KEMET CORP Form 4 May 11, 2017

## FORM 4

#### OMB APPROVAL

# UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

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Check this box if no longer subject to Section 16. Form 4 or Expires: January 31,

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STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section

may continue.

See Instruction

30(h) of the Investment Company Act of 1940

1(b).

(City)

(State)

(Zin)

Form 5

obligations

(Print or Type Responses)

1. Name and Adda Assaf Ronald J	•	rting Person *	2. Issuer Name <b>and</b> Ticker or Trading Symbol KEMET CORP [KEM]	5. Relationship of Reporting Person(s) to Issuer  (Check all applicable)		
(Last)	(First)	(Middle)	3. Date of Earliest Transaction	( and officers)		
101 NE 3RD AVENUE, SUITE 1700		SUITE	(Month/Day/Year) 05/09/2017	Director 10% OwnerX Officer (give title Other (specify below)  Sr VP, General Counsel & Sec		
(Street)			4. If Amendment, Date Original	6. Individual or Joint/Group Filing(Check		
FORT LAUDERDALE, FL 33301			Filed(Month/Day/Year)	Applicable Line) _X_ Form filed by One Reporting Person Form filed by More than One Reporting Person		

(City)	(State)	Table Table	e I - Non-D	erivative S	Securi	ties Acqu	ired, Disposed of	, or Beneficiall	y Owned
1.Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	Year) Execution Date, if Transport any Control (Month/Day/Year) (In		4. Securit on(A) or Dis (Instr. 3, 4)	sposed	of (D)	Beneficially For Owned (Displaying Inc.)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)
Common Stock	05/09/2017		Code V M	14,952	( )	\$ 0	117,156	D	
Common Stock	05/09/2017		F <u>(1)</u>	2,045	D	\$ 13.01	115,111	D	

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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SEC 1474

(9-02)

#### Edgar Filing: KEMET CORP - Form 4

# Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transaction Code (Instr. 8)	5. Number of or Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	6. Date Exerc Expiration D (Month/Day/	ate	7. Title and A Underlying S (Instr. 3 and	Securities	8. I De Sec (In
				Code V	(A) (D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares	
Restricted Stock Units	<u>(2)</u>	05/09/2017		M	14,952	<u>(2)</u>	(2)	Common Stock	14,952	

# **Reporting Owners**

Reporting Owner Name / Address	Relationships							
• 0	Director	10% Owner	Officer	Other				
Assaf Ronald James			Sr VP,					
101 NE 3RD AVENUE			General					
SUITE 1700			Counsel &					
FORT LAUDERDALE, FL 33301			Sec					

### **Signatures**

/s/ Ronald James
Assaf

\*\*Signature of Reporting Person

Date

## **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) This relates to a transaction between the Reporting Person and the Company involving the withholding of shares for the payment of the tax liability associated with the vesting of shares of common stock.
- On May 18, 2015, the reporting person was granted a performance award that could result in the issuance of restricted stock units, vesting one-half on May 9, 2017, and the balance on May 9, 2018, subject to meeting certain performance targets. The restricted stock units each convert into common stock on a one for one basis.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

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