3M CO Form 3 November 26, 2014

# FORM 3 UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

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INITIAL STATEMENT OF BENEFICIAL OWNERSHIP OF

**SECURITIES** 

30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting 2. Date of Event Requiring 3. Issuer Name and Ticker or Trading Symbol Person \* Statement 3M CO [MMM] Keel Paul A (Month/Day/Year) 11/20/2014 (Last) (First) (Middle) 4. Relationship of Reporting 5. If Amendment, Date Original Person(s) to Issuer Filed(Month/Day/Year) 3M CENTER (Check all applicable) (Street) 6. Individual or Joint/Group Filing(Check Applicable Line) 10% Owner Director \_X\_ Form filed by One Reporting \_X\_\_ Officer Other Person ST. PAUL, MNÂ 55144-1000 (give title below) (specify below) Form filed by More than One Senior Vice President Reporting Person (City) (State) (Zip) Table I - Non-Derivative Securities Beneficially Owned 2. Amount of Securities 4. Nature of Indirect Beneficial 1. Title of Security Beneficially Owned Ownership Ownership (Instr. 4) (Instr. 4) Form: (Instr. 5) Direct (D) or Indirect (I) (Instr. 5) D Â Common Stock 1,050 Reminder: Report on a separate line for each class of securities beneficially SEC 1473 (7-02) owned directly or indirectly. Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

Table II - Derivative Securities Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 4)	2. Date Exercisable and Expiration Date (Month/Day/Year)		Securities U	3. Title and Amount of Securities Underlying Derivative Security		5. Ownership Form of Derivative	6. Nature of Indirect Beneficial Ownership (Instr. 5)
	Date Exercisable	Expiration Date	Title	Amount or Number of	Price of Derivative Security	Security: Direct (D) or Indirect	(mon. 3)

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				Shares		(I) (Instr. 5)	
Restricted Stock Units	(1)	(1)	Common Stock	6,068	\$ <u>(2)</u>	D	Â
Non-qualified Stock Option (Right to Buy)	05/08/2008	05/08/2017	Common Stock	3,180	\$ 84.78	D	Â
Non-qualified Stock Option (Right to Buy)	05/13/2009	05/13/2018	Common Stock	8,440	\$ 77.18	D	Â
Non-qualified Stock Option (Right to Buy)	02/09/2010	02/08/2019	Common Stock	13,680	\$ 54.11	D	Â
Non-qualified Stock Option (Right to Buy)	02/09/2011	02/07/2020	Common Stock	9,410	\$ 78.72	D	Â
Non-qualified Stock Option (Right to Buy)	02/08/2012	02/08/2021	Common Stock	8,249	\$ 89.47	D	Â
Non-qualified Stock Option (Right to Buy) (3)	02/07/2013	02/07/2022	Common Stock	6,737	\$ 87.89	D	Â
Non-qualified Stock Option (Right to Buy) (4)	02/05/2014	02/03/2023	Common Stock	7,050	\$ 101.49	D	Â
Non-qualified Stock Option (Right to Buy) (5)	02/04/2015	02/02/2024	Common Stock	6,441	\$ 126.72	D	Â

## **Reporting Owners**

Reporting Owner Name / Address	Relationships				
· · · · · · · · · · · · · · · · · · ·	Director	10% Owner	Officer	Other	
Keel Paul A 3M CENTER ST. PAUL, MN 55144-1000	Â	Â	Senior Vice President	Â	

### **Signatures**

/s/ Sheila B. Claugherty, attorney-in-fact for Paul A. Keel 11/26/2014

\*\*Signature of Reporting Person Date

#### **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 5(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) The restricted stock units will vest in equal installments on June 1, 2015 and June 1, 2017.
- (2) Each restricted stock unit represents a contingent right to receive one share of 3M common stock.
- (3) This option becomes exercisable in equal installments on each of the first three anniversaries of the grant date (2/7/2012).
- (4) This option becomes exercisable in equal installments on each of the first three anniversaries of the grant date (2/5/2013).
- (5) This option becomes exercisable in equal installments on each of the first three anniversaries of the grant date (2/4/2014).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, See Instruction 6 for procedure.

Reporting Owners 2

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