

3M CO  
Form 4  
May 01, 2014

**FORM 4**

**UNITED STATES SECURITIES AND EXCHANGE COMMISSION  
Washington, D.C. 20549**

OMB APPROVAL

OMB Number: 3235-0287  
Expires: January 31, 2015  
Estimated average burden hours per response... 0.5

Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).

**STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES**

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting Person \*  
PALENSKY FRED J

(Last) (First) (Middle)  
3M CENTER  
(Street)

ST. PAUL, MN 55144-1000

(City) (State) (Zip)

2. Issuer Name and Ticker or Trading Symbol  
3M CO [MMM]

3. Date of Earliest Transaction (Month/Day/Year)  
04/30/2014

4. If Amendment, Date Original Filed (Month/Day/Year)

5. Relationship of Reporting Person(s) to Issuer

(Check all applicable)

\_\_\_ Director \_\_\_ 10% Owner  
 Officer (give title below) \_\_\_ Other (specify below)  
EXEC VP R&D & CHF TECH OFF

6. Individual or Joint/Group Filing (Check Applicable Line)  
 Form filed by One Reporting Person  
\_\_\_ Form filed by More than One Reporting Person

**Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned**

1. Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transaction Code (Instr. 8)	4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5)	5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)
			Code	V	Amount	(A) or (D)	Price
Common Stock	04/30/2014		S		1,226	D	\$ 139.09
Common Stock	04/30/2014		S		400	D	\$ 139.1
Common Stock	04/30/2014		S		900	D	\$ 139.11
Common Stock	04/30/2014		S		702	D	\$ 139.12
Common Stock	04/30/2014		S		817	D	\$ 139.13
Common Stock	04/30/2014		S		32,491	D	
Common Stock	04/30/2014		S		32,091	D	
Common Stock	04/30/2014		S		31,191	D	
Common Stock	04/30/2014		S		30,489	D	
Common Stock	04/30/2014		S		29,672	D	

Edgar Filing: 3M CO - Form 4

Common Stock	04/30/2014	S	144	D	\$ 139.14	29,528	D
Common Stock	04/30/2014	S	356	D	\$ 139.15	29,172	D
Common Stock	04/30/2014	S	100	D	\$ 139.16	29,072	D
Common Stock	04/30/2014	M	52,000	A	\$ 87.35	81,072	D
Common Stock	04/30/2014	S	297	D	\$ 138.94	80,775	D
Common Stock	04/30/2014	S	300	D	\$ 138.95	80,475	D
Common Stock	04/30/2014	S	2,188	D	\$ 138.96	78,287	D
Common Stock	04/30/2014	S	2,915	D	\$ 138.97	75,372	D
Common Stock	04/30/2014	S	700	D	\$ 138.98	74,672	D
Common Stock	04/30/2014	S	600	D	\$ 138.985	74,072	D
Common Stock	04/30/2014	S	166	D	\$ 138.99	73,906	D
Common Stock	04/30/2014	S	386	D	\$ 139	73,520	D
Common Stock	04/30/2014	S	5,913	D	\$ 139.01	67,607	D
Common Stock	04/30/2014	S	3,758	D	\$ 139.02	63,849	D
Common Stock	04/30/2014	S	1,200	D	\$ 139.0207	62,649	D
Common Stock	04/30/2014	S	1,190	D	\$ 139.03	61,459	D
Common Stock	04/30/2014	S	300	D	\$ 139.04	61,159	D
Common Stock	04/30/2014	S	200	D	\$ 139.05	60,959	D
Common Stock	04/30/2014	S	225	D	\$ 139.06	60,734	D
Common Stock	04/30/2014	S	1,025	D	\$ 139.07	59,709	D
	04/30/2014	S	2,400	D		57,309	D

Edgar Filing: 3M CO - Form 4

Common Stock					\$	139.0707	
Common Stock	04/30/2014	S	1,859	D	\$ 139.08	55,450	D
Common Stock	04/30/2014	S	2,199	D	\$ 139.09	53,251	D

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

**Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.**

SEC 1474  
(9-02)

**Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned**  
*(e.g., puts, calls, warrants, options, convertible securities)*

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transaction Code (Instr. 8)	5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	6. Date Exercisable and Expiration Date (Month/Day/Year)	7. Title and Amount of Underlying Security (Instr. 3 and 4)
Non-qualified Stock Option (Right to Buy)	\$ 87.35	04/30/2014		M	52,000	05/09/2007 05/09/2016	Common Stock 52,000

## Reporting Owners

Reporting Owner Name / Address	Relationships			
	Director	10% Owner	Officer	Other
PALENSKY FRED J 3M CENTER ST. PAUL, MN 55144-1000			EXEC VP R&D & CHF TECH OFF	

## Signatures

George Ann Biros, attorney-in-fact for Fred J. Palensky

05/01/2014

        \*\*Signature of Reporting Person

        Date

## Explanation of Responses:

- \* If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. *See* 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

### Remarks:

Form 4 filing 1 of 2

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure.  
Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.