

AMERICAN AXLE & MANUFACTURING HOLDINGS INC  
 Form 4  
 August 02, 2016

**FORM 4**

UNITED STATES SECURITIES AND EXCHANGE COMMISSION  
 Washington, D.C. 20549

OMB APPROVAL

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Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).

**STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES**

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting Person \*  
 Sofia John S

2. Issuer Name and Ticker or Trading Symbol  
 AMERICAN AXLE & MANUFACTURING HOLDINGS INC [AXL]

5. Relationship of Reporting Person(s) to Issuer

(Check all applicable)

\_\_\_\_ Director  
 Officer (give title below) \_\_\_\_\_ Other (specify below)  
 VP Global Program Management

(Last) (First) (Middle)  
 ONE DAUCH DRIVE  
 (Street)

3. Date of Earliest Transaction (Month/Day/Year)  
 08/01/2016

4. If Amendment, Date Original Filed(Month/Day/Year)

6. Individual or Joint/Group Filing(Check Applicable Line)  
 Form filed by One Reporting Person  
 \_\_\_\_ Form filed by More than One Reporting Person

DETROIT, MI 48211-1198

(City) (State) (Zip)

**Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned**

| 1. Title of Security (Instr. 3) | 2. Transaction Date (Month/Day/Year) | 2A. Deemed Execution Date, if any (Month/Day/Year) | 3. Transaction Code (Instr. 8) | 4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5) | 5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4) | 6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4) | 7. Nature of Ownership (Instr. 4) |
|---------------------------------|--------------------------------------|--|--------------------------------|---|---|--|-----------------------------------|
|                                 |                                      |  |                                | (A) or (D) Code V Amount Price                                    |   |  |                                   |
| Common Stock                    | 08/01/2016                           |  | S                              | 1,200 D \$ 17.52  | 17,421  | I  | Family Trust                      |
| Common Stock                    | 08/01/2016                           |  | S                              | 1,500 D \$ 17.53  | 15,921  | I  | Family Trust                      |
| Common Stock                    | 08/01/2016                           |  | S                              | 1,600 D \$ 17.54  | 14,321  | I  | Family Trust                      |
| Common Stock                    | 08/01/2016                           |  | S                              | 3,700 D \$ 17.55  | 10,621  | I  | Family Trust                      |
| Common Stock                    | 08/01/2016                           |  | S                              | 4,700 D \$ 17.56  | 5,921   | I  | Family Trust                      |

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|              |            |   |       |   |          |        |                  |              |
|--------------|------------|---|-------|---|----------|--------|------------------|--------------|
| Common Stock | 08/01/2016 | S | 1,600 | D | \$ 17.57 | 4,321  | I                | Family Trust |
| Common Stock | 08/01/2016 | S | 2,700 | D | \$ 17.58 | 1,621  | I                | Family Trust |
| Common Stock | 08/01/2016 | S | 500   | D | \$ 17.59 | 1,121  | I                | Family Trust |
| Common Stock | 08/01/2016 | S | 321   | D | \$ 17.6  | 800    | I                | Family Trust |
| Common Stock | 08/01/2016 | S | 100   | D | \$ 17.61 | 700    | I                | Family Trust |
| Common Stock | 08/01/2016 | S | 300   | D | \$ 17.62 | 400    | I                | Family Trust |
| Common Stock | 08/01/2016 | S | 400   | D | \$ 17.63 | 0      | I                | Family Trust |
| Common Stock |            |   |       |   |          | 13,043 | D <sup>(1)</sup> |              |

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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(9-02)

**Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned**  
(e.g., puts, calls, warrants, options, convertible securities)

| 1. Title of Derivative Security (Instr. 3) | 2. Conversion or Exercise Price of Derivative Security | 3. Transaction Date (Month/Day/Year) | 3A. Deemed Execution Date, if any (Month/Day/Year) | 4. Transaction Code (Instr. 8) | 5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5) | 6. Date Exercisable and Expiration Date (Month/Day/Year) | 7. Title and Amount of Underlying Securities (Instr. 3 and 4) | 8. Price of Derivative Security (Instr. 5) | 9. Number of Derivative Securities Beneficially Owned Following Transaction (Instr. 6) |
|--|--|--------------------------------------|--|--------------------------------|---|--|---|--|--|
|  |  |                                      |  |                                |   | Date Exercisable   | Expiration Date   | Title                                      | Amount or Number of Shares   |
|  |  |                                      |  | Code                           | V (A) (D)   |  |   |  |  |

## Reporting Owners

Reporting Owner Name / Address

Relationships

Director 10% Owner Officer Other

Sofia John S  
ONE DAUCH DRIVE  
DETROIT, MI 48211-1198

VP Global Program Management

## Signatures

/s/ Laura L. Douglas, attorney  
in fact

08/02/2016

\_\_Signature of Reporting Person

Date

## Explanation of Responses:

\* If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).

\*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. *See* 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

(1) Excludes 6,326 shares that were previously held directly. These shares were transferred to a Family Trust and held indirectly.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure.

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