$  I  $\frac{401(k)}{Plan}$ 

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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SEC 1474

(9-02)

## Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	Exercise ce of rivative	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transactic Code (Instr. 8)	5. Number proof Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	6. Date Exercisable and Expiration Date (Month/Day/Year)		7. Title and Amount of Underlying Securities (Instr. 3 and 4)	
				Code V	(A) (D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares
Performance Share Units	\$ 0	04/29/2014		D	6,229	<u>(4)</u>	04/29/2014	Common Stock	6,229

## **Reporting Owners**

Reporting Owner Name / Address	Relationships						
	Director	10% Owner	Officer	Other			
JOHNSON GARY ROBERT 4400 WEST 78TH STREET SUITE 520 MINNEAPOLIS, MN 55435			Treasurer & Vice President				

### **Signatures**

/s/ Gary Robert
Johnson

\*\*Signature of Reporting
Person

Date

## **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Includes shares acquired under the ESPP as of 3/31/14 and shares of restricted stock granted under the Amended and Restated 2002 Omnibus Stock Incentive Plan and 2009 Stock Incentive Plan.

Reporting Owners 2

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- Represents the approximate number of shares of common stock for which the Reporting Person has the right to direct the vote under the Apogee 401(k) Retirement Plan per the Trustee's 3/31/14 statement. Shares of common stock are not directly allocated to the Plan participants, but are instead held in a unitized fund consisting primarily of common stock and a small percentage of short-term investments. Participants acquire units in this fund.
- (3) Vest in three equal annual installments beginning on the first anniversary date of grant.
- 6,229 represents the number of performance share units that vested on April 29, 2014, based on the three-year corporate financial(4) performance which provided for distribution of common stock equal to 0% to 200% of the financial performance target for the period ended March 1, 2014 as previously reported.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.