

Edgar Filing: ALLIANT ENERGY CORP - Form U-9C-3

ALLIANT ENERGY CORP
Form U-9C-3
November 22, 2002

UNITED STATES
SECURITIES AND EXCHANGE COMMISSION
Washington, D.C. 20549

FORM U-9C-3

QUARTERLY REPORT

For the quarter ended September 30, 2002

Filed Pursuant to Rule 58 of the Public Utility Holding Company
Act of 1935

ALLIANT ENERGY CORPORATION
4902 N. Biltmore Lane
Madison, Wisconsin 53718-2132
608-458-3311

ALLIANT ENERGY CORPORATION
FORM U-9C-3
For the Quarter Ended September 30, 2002

CONTENTS

Edgar Filing: ALLIANT ENERGY CORP - Form U-9C-3

ITEM 1 - Organization Chart	3
ITEM 2 - Issuances and Renewals of Securities and Capital Contributions	5
ITEM 3 - Associate Transactions	6
ITEM 4 - Summary of Aggregate Investment	7
ITEM 5 - Other Investments	8
ITEM 6 - Financial Statements and Exhibits	8
SIGNATURES	8
EXHIBIT A	9

2

ITEM 1 - ORGANIZATION CHART
(As of September 30, 2002)

Name of Company (Organization Chart Hierarchy) (Inactive companies denoted with (*)) (Reporting companies denoted with (**))	Energy- or Gas- Related Company	Date of Organization	State of Organiza
Alliant Energy Corporation	N/A	N/A	N/A
Wisconsin Power and Light Company	N/A	N/A	N/A
Dais Analytic Corporation (**)	Energy	4/19/93	New York
Alliant Energy Resources, Inc.	N/A	N/A	N/A
ADI Thermal Power, Corp. (**)	Energy	8/11/97	Washington
American Superconductor Corporation (**)	Energy	4/9/87	Delaware
AnAerobics, Inc. (**) (NEW)	Energy	5/8/96	Delaware
AstroPower, Inc. (**)	Energy	4/28/89	Delaware
CellTech Power, Inc. (**)	Energy	5/1/00	Massachusetts
Nth Power Technologies Fund II, LP (**)	Energy	2/25/00	California
Proton Energy Systems, Inc. (**) (Also owned by AER Holding Company)	Energy	8/16/96	Delaware
SmartEnergy, Inc. (**)	Energy	11/3/00	Delaware
STM Power, Inc. (**) (NEW)	Energy	4/1/93	Michigan
AER Holding Company	N/A	N/A	N/A
Henwood Energy Services, Inc. (**)	Energy	8/9/85	California

Edgar Filing: ALLIANT ENERGY CORP - Form U-9C-3

Proton Energy Systems, Inc. (**) (Also owned by Alliant Energy Resources, Inc.)	Energy	8/16/96	Delaware
Alliant Energy Integrated Services Company	N/A	N/A	N/A
Industrial Energy Applications, Inc. (**)	Energy	9/22/83	Iowa
BFC Gas Company LC (**)	Energy	12/7/95	Iowa
Energys, Inc. (**)	Energy	6/25/84	Wisconsin
RMT, Inc. (**)	Energy	7/29/83	Wisconsin
Residuals Management Technology, Inc., Ohio (**)	Energy	1/22/97	Ohio
RMT North Carolina, Inc. (**)	Energy	4/6/69	North Carolina
RMT, Inc., Michigan (**)	Energy	12/15/95	Michigan
RMT International, Inc. (**)	Energy	10/9/97	Wisconsin
Heartland Energy Group, Inc. (**)	Energy	6/1/95	Wisconsin
Industrial Energy Applications Delaware Inc.	N/A	N/A	N/A
Oak Hill Pipeline LP (**)	Energy	4/1/97	Texas
Alliant South Texas Pipeline, LP (**) (Also owned by Alliant Energy Field Services, LLC)	Energy	4/20/99	Texas
Alliant Energy Desdemona, LP (**) (Also owned by Alliant Energy Field Services, LLC)	Energy	2/14/00	Delaware
Bastian Bay Pipeline, LP (**) (Also owned by Alliant Energy Field Services, LLC)	Energy	8/31/00	Texas
Alliant Energy Field Services, LLC	N/A	N/A	N/A
Alliant South Texas Pipeline, LP (**) (Also owned by Industrial Energy Applications Delaware Inc.)	Energy	4/20/99	Texas
3			
Alliant Energy Desdemona, LP (**) (Also owned by Industrial Energy Applications Delaware Inc.)	Energy	2/14/00	Delaware
Bastian Bay Pipeline, LP (**) (Also owned by Industrial Energy Applications Delaware Inc.)	Energy	8/31/00	Texas
NG Energy Trading, LLC (**)	Energy	9/26/00	Oklahoma
Alliant Energy Integrated Services -			

