

Edgar Filing: MOSLE JON L JR - Form 4

MOSLE JON L JR  
Form 4  
February 14, 2003

U.S. SECURITIES AND EXCHANGE COMMISSION  
Washington, DC 20549

FORM 4

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934,  
Section 17(a) of the Public Utility Holding Company Act of 1935 or  
Section 30(f) of the Investment Company Act of 1940

Check box if no longer subject to Section 16. Form 4 or Form 5 obligations  
may continue. See Instruction 1(b).

-----  
1. Name and Address of Reporting Person\*

Mosle, Jon L., Jr.

-----  
(Last)

(First)

(Middle)

300 Crescent Court, Suite 1300

-----  
(Street)

Dallas, Texas 75201

-----  
(City)

(State)

(Zip)

-----  
2. Issuer Name and Ticker or Trading Symbol

Westwood Holdings Group, Inc. ("WHG")

-----  
3. IRS Identification Number of Reporting Person, if an Entity (Voluntary)

-----  
4. Statement for Month/Year

2/14/03

-----  
5. If Amendment, Date of Original (Month/Year)

-----  
6. Relationship of Reporting Person to Issuer  
(Check all applicable)

Director

10% Owner

Officer (give title below)

Other (specify below)

-----  
7. Individual or Joint/Group Filing (Check applicable line)

Form filed by one Reporting Person

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[ ] Form filed by more than one Reporting Person

Table I -- Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

| 1.<br>Title of Security<br>(Instr. 3) | 2.<br>Transaction Date<br>(mm/dd/yy) | 3.<br>Transaction Code<br>(Instr. 8)<br>-----<br>Code V | 4.<br>Securities Acquired (A) or Disposed of (D)<br>(Instr. 3, 4 and 5)<br>-----<br>Amount or Price |   |         | 5.<br>Amount of Securities Beneficially Owned at End of Month<br>(Instr. 3 and 4) | 6.<br>Ownership Form:<br>Direct (D) or Indirect (I)<br>(Instr.4) |
|---------------------------------------|--------------------------------------|---|---|---|---------|---|--|
| Common Stock                          | 2/12/03                              | P   | 1,600   | A | \$13.27 |   | D  |
| Common Stock                          | 2/12/03                              | P   | 1,000   | A | \$13.24 |   | D  |
| Common Stock                          | 2/13/03                              | P   | 1,500   | A | \$13.15 |   | D  |
| Common Stock                          | 2/14/03                              | P   | 500   | A | \$13.05 | 21,926  | D  |
| Common Stock                          |                                      |   |   |   |         | 23,074  | I  |

\* If the Form is filed by more than one Reporting Person, see Instruction 4(b)(v).

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

(Print or Type Response)

(Over)

FORM 4 (continued)

Table II -- Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

| 1.<br>Title of | 2.<br>Conversion or Exercise | 3.<br>Trans- | 4.<br>Trans- | 5.<br>Number of Derivative Securities Acquired<br>(A) or | 6.<br>Date Exercisable and | 7.<br>Title and Amount of Underlying Securities<br>(Instr. 3 and 4) | 8.<br>Price of | 9.<br>Number of Derivative Securities Beneficially | 10.<br>Ownership Form of Derivative Security: Direct |
|----------------|------------------------------|--------------|--------------|--|----------------------------|---|----------------|--|--|
|----------------|------------------------------|--------------|--------------|--|----------------------------|---|----------------|--|--|

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| Derivative Security (Instr. 3) | Price of Derivative Security (Instr. 3) | Transaction Date (Month/Day/Year) | Transaction Code V | Disposition (Instr. 3, 4 and 5) (A) (D) | Expiration Date (Month/Day/Year) Exercisable Date | Amount or Number of Shares | Derivative Security (Instr. 5) | Owned at End of Month (Instr. 4) | (D) or (I) (Instr. 4) |
|--------------------------------|---|-----------------------------------|--------------------|---|---|----------------------------|--------------------------------|----------------------------------|-----------------------|
| -----                          |   |                                   |                    |   |   |                            |                                |                                  |                       |
| -----                          |   |                                   |                    |   |   |                            |                                |                                  |                       |
| =====                          |   |                                   |                    |   |   |                            |                                |                                  |                       |

Explanation of Responses:

|   |         |
|---|---------|
| /s/ William R. Hardcastle, Jr.                      | 2/14/03 |
| **Signature of Reporting Person as Attorney-in-fact | Date    |

\*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations.

See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space provided is insufficient, see Instruction 6 for procedure.