Energous Corp Form 3 August 19, 2016

FORM 3

UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

OMB APPROVAL

OMB Number:

3235-0104

Expires:

response...

January 31, 2005

0.5

Estimated average burden hours per

INITIAL STATEMENT OF BENEFICIAL OWNERSHIP OF **SECURITIES**

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting

Person *

Statement

2. Date of Event Requiring 3. Issuer Name and Ticker or Trading Symbol

Energous Corp [WATT]

 ASCEND LEGEND MASTER FUND, LTD.

(Month/Day/Year) 08/09/2016

(Last)

(Instr. 4)

(First)

(Middle)

4. Relationship of Reporting Person(s) to Issuer

5. If Amendment, Date Original

Filed(Month/Day/Year)

4 ORINDA WAY, SUITE 200-C

(Street)

(Check all applicable)

6. Individual or Joint/Group

10% Owner _X__ Other

Filing(Check Applicable Line) _ Form filed by One Reporting

Person

X Form filed by More than One Reporting Person

ORINDA, Â CAÂ 94563

(give title below) (specify below) Member of 10% Owner Group

Director

Officer

Table I - Non-Derivative Securities Beneficially Owned

(City) (State)

(Zip) 1. Title of Security

2. Amount of Securities Beneficially Owned Ownership

4. Nature of Indirect Beneficial Ownership

Form: Direct (D) or Indirect (I)

(Instr. 5)

(Instr. 5)

D (1) (2) (3) Â Common Stock 1,618,123

(Instr. 4)

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

SEC 1473 (7-02)

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

Table II - Derivative Securities Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security

(Instr. 4)

2. Date Exercisable and **Expiration Date** (Month/Day/Year)

3. Title and Amount of Securities Underlying **Derivative Security** (Instr. 4)

Conversion or Exercise Price of Derivative

Ownership Form of Derivative Security:

6. Nature of Indirect Beneficial Ownership (Instr. 5)

Title

Direct (D) Security

Edgar Filing: Energous Corp - Form 3

Date Expiration Amount or or Indirect Exercisable Date Number of (I) Shares (Instr. 5)

Reporting Owners

Reporting Owner Name / Address	Relationships			
	Director	10% Owner	Officer	Other
ASCEND LEGEND MASTER FUND, LTD. 4 ORINDA WAY, SUITE 200-C ORINDA, CA 94563	Â	Â	Â	Member of 10% Owner Group
ASCEND LEGEND FUND, LTD. 4 ORINDA WAY, SUITE 200-C ORINDA, CA 94563	Â	Â	Â	Member of 10% Owner Group
ASCEND PARTNERS FUND I, LTD. 4 ORINDA WAY, SUITE 200-C ORINDA, CA 94563	Â	Â	Â	Member of 10% Owner Group
ASCEND CAPITAL, LLC 4 ORINDA WAY, SUITE 200-C ORINDA, CA 94563	Â	Â	Â	Member of 10% Owner Group
Ascend Capital Limited Partnership 4 ORINDA WAY, SUITE 200-C ORINDA, CA 94563	Â	Â	Â	Member of 10% Owner Group

Signatures

ASCEND LEGEND MASTER FUND, LTD. By: Name: Malcolm Fairbairn Title: Director		
**Signature of Reporting Person	Date	
ASCEND LEGEND FUND, LTD. By: Name: Malcolm Fairbairn Title: Director	08/19/2016	
**Signature of Reporting Person	Date	
ASCEND PARTNERS FUND I, LTD. By: Name: Malcolm Fairbairn Title: Director	08/19/2016	
**Signature of Reporting Person	Date	
ASCEND CAPITAL, LLC By: Name: Malcolm Fairbairn Title: Managing Member	08/19/2016	
**Signature of Reporting Person	Date	
ASCEND CAPITAL LIMITED PARTNERSHIP By: Ascend Capital, LLC, its general partner By: Name: Malcolm Fairbairn Title: Managing Member	08/19/2016	
**Signature of Reporting Person	Date	

Explanation of Responses:

- * If the form is filed by more than one reporting person, see Instruction 5(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Ascend Legend Master Fund, Ltd., Ascend Legend Fund, Ltd., Ascend Partners Fund I, Ltd., Ascend Capital Limited Partnership and Ascend Capital, LLC are members of a 10% owner group of Energous Corporation (the "Issuer"), the other members of which are Malcolm Fairbairn, Emily Fairbairn, Valley High Limited Partnership, Valley High Capital, LLC, Nina Fairbairn Irrevocable Trust September 30, 2011 and Grant Fairbairn Irrevocable Trust September 30, 2011. Please see the Form 3 with respect to the Issuer filed on August 19, 2016, by Malcolm Fairbairn for more information regarding the holdings of Malcolm Fairbairn, Emily Fairbairn, Valley High

Reporting Owners 2

Edgar Filing: Energous Corp - Form 3

Limited Partnership, Valley High Capital LLC, Nina Fairbairn Irrevocable Trust September 30, 2011 and Grant Fairbairn Irrevocable Trust September 30, 2011.

- Ascend Legend Master Fund, Ltd. is the direct beneficial owner of the shares of common stock (the "Shares"). Ascend Legend Fund, Ltd. serves as a feeder fund to, and the sole shareholder of, Ascend Legend Master Fund, Ltd. Ascend Partners Fund I, Ltd. is the sole shareholder of Ascend Legend Fund, Ltd. Ascend Capital Limited Partnership is the investment adviser to Ascend Partners Fund I, Ltd.,
- (2) Ascend Legend Fund, Ltd. Ascend Capital Limited Partnership is the investment adviser to Ascend Partners Fund I, Ltd., Ascend Legend Fund, Ltd. and Ascend Legend Master Fund, Ltd. Ascend Capital, LLC is the general partner of Ascend Capital Limited Partnership. Malcolm Fairbairn is the managing member of Ascend Capital, LLC. Ascend Legend Fund, Ltd., Ascend Capital Limited Partnership, Ascend Capital, LLC and Malcolm Fairbairn are indirect beneficial owners of the Shares.
- (3) Malcolm Fairbairn has filed a separate Form 3 which also reflects these Shares, filed on August 19, 2016.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *See* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.