

HENNESSY ADVISORS INC  
Form 8-K  
August 04, 2011

SECURITIES AND EXCHANGE COMMISSION

UNITED STATES

Washington, DC 20549

FORM 8-K

CURRENT REPORT

Pursuant to Section 13 or 15(d) of the Securities Exchange Act of 1934

Date of Report (Date of earliest event reported) August 4, 2011

HENNESSY ADVISORS, INC.

(Exact name of registrant as specified in its charter)

|   |   |  |
|---|---|--|
| California<br>(State or other jurisdiction<br>of incorporation)                                 | 000-49872<br>Commission<br>File Number) | 68-0176227<br>(IRS Employer<br>Identification No.) |
| 7250 Redwood Blvd., Suite 200<br>Novato, California<br>(Address of principal executive offices) |   | 94945<br>(Zip Code)                                |

Registrant's telephone number including area code: (415) 899-1555

Not Applicable

(Former name or former address, if changed since last report)

Check the appropriate box below if the Form 8-K filing is intended to simultaneously satisfy the filing obligation of the registrant under any of the following provisions:

Written communications pursuant to Rule 425 under the Securities Act (17 CFR 230.425)

Soliciting material pursuant to Rule 14a-12 under the Exchange Act (17 CFR 240.14a-12)

Pre-commencement communications pursuant to Rule 14d-2(b) under the Exchange Act (17 CFR 240.14d-2(b))

Pre-commencement communications pursuant to Rule 13e-4(c) under the Exchange Act (17 CFR 240.13e-4(c))

Item 2.02

Results of Operations and Financial Condition

The registrant has issued an earnings release for the three and nine months ended June 30, 2011, which is included herein as Exhibit 99.1 to this Current Report on Form 8-K and is incorporated into this Form 8-K by reference.

Item 7.01

Regulation FD Disclosure

On August 4, 2011, Hennessy Advisors, Inc. announced in its earnings release that it had declared a cash dividend of \$0.025 per share on its common stock, payable September 8, 2011 to shareholders of record at the close of business on August 18, 2011. A copy of the earnings release is being furnished as Exhibit 99.1 to this Current Report on Form 8-K and is incorporated into this Form 8-K by reference.

Item 9.01

Financial Statements and Exhibits

(c) Exhibits

Exhibit Earnings and dividend release issued August 4, 2011, by Hennessy Advisors, Inc., for the three and nine 99.1 months ended June 30, 2011.

SIGNATURES

Pursuant to the requirements of the Securities Exchange Act of 1934, the registrant has duly caused this report to be signed on its behalf by the undersigned hereunto duly authorized.

HENNESSY ADVISORS, INC.

August 4, 2011

By: /s/ Neil J. Hennessy  
Neil J. Hennessy  
President

EXHIBIT INDEX

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