FILIPOWSKI ANDREW J

Form 4 May 24, 2011

FORM 4

UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF

SECURITIES

OMB APPROVAL

OMB Number:

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obligations may continue.

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section

30(h) of the Investment Company Act of 1940 See Instruction

1(b).

(Last)

(City)

(Print or Type Responses)

1. Name and Address of Reporting Person *

FILIPOWSKI ANDREW J

2. Issuer Name and Ticker or Trading

Symbol

CRYO CELL INTERNATIONAL INC [CCEL]

3. Date of Earliest Transaction (Month/Day/Year)

Officer (give title below)

X_ Director

Issuer

10% Owner Other (specify

C/O SILKROAD EQUITY LLC, 102 07/14/2009

(Middle)

(Zip)

WEST THIRD STREET, SUITE 250

(State)

(First)

(Street) 4. If Amendment, Date Original

Filed(Month/Day/Year)

6. Individual or Joint/Group Filing(Check

5. Relationship of Reporting Person(s) to

(Check all applicable)

Applicable Line)

X Form filed by One Reporting Person Form filed by More than One Reporting

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

WINSTON-SALEM, NC 27101

1. Title of 2. Transaction Date 2A. Deemed Security (Month/Day/Year) Execution Date, if (Instr. 3) (Month/Day/Year)

3. 4. Securities TransactionAcquired (A) or Code Disposed of (D) (Instr. 3, 4 and 5) (Instr. 8)

5. Amount of Securities Beneficially Owned **Following** Reported

6. Ownership 7. Nature of Form: Direct Indirect (D) or Indirect (I) (Instr. 4)

Beneficial Ownership (Instr. 4)

(A) or

Transaction(s) (Instr. 3 and 4)

Code V Amount (D) Price

Common

Stock

300,150 D

Common Stock

Ι 323,617

SilkRoad Equity LLC (1)

By

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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number.

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transacti Code (Instr. 8)	5. Number on Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	6. Date Exer Expiration D (Month/Day	ate	7. Title and of Underlyi Securities (Instr. 3 and	ng
				Code V	(A) (D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares
Option to purchase common stock	\$ 0.88					(2)	03/04/2015	common stock	20,000
Option to purchase common stock	\$ 0.75					<u>(4)</u>	07/15/2015(3)	common stock	7,500
Option to purchase common stock	\$ 1.58	07/14/2009		A	7,500	<u>(5)</u>	07/15/2016	common stock	7,500
Option to purchase common stock	\$ 0.94	07/27/2010		A	7,500	<u>(6)</u>	07/27/2017	common stock	7,500

Reporting Owners

Reporting Owner Name / Address	Relationships				
. 9	Director	10% Owner	Officer	Other	
FILIPOWSKI ANDREW J C/O SILKROAD EQUITY LLC 102 WEST THIRD STREET, SUITE 250 WINSTON-SALEM, NC 27101	X				
Signatures					

Person

/s/ Andrew J. Filipowski	05/24/2011		
**Signature of Reporting	Date		

Reporting Owners 2

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Explanation of Responses:

- * If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) A limited liability company, of which the reporting person is a principal.
- (2) 1/3 one-year from date of grant, 1/3 two-years from date of grant, 1/3 three years from date of grant
- (3) Stock option granted for services as a non-employee director.
- (4) 1/12 commencing on 08/15/2008.
- (5) 1/12 commencing on 07/14/2009.
- (6) 1/12 commencing on 08/27/2010.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.