Edgar Filing: ASTEC INDUSTRIES INC - Form 4

ASTEC INDUST Form 4	FRIES INC										
October 10, 2014	1										
									OMB APPROVAL		
Check this bo	UNITEDS	UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549									
if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. <i>See</i> Instruction 1(b).	STATEM Filed purs Section 17(a	STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940							burden hou response	Estimated average burden hours per response 0.5	
(Print or Type Respo	onses)										
1. Name and Address of Reporting Person <u>*</u> RICHMOND JEFFREY L			2. Issuer Name and Ticker or Trading Symbol ASTEC INDUSTRIES INC [ASTE]				-	5. Relationship of Reporting Person(s) to Issuer			
(Last)	(First) (M	iddle)						(Cheo	Check all applicable)		
1725 SHEPHERD RD			(Month/Day/Year) 10/09/2014					Director 10% Owner X Officer (give title Other (specify below) below) President-Roadtec			
	(Street) 4. F				e Original			 6. Individual or Joint/Group Filing(Check Applicable Line) _X_ Form filed by One Reporting Person 			
CHATTANOO	GA, TN 37421	l						Form filed by M Person	More than One Re	eporting	
(City)	(State) (Zip)	Table	e I - Non-De	erivative S	ecurit	ties Ac	quired, Disposed o	of, or Beneficial	lly Owned	
	Transaction Date Ionth/Day/Year)	Execution any	on Date, if	3. Transactio Code (Instr. 8)	Disposed	(A) o of (D)	5. Amount of Securities Beneficially Owned Following Reported Transaction(s)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)	
Common				Code V	Amount	(D)	Price	(Instr. 3 and 4)	-		
Stock								8,062 <u>(1)</u>	D		
Common Stock								45	D		

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transactio Code (Instr. 8)	 5. Number of tiorDerivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5) 		6. Date Exercisable and Expiration Date (Month/Day/Year)		7. Title and Amount of Underlying Securities (Instr. 3 and 4)	
				Code V	(A)	(D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares
Phantom Stock	<u>(2)</u>	10/09/2014		А	149.6562		(2)	(2)	Common Stock	149.6562

Reporting Owners

Reporting Owner Name / Address	Relationships							
	Director	10% Owner	Officer	Other				
RICHMOND JEFFREY L 1725 SHEPHERD RD CHATTANOOGA, TN 37421			President-Roadtec					
Signatures								
Robert Taylor, attorney in fact Richmond	y L.	10/10/2014						
**Signature of Reporting		Date						

Explanation of Responses:

- * If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Holdings are restricted stock units (RSUs) that convert to common stock on a one-for-one basis at a later date.
- Transaction represents the Company's quarterly contribution to the reporting person's SERP account that was used to purchase Astec(2) stock. Phantom shares are held in a SERP and are payable in cash following the reporting person's termination of employment from Astec.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.