HUBER DANA L. Form 4 September 01, 2017

FORM 4

UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF

OMB APPROVAL OMB

3235-0287 Number: January 31, Expires:

2005 Estimated average

0.5

burden hours per response...

Check this box if no longer subject to Section 16. Form 4 or Form 5

SECURITIES Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, obligations Section 17(a) of the Public Utility Holding Company Act of 1935 or Section

may continue. 30(h) of the Investment Company Act of 1940 See Instruction

1(b).

(Print or Type Responses)

1. Name and Ad HUBER DA	•	ting Person *	2. Issuer Name and Ticker or Trading Symbol	5. Relationship of Reporting Person(s) to Issuer		
			FIRST CAPITAL INC [FCAP]	(Check all applicable)		
(Last)	(First)	(Middle)	3. Date of Earliest Transaction			
220 FEDER	AL DRIVE		(Month/Day/Year) 08/30/2017	_X_ Director 10% Owner Officer (give title below) Other (specification)		
	(Street)		4. If Amendment, Date Original Filed(Month/Day/Year)	6. Individual or Joint/Group Filing(Check Applicable Line) _X_Form filed by One Reporting Person		
CORYDON, IN 47112				Form filed by More than One Reporting Person		

(City)	(State)	(Zip) Tab	le I - Non-	Derivative Securities Acqui	red, Disposed of,	or Beneficiall	y Owned
1.Title of Security (Instr. 3)	2. Transaction Date 2A. Deemed (Month/Day/Year) Execution Date, if any (Month/Day/Year)		3. Transaction Code (Instr. 8)	4. Securities Acquired (A) oner Disposed of (D) (Instr. 3, 4 and 5)	5. Amount of Securities Beneficially Owned	6. Ownership Form: Direct (D)	7. Nature of Indirect Beneficial Ownership
		,	Code V	(A) or Amount (D) Price	Following Reported Transaction(s) (Instr. 3 and 4)	or Indirect (I) (Instr. 4)	(Instr. 4)
Common Stock	08/30/2017		P	1,000 A \$ 33.5398	5,200	D	

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of SEC 1474 information contained in this form are not (9-02)required to respond unless the form displays a currently valid OMB control number.

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

Edgar Filing: HUBER DANA L. - Form 4

1.	Title of	2.	3. Transaction Date	3A. Deemed	4.	5.	6. Date Exer		7. Titl	e and	8. Price of	9. Nu
De	erivative	Conversion	(Month/Day/Year)	Execution Date, if	Transact	iorNumber	 Expiration D 	Date	Amou	nt of	Derivative	Deriv
Se	curity	or Exercise	•	any	Code	of	(Month/Day	/Year)	Under	lying	Security	Secui
(Ir	str. 3)	Price of		(Month/Day/Year)	(Instr. 8)	Derivati	ive		Securi	ities	(Instr. 5)	Bene
		Derivative				Securiti	es		(Instr.	3 and 4)		Owne
		Security				Acquire	d					Follo
						(A) or						Repo
						Dispose	d					Trans
						of (D)						(Instr
						(Instr. 3	,					
						4, and 5)					
					Code V	(A) (D) Date	Expiration	Title	Amount		
					Code	(11) (12)	Exercisable	*	11110			
							Exercisable	Date		or		
										Number		
										of		
										Shares		

Reporting Owners

Reporting Owner Name / Address	Relationships						
· · · · · · · · · · · · · · · · · · ·	Director	10% Owner	Officer	Other			
HUBER DANA L.							
220 FEDERAL DRIVE	X						
CORYDON, IN 47112							

Signatures

/s/ William W. Harrod, by power of attorney

**Signature of Reporting Person Date

Explanation of Responses:

- * If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

Reporting Owners 2