

FLOTEK INDUSTRIES INC/CN/  
Form 4  
February 18, 2009

**FORM 4** UNITED STATES SECURITIES AND EXCHANGE COMMISSION  
Washington, D.C. 20549

OMB APPROVAL

OMB Number: 3235-0287  
Expires: January 31, 2005  
Estimated average burden hours per response... 0.5

Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).

**STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES**

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting Person \*  
DUMAS JERRY D SR

2. Issuer Name and Ticker or Trading Symbol  
FLOTEK INDUSTRIES INC/CN/  
[FTK]

5. Relationship of Reporting Person(s) to Issuer

(Check all applicable)

(Last) (First) (Middle)  
2930 W. SAM HOUSTON PKWY.  
N, STE. 300  
  
(Street)

3. Date of Earliest Transaction  
(Month/Day/Year)  
02/16/2009

\_\_\_\_ Director  
 Officer (give title below) \_\_\_\_\_ Other (specify below)  
CEO and Chairman

HOUSTON, TX US 77043

(City) (State) (Zip)

4. If Amendment, Date Original Filed(Month/Day/Year)

6. Individual or Joint/Group Filing(Check Applicable Line)  
 Form filed by One Reporting Person  
 Form filed by More than One Reporting Person

**Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned**

| 1. Title of Security (Instr. 3) | 2. Transaction Date (Month/Day/Year) | 2A. Deemed Execution Date, if any (Month/Day/Year) | 3. Transaction Code (Instr. 8) | 4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5) | 5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4) | 6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4) | 7. Nature of Indirect Beneficial Ownership (Instr. 4) |
|---------------------------------|--------------------------------------|--|--------------------------------|---|---|--|---|
|                                 |                                      |  | Code                           | V   | Amount  | (D)  | Price   |
| Common Stock                    |                                      |  |                                |   | 504,376   | D  |   |
| Restricted Stock Award          | 02/16/2009                           |  | A                              |   | 107,572<br>(1)  | A  | \$ 0  |
| Common Stock                    |                                      |  |                                |   | 18,096  | I  | Saxton River Corporation                              |
| Common Stock                    |                                      |  |                                |   | 26,000  | I  | Dora Tes Basileas Foundation                          |

Edgar Filing: FLOTEK INDUSTRIES INC/CN/ - Form 4

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

**Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.**

SEC 1474  
(9-02)

**Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned**  
(e.g., puts, calls, warrants, options, convertible securities)

| 1. Title of Derivative Security (Instr. 3) | 2. Conversion or Exercise Price of Derivative Security | 3. Transaction Date (Month/Day/Year) | 3A. Deemed Execution Date, if any (Month/Day/Year) | 4. Transaction Code (Instr. 8) | 5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5) | 6. Date Exercisable and Expiration Date (Month/Day/Year) | 7. Title and Amount of Underlying Securities (Instr. 3 and 4) |
|--|--|--------------------------------------|--|--------------------------------|---|--|---|
|  |  |                                      |  | Code                           | V (A) (D)   | Date Exercisable Expiration Date                         | Title Amount Number of Shares                                 |
| Stock Option                               | \$ 9.4   |                                      |  |                                |   | 09/21/2005 09/20/2014                                    | Common 130,270  |
| Stock Option                               | \$ 2.125   |                                      |  |                                |   | 12/10/2005 12/09/2014                                    | Common 66,940   |
| Stock Option                               | \$ 13.805  |                                      |  |                                |   | 03/13/2008 03/12/2013                                    | Common 54,348   |
| Stock Option                               | \$ 22.37   |                                      |  |                                |   | 05/18/2008 05/17/2013                                    | Common 66,600   |
| Stock Option                               | \$ 22.75   |                                      |  |                                |   | 03/28/2009 03/27/2014                                    | Common 27,776   |
| Stock Option                               | \$ 2.51  | 02/16/2009                           |  | A                              | 200,000   | 02/16/2010 02/15/2015                                    | Common 200,000  |

## Reporting Owners

**Reporting Owner Name / Address**

**Relationships**

Director 10% Owner Officer Other

DUMAS JERRY D SR  
2930 W. SAM HOUSTON PKWY. N  
STE. 300  
HOUSTON, TX US 77043

CEO and Chairman

## Signatures

s/s Jerry D.  
Dumas, Sr. 02/18/2009

\*\*Signature of  
Reporting Person

Date

## Explanation of Responses:

\* If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).

\*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. *See* 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

(1) The stock award in Table 1 is vested 25% each year on anniversary date

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure.

Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.