

Abraham Dana H  
Form 4  
August 20, 2018

# FORM 4

UNITED STATES SECURITIES AND EXCHANGE COMMISSION  
Washington, D.C. 20549

OMB APPROVAL

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Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).

## STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting Person \*  
Abraham Dana H

2. Issuer Name and Ticker or Trading Symbol  
UMB FINANCIAL CORP [UMBF]

5. Relationship of Reporting Person(s) to Issuer

(Check all applicable)

(Last) (First) (Middle)

3. Date of Earliest Transaction (Month/Day/Year)

\_\_\_\_ Director \_\_\_\_\_ 10% Owner  
 Officer (give title below) \_\_\_\_\_ Other (specify below)

1010 GRAND BLVD.

08/17/2018

Executive Vice President

(Street)

4. If Amendment, Date Original Filed (Month/Day/Year)

6. Individual or Joint/Group Filing (Check Applicable Line)  
 Form filed by One Reporting Person  
 Form filed by More than One Reporting Person

KANSAS CITY, MO 64106

(City) (State) (Zip)

### Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

1. Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transaction Code (Instr. 8)	4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5)	5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)
			Code	V	Amount (D) Price		
Common Stock	08/17/2018		M		2,609 A \$ 41.37	13,654.2179 (1)	D
Common Stock	08/17/2018		M		3,155 A \$ 37.84	16,809.2179	D
Common Stock	08/17/2018		M		2,751 A \$ 41.71	19,560.2179	D
Common Stock	08/17/2018		M		3,169 A \$ 39.97	22,729.2179	D
Common Stock	08/17/2018		M		2,776 A \$ 45.58	25,505.2179	D

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Common Stock	08/17/2018	S	200	D	\$ 75.7175	25,305.2179	D
Common Stock	08/17/2018	S	600	D	\$ 75.73	24,705.2179	D
Common Stock	08/17/2018	S	400	D	\$ 75.735	24,305.2179	D
Common Stock	08/17/2018	S	395	D	\$ 75.74	23,910.2179	D
Common Stock	08/17/2018	S	400	D	\$ 75.745	23,510.2179	D
Common Stock	08/17/2018	S	900	D	\$ 75.75	22,610.2179	D
Common Stock	08/17/2018	S	500	D	\$ 75.76	22,110.2179	D
Common Stock	08/17/2018	S	800	D	\$ 75.77	21,310.2179	D
Common Stock	08/17/2018	S	600	D	\$ 75.78	20,710.2179	D
Common Stock	08/17/2018	S	700	D	\$ 75.79	20,010.2179	D
Common Stock	08/17/2018	S	705	D	\$ 75.81	19,305.2179	D
Common Stock	08/17/2018	S	700	D	\$ 75.82	18,605.2179	D
Common Stock	08/17/2018	S	400	D	\$ 75.83	18,205.2179	D
Common Stock	08/17/2018	S	200	D	\$ 75.84	18,005.2179	D
Common Stock	08/17/2018	S	100	D	\$ 75.85	17,905.2179	D
Common Stock	08/17/2018	S	310	D	\$ 75.88	17,595.2179	D
Common Stock	08/17/2018	S	200	D	\$ 75.89	17,395.2179	D
Common Stock	08/17/2018	S	100	D	\$ 75.93	17,295.2179	D
Common Stock	08/17/2018	S	100	D	\$ 75.94	17,195.2179	D
Common Stock	08/17/2018	S	200	D	\$ 76	16,995.2179	D
	08/17/2018	S	954	D	\$ 76.02	16,041.2179	D

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Common Stock								
Common Stock	08/17/2018		S	200	D	\$ 76.03	15,841.2179	D
Common Stock	08/17/2018		S	300	D	\$ 76.04	15,541.2179	D
Common Stock	08/17/2018		S	300	D	\$ 76.05	15,241.2179	D
Common Stock							289.4522 <sup>(2)</sup>	I
								By ESOP

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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(9-02)

**Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned**  
(e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transaction Code (Instr. 8)	5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	6. Date Exercisable and Expiration Date (Month/Day/Year)	7. Title and Amount of Underlying Securities (Instr. 3 and 4)	Amount or Number of Shares
Stock Option (Right to Buy)	\$ 41.37	08/17/2018		M	2,609	01/01/2012 <sup>(3)</sup> 01/01/2019	Common Stock	2,609
Stock Option (Right to Buy)	\$ 37.84	08/17/2018		M	3,155	01/01/2013 <sup>(4)</sup> 01/01/2020	Common Stock	3,155
Stock Option (Right to Buy)	\$ 41.71	08/17/2018		M	2,751	01/01/2014 <sup>(5)</sup> 01/01/2021	Common Stock	2,751
Stock Option	\$ 39.97	08/17/2018		M	3,169	01/01/2015 <sup>(6)</sup> 01/01/2022	Common Stock	3,169

(Right to Buy)

Stock

Option (Right to Buy)

\$ 45.58

08/17/2018

M

2,776

01/01/2016<sup>(7)</sup>

01/01/2023

Common Stock

2,776

## Reporting Owners

Reporting Owner Name / Address	Relationships			
	Director	10% Owner	Officer	Other
Abraham Dana H 1010 GRAND BLVD. KANSAS CITY, MO 64106			Executive Vice President	

## Signatures

/s/ Megan L. Mercer, attorney-in-fact for Ms. Abraham

08/20/2018

\_\_Signature of Reporting Person

Date

## Explanation of Responses:

- \* If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. *See* 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Reflects shares acquired through the reinvestment of dividends on restricted stock.
- (2) Reflects ESOP allocations and dispositions that have occurred since the date of the reporting person's last ownership report.
- (3) Options vested 50% on 1/1/2012, 75% on 1/1/2013, and 100% on 1/1/2014.
- (4) Options vested 50% on 1/1/2013; 75% on 1/1/2014; and 100% on 1/1/2015.
- (5) Options vested 50% on 1/1/2014, 75% on 1/1/2015 and 100% on 1/1/2016.
- (6) Options vested 50% on 1/1/2015, 75% on 1/1/2016 and 100% on 1/1/2017.
- (7) Options vested 50% on 1/1/2016, 75% on 1/1/2017 and 100% on 1/1/2018.

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