#### MINOGUE MICHAEL R

Form 4 May 16, 2013

## FORM 4

### UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF

**SECURITIES** 

**OMB** Number:

3235-0287

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January 31, 2005

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response...

if no longer subject to Section 16. Form 4 or Form 5

Check this box

obligations may continue. See Instruction

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

1(b).

(Print or Type Responses)

1. Name and Address of Reporting Person \* MINOGUE MICHAEL R

2. Issuer Name and Ticker or Trading Symbol

5. Relationship of Reporting Person(s) to

Issuer

(Last)

(First) (Middle)

ABIOMED INC [ABMD] 3. Date of Earliest Transaction

\_X\_\_ Director 10% Owner

C/O ABIOMED, INC... 22

05/14/2013

(Month/Day/Year)

X\_ Officer (give title Other (specify below) President, CEO, Chairman

(Check all applicable)

CHERRY HILL DRIVE

(Street)

(State)

4. If Amendment, Date Original

6. Individual or Joint/Group Filing(Check

Filed(Month/Day/Year)

Applicable Line) \_X\_ Form filed by One Reporting Person Form filed by More than One Reporting

Person

DANVERS, MA 01923

(City)

1.Title of 2. Transaction Date 2A. Deemed Security (Month/Day/Year) Execution Date, if (Instr. 3) (Month/Day/Year)

(Zip)

3. 4. Securities Acquired Transaction(A) or Disposed of Code (D) (Instr. 8) (Instr. 3, 4 and 5)

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned 5. Amount of 6. Ownership 7. Nature of Securities Form: Direct Indirect Beneficially (D) or Beneficial Indirect (I) Ownership Owned Following (Instr. 4) (Instr. 4)

Reported (A) Transaction(s) (Instr. 3 and 4)

Code V Amount (D) Price

Common

Stock, \$.01 05/14/2013 par value

28,000 Α (5)

\$0 270,455 D

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

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1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transactic Code (Instr. 8)	5. Number of orDerivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)		6. Date Exercisable and Expiration Date (Month/Day/Year)		7. Title and Amount o Underlying Securities (Instr. 3 and 4)	
				Code V	(A)	(D)	Date Exercisable	Expiration Date	Title	Amount Number Shares
Stock Option (right to buy) (1)	\$ 9.36						06/08/2006	06/08/2015	Common Stock	39,38
Stock Option (right to buy) (1)	\$ 13.57						06/01/2007	06/01/2016	Common Stock	260,00
Stock Option (right to buy) (1)	\$ 11.27						05/30/2008	05/30/2017	Common Stock	85,00
Stock Option (right to buy) (1)	\$ 13.8						05/23/2009(2)	05/23/2018	Common Stock	43,33
Stock Option (right to buy) (3)	\$ 18.63						06/15/2009(4)	08/13/2018	Common Stock	93,75
Stock Option (right to buy) (3)	\$ 5.86						05/28/2010(2)	05/28/2019	Common Stock	37,00
Stock Option (right to buy) (3)	\$ 5.86						03/31/2010(2)	05/28/2019	Common Stock	130,50
Stock Option (right to buy) (3)	\$ 10.03						06/03/2011(2)	06/03/2020	Common Stock	105,00
Stock Option (Right to Buy) (3)	\$ 22.44						05/22/2013(2)	05/22/2022	Common Stock	100,00

Stock

Option (Right to \$ 23.15 05/14/2013 A 85,000 05/14/2014(2) 05/14/2024 Common stock

85,00

Buy) (3)

## **Reporting Owners**

Reporting Owner Name / Address Relationships

Director 10% Owner Officer Other

MINOGUE MICHAEL R C/O ABIOMED, INC., 22 CHERRY HILL DRIVE DANVERS, MA 01923

X President, CEO, Chairman

# **Signatures**

/s/ Ian W. McLeod (by power of attorney) 05/16/2013

\*\*Signature of Reporting Person Date

# **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Grant to reporting person of option to buy shares of Common Stock under the ABIOMED, Inc. 2000 Stock Incentive Plan.
- (2) These options become exercisable in annual 25% increments, commencing on the date shown in Table II, Column 6.
- Grant to reporting person of option to buy the number of shares of Common Stock set forth in Table II, Column 7, under the ABIOMED, Inc. 2008 Stock Incentive Plan.
- (4) These options become exercisable based on the achievement of certain performance milestones.
  - Represents the grant of a share award for restricted stock units to which one third of the shares will be issued to the reporting person on each of May 14, 2014, May 14, 2015 and May 14, 2016, so long as he continues to be employed by the issuer on the vesting dates. In
- (5) addition, performance share awards of up to 82,500 restricted units were granted to this reporting person on May 14, 2013, with double trigger vesting pursuant to fiscal 2014 financial performance to determine whether 0%, 33%, 67%, or 100% of the underlying restricted stock units are eligible for vesting at which point half of the units eligible for vesting will vest on May 14, 2015 and the other half of the units eligible for vesting will vest on May 14, 2016.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

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