Energous Corp Form 4 October 25, 2016

FORM 4

UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

Check this box if no longer subject to Section 16.

Form 4 or

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF

SECURITIES

Form 5 obligations may continue. See Instruction 1(b).

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

Energous Corp [WATT]

3. Date of Earliest Transaction

(Month/Day/Year)

10/22/2016

(Print or Type Responses)

(Last)

(City)

1. Name and Address of Reporting Person * Leabman Michael Aaron

> (First) (Middle)

C/O ENERGOUS

CORPORATION, 3590 NORTH FIRST STREET, SUITE 210

(State)

(Street) 4. If Amendment, Date Original

(Zip)

Filed(Month/Day/Year)

5. Relationship of Reporting Person(s) to 2. Issuer Name and Ticker or Trading Issuer Symbol

(Check all applicable)

10% Owner _X__ Director X_ Officer (give title Other (specify

OMB APPROVAL

Estimated average

burden hours per

3235-0287

January 31,

2005

0.5

OMB

Number:

Expires:

response...

below) Chief Technology Officer

6. Individual or Joint/Group Filing(Check

Applicable Line)

X Form filed by One Reporting Person Form filed by More than One Reporting

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

SAN JOSE, CA 95134

		1 4010	Tion Delivative Securities required, Disposed of, or Deficiently Switch						
1.Title of	2. Transaction Date	2A. Deemed	3.	4. Securities	s Acqı	uired	5. Amount of	6. Ownership	7. Nature of
Security	(Month/Day/Year)	Execution Date, if	Transaction(A) or Disposed of (D)			Securities	Form: Direct	Indirect	
(Instr. 3)		any	Code	(Instr. 3, 4 and 5)			Beneficially	(D) or	Beneficial
		(Month/Day/Year)	(Instr. 8)				Owned	Indirect (I)	Ownership
							Following	(Instr. 4)	(Instr. 4)
							Reported		
					(A)		Transaction(s)		
					or		(Instr. 3 and 4)		
			Code V	Amount	(D)	Price	(moure une i)		
Common	10/22/2016		M	(250 (1)		Φ.Ω	14.052	Ъ	
Stock	10/22/2016		M	$6,250 \frac{(1)}{2}$	A	\$ 0	14,853	D	
200011									
Common	10/04/0016			100,000		Φ.Ω	114.050	ъ	
Stock	10/24/2016		A	(2)	Α	\$0	114,853	D	
Stoom				_					

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	e 3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transaction Code (Instr. 8)	5. Number on Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	6. Date Exercisable and Expiration Date (Month/Day/Year)		7. Title and Amount of Underlying Securities (Instr. 3 and 4)		8. Pr Deriv Secu (Inst
				Code V	(A) (D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares	
Restricted Stock Unit (RSU)	(3)	10/22/2016		M	6,250	<u>(4)</u>	<u>(4)</u>	Common Stock	6,250	\$

Reporting Owners

Reporting Owner Name / Address	Relationships					
	Director	10% Owner	Officer	Other		
Leabman Michael Aaron C/O ENERGOUS CORPORATION 3590 NORTH FIRST STREET, SUITE 210 SAN JOSE, CA 95134	X		Chief Technology Officer			

Signatures

/s/Michael Aaron
Leabman

**Signature of Reporting
Person

Date

Explanation of Responses:

- * If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Represents shares of common stock received upon vesting of the restricted stock unit award reported in Table II.
- Each restricted stock unit ("RSU") represents a right to receive one share of the Issuer's common stock. Shares vest over a period of four years in four equal annual installments on August 18 of 2017, 2018, 2019, and 2020, subject to continued service through each vesting
- (2) years in four equal annual installments on August 18 of 2017, 2018, 2019, and 2020, subject to continued service through each vesting date.
- (3) Each restricted stock unit represents a contingent right to receive one share of common stock.
- (4) One-half of these restricted stock units vest on February 16, 2016 and the remaining one-half vest on October 22, 2016.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

Reporting Owners 2