PULTEGROUP INC/MI/

Form 4

March 10, 2017

FORM 4

UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF

SECURITIES

OMB Number:

3235-0287

January 31, Expires: 2005

OMB APPROVAL

Estimated average burden hours per 0.5

response...

5. Relationship of Reporting Person(s) to

Issuer

if no longer subject to Section 16. Form 4 or Form 5 obligations

may continue.

See Instruction

Check this box

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section

30(h) of the Investment Company Act of 1940

2. Issuer Name and Ticker or Trading

1(b).

(Print or Type Responses)

DUGAS RICHARD J JR

1. Name and Address of Reporting Person *

DUGAS R	-	Symbol PULTEGROUP INC/MI/ [PHM] 3. Date of Earliest Transaction					(Check all applicable)				
(Last)											
				(Month/Day/Year) 03/08/2017				X_ Director 10% Owner Officer (give title below) Other (specify below)			
ATLANTA		Filed(Month/Day/Year)					5. Individual or Joint/Group Filing(Check Applicable Line) X_ Form filed by One Reporting Person Form filed by More than One Reporting Person				
(City)	(State)	(State) Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned									
1.Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Dat any (Month/Day/Y	te, if	3. Transactic Code (Instr. 8)	4. Securitie on Disposed o (Instr. 3, 4) Amount	f (D)	. ,	5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)	
Common Stock	03/08/2017			M	165,000 (1)	A	\$ 11.445	1,214,927	D		
Common Stock	03/08/2017			S(2)	165,000	D	\$ 23.1037 (3)	1,049,927	D		
Common Stock								40,612	I	Susan Dugas Trust (spouse)	
Common Stock								206	I	Susan Dugas Bene IRA (Rita M Ochs-DECD)	

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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SEC 1474

(9-02)

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	TransactiorDer Code Sec (Instr. 8) Acc Dis		imber of vative rities ired (A) or osed of (D) : 3, 4, and	6. Date Exercisable and Expiration Date (Month/Day/Year)		7. Title and Amount of Underlying Securities (Instr. 3 and 4)	
				Code V	(A)	(D)	Date Exercisable	Expiration Date	Title	Amoun Numbe Shares
Employee Stock Option (Right to Buy)	\$ 11.445	03/08/2017		M		165,000	02/11/2012	02/11/2020	Common Stock	165,0

Reporting Owners

Reporting Owner Name / Address Relationships

Director 10% Owner Officer Other

DUGAS RICHARD J JR 3350 PEACHTREE ROAD NORTHEAST SUITE 150 ATLANTA, GA 30326

Signatures

/s/ Richard J.
Dugas, Jr.
03/10/2017

**Signature of Reporting Date

Explanation of Responses:

- * If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

X

- (1) Fully vested and exercisable.
- (2) Pursuant to a plan intended to comply with Rule 10b5-1(c).

Reporting Owners 2

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- The price reported is an average weighted price. These shares were sold in multiple transactions at prices ranging from \$23.00 to \$23.25, inclusive. The reporting person undertakes to provide the Issuer, any security holder of the Issuer, or the staff of the Securities and Exchange Commission, upon request, full information regarding the number of shares sold at each separate price within the ranges set
- Represents units of the PulteGroup, Inc. Stock Fund (the "Fund") of the PulteGroup, Inc. 401(k) Plan. The Fund consists of cash and (4) Common Stock in amounts that vary from time to time. The reporting person's units represented 18,597.334 shares of PulteGroup, Inc. Common Stock held in the Fund as of March 1, 2017.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

forth in this footnote.