Edgar Filing: ALLIANT ENERGY CORP - Form U-9C-3

Energy Solutions LLC (**)	Energy	1/1/01	Iowa
Schedin & Associates, Inc. (*) (**)	Energy	6/22/82	Minnesota
SVBK Consulting Group, Inc. (*) (**)	Energy	7/2/85	Florida
Alliant Energy Integrated Services - Energy Management LLC (**)	Energy	1/1/01	Iowa
Energy Performance Services, Inc. (**)	Energy	12/17/93	Pennsylvania
Cogenex Corporation (**)	Energy	9/26/83	Massachusetts
APS Cogenex LLC (**)	Energy	9/29/95	Delaware
Energy Capital and Services I, LP (**)	Energy	5/4/90	Massachusetts
Energy Capital and Services II, LP (**)	Energy	11/13/90	Massachusetts
Cogenex West Corporation (**)	Energy	9/26/83	Massachusetts
EUA Cogenex-Canada Inc. (**)	Energy	10/11/94	Canada
EUA Cogenex-Canada Energy Services, Inc. (**)	Energy	12/16/97	Canada
AGRA Cogenex Joint Venture (**)	Energy	7/8/97	Canada
Northeast Energy Management, Inc. (**)	Energy	1/7/94	Massachusetts
Alliant Energy Investments, Inc.	N/A	N/A	N/A
Heartland Energy Services, Inc.	N/A	N/A	N/A
ReGENco LLC (**)	Energy	4/19/99	Wisconsin
Alliant Energy Synfuel LLC	N/A	N/A	N/A
Kaufman & Broad-Nexgen LLC	N/A	N/A	N/A
West Virginia Synfuel LP	N/A	N/A	N/A
Alpha Synfuel LLC	N/A	N/A	N/A
New River Synfuel LLC (**)	Energy	10/14/99	Colorado
Alliant Energy Transportation, Inc.	N/A	N/A	N/A
Williams Bulk Transfer Inc. (**)	Energy	4/27/99	Iowa

N/A - Not applicable

(a) The following abbreviations were used:

Abbreviation	Nature of Business
(i)	Energy management services
(ii)	Development and commercialization of innovative electro technologies

Edgar Filing: ALLIANT ENERGY CORP - Form U-9C-3

(v) - 1	Brokering and marketing of energy commodities - natural gas
(v) - 2	Brokering and marketing of energy commodities - electricity
(v) - 3	Brokering and marketing of energy commodities - natural gas and electricity
(vi)	Production of alternative fuels
(vii) - 1	Environmental licensing, testing, and remediation services
(vii) - 2	Integrated turbine and generator services
(ix) - 1	Fuel transportation facilities services
(ix) - 2	Fuel handling and storage facilities services
(ix) - 3	Waste water treatment

4

ITEM 2 - ISSUANCES AND RENEWALS OF SECURITIES AND CAPITAL CONTRIBUTIONS:
Investment level at September 30, 2002

