

BALLY TECHNOLOGIES, INC.  
 Form 4  
 November 22, 2013

**FORM 4** UNITED STATES SECURITIES AND EXCHANGE COMMISSION  
 Washington, D.C. 20549

OMB APPROVAL  
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Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).

**STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES**

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting Person \*  
**HADDRILL RICHARD M**

2. Issuer Name and Ticker or Trading Symbol  
**BALLY TECHNOLOGIES, INC.  
 [BYI]**

5. Relationship of Reporting Person(s) to Issuer  
 (Check all applicable)

(Last) (First) (Middle)  
**6601 S. BERMUDA ROAD**  
 (Street)

3. Date of Earliest Transaction (Month/Day/Year)  
**11/20/2013**

Director  10% Owner  
 Officer (give title below)  Other (specify below)

**LAS VEGAS, NV 89119**  
 (City) (State) (Zip)

4. If Amendment, Date Original Filed(Month/Day/Year)

6. Individual or Joint/Group Filing(Check Applicable Line)  
 Form filed by One Reporting Person  
 Form filed by More than One Reporting Person

**Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned**

| 1. Title of Security (Instr. 3)         | 2. Transaction Date (Month/Day/Year) | 2A. Deemed Execution Date, if any (Month/Day/Year) | 3. Transaction Code (Instr. 8) | 4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5) | 5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4) | 6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4) | 7. Nature of Indirect Ownership (Instr. 4) |
|---|--------------------------------------|--|--------------------------------|---|---|--|--|
|   |                                      |  | Code                           | V   | Amount or Price   |  |  |
| Common Stock, par value \$.10 per share | 11/20/2013                           |  | M                              |   | 10,000 A \$ 41.6  | 311,817  | D  |
| Common Stock, par value \$.10 per share | 11/20/2013                           |  | S <sup>(1)</sup>               |   | 10,000 D \$ 70.121 <sup>(2)</sup>   | 301,817  | D  |
| Common Stock, par value \$.10 per share | 11/20/2013                           |  | S <sup>(1)</sup>               |   | 10,000 D \$ 70.1246 <sup>(3)</sup>  | 291,817  | D  |

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Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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SEC 1474  
(9-02)

**Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned**  
(e.g., puts, calls, warrants, options, convertible securities)

| 1. Title of Derivative Security (Instr. 3) | 2. Conversion or Exercise Price of Derivative Security | 3. Transaction Date (Month/Day/Year) | 3A. Deemed Execution Date, if any (Month/Day/Year) | 4. Transaction Code (Instr. 8) | 5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5) | 6. Date Exercisable and Expiration Date (Month/Day/Year) | 7. Title and Amount of Underlying Securities (Instr. 3 and 4) |
|--|--|--------------------------------------|--|--------------------------------|---|--|---|
|  |  |                                      |  | Code                           | V (A) (D)   | Date Exercisable Expiration Date                         | Title Amount or Number of Shares                              |
| Employee Stock Option (Right to Buy)       | \$ 41.6  | 11/20/2013                           |  | M                              | 10,000  | <sup>(4)</sup> 02/22/2015                                | Common Stock, par value \$ .10 per share 10,000               |

## Reporting Owners

| Reporting Owner Name / Address                                    | Relationships |           |         |       |
|---|---------------|-----------|---------|-------|
|   | Director      | 10% Owner | Officer | Other |
| HADDRILL RICHARD M<br>6601 S. BERMUDA ROAD<br>LAS VEGAS, NV 89119 |               | X         |         |       |

## Signatures

Mark Lerner, attorney-in-fact for: Richard M. Haddrill 11/22/2013

\_\_Signature of Reporting Person

Date

## Explanation of Responses:

\* If the form is filed by more than one reporting person, see Instruction 4(b)(v).

\*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

(1) The sales were pursuant to a Rule 10b5-1 Trading Agreement.

The transaction was executed in multiple trades at prices from \$69.58 to \$70.51. The price above reflects the weighted average sales price. The reporting person hereby undertakes to provide upon request to the SEC staff, the issuer or a security holder of the issuer full information regarding the number of shares and prices at which the transaction was effected.

(3) The transaction was executed in multiple trades at prices from \$69.57 to \$70.50. The price above reflects the weighted average sales price. The reporting person hereby undertakes to provide upon request to the SEC staff, the issuer or a security holder of the issuer full

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information regarding the number of shares and prices at which the transaction was effected.

- (4) The options vested as follows: (i) 16,667 on June 30, 2009; (ii) 16,667 on December 31, 2009; and, (iii) 16,666 on June 30, 2010.
- (5) Granted as compensation for services.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure.

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