Company Issuing Security	Type of Security Issued	Principal Amount of Security (in thousands)	
ADI Thermal Power, Corp.	Common stock	\$200	Alliant Ene
Alliant Energy Desdemona, LP	Partnership capital	\$1,569	Industrial
	Partnership capital	\$1	Alliant Ene
Alliant Energy Integrated Services - Energy Management LLC	Money pool borrowings	\$12,889	Alliant Ene
Alliant Energy Integrated Services - Energy Solutions LLC	Money pool borrowings	\$4,970	Alliant Ene
Alliant South Texas Pipeline, LP	Partnership capital	\$6,140	Industrial
	Partnership capital	\$9	Alliant Ene
American Superconductor Corporation	Common stock	\$963	Alliant Ene
AnAerobics, Inc.	Preferred stock	\$1,333	Alliant Ene
AstroPower, Inc.	Common stock	\$497	Alliant Ene
BFC Gas Company LC	Capital	\$2,109	Industrial
CellTech Power, Inc.	Series A preferred stock	\$252	Alliant Ene
Cogenex Corporation (Incl. subsidiaries)	Money pool borrowings	\$72,652	Alliant Ene
Dais Analytic Corporation	Common stock	\$90	Wisconsin P
Energys, Inc.	Common stock	\$8,746	Industrial
	Money pool borrowings	\$777	Alliant Ene
Energy Performance Services, Inc.	Money pool borrowings	\$17,158	Alliant Ene
Heartland Energy Group, Inc.	Common stock	\$5,011	Alliant Ene
	Money pool borrowings	\$21,096	Alliant Ene
Henwood Energy Services, Inc.	Common stock	\$1,342	AER Holding
Industrial Energy Applications, Inc.	Common stock	\$21,060	Alliant Ene
	Money pool borrowings	\$26,646	Alliant Ene
New River Synfuel LLC	Capital	\$4,046	Alpha Synfu
NG Energy Trading, LLC	Capital	\$2,250	Heartland E
Nth Power Technologies Fund II, LP	Partnership capital	\$5,500	Alliant Ene
Oak Hill Pipeline LP	Partnership capital	\$2,279	Industrial
Proton Energy Systems, Inc.	Common stock	\$499	Alliant Ene
	Common stock	\$22	AER Holding
ReGENco LLC	Class A units	\$1,083	Heartland E
	Class B units	\$667	Heartland E
RMT, Inc. (Incl. subsidiaries)	Common stock	\$11,822	Alliant Ene
SmartEnergy, Inc.	Common stock	\$15,000	Alliant Ene
	Bridge loan	\$21,795	Alliant Ene
STM Power, Inc.	Preferred stock	\$2,500	Alliant Ene

Edgar Filing: ALLIANT ENERGY CORP - Form U-9C-3

Williams Bulk Transfer Inc.	Common stock	\$1	Alliant Ene
	Money pool borrowings	\$4,807	Alliant Ene

(1) Associate companies.

5

ITEM 3 - ASSOCIATE TRANSACTIONS
For the Quarter Ended September 30, 2002

Part I -- Transactions performed by reporting companies on behalf of associate companies (a):

Reporting Company Rendering Services	Associate Company Receiving Services	Types of Services Rendered	Direct Costs Charged (in thousands)	Indirect Costs Charged (in thousands)	Co C
RMT	WP&L	Environmental consulting	\$449	\$-	
RMT	IEA	Environmental consulting	35	-	
RMT	IP&L	Environmental consulting	120	-	
RMT	Resources	Environmental consulting	42	-	
RMT	Barge	Environmental consulting	3	-	
RMT	AE Generation	Environmental consulting	1	-	
HES	WP&L	Software license	22	-	

Part II -- Transactions performed by associate companies on behalf of reporting companies (a):

Associate Company Rendering Services	Reporting Company Receiving Services	Types of Services Rendered	Direct Costs Charged (in thousands)	Indirect Costs Charged (in thousands)	(i
RMT	IEA	Environmental consulting	\$35	\$-	

(a) The following abbreviations were used:

Abbreviation	Legal Name	Abbreviation	Legal Name
AE Generation	Alliant Energy Generation, Inc.	IP&L	Interstate
Barge	IEI Barge Services, Inc.	Resources	Alliant Ene
HES	Henwood Energy Services, Inc.	RMT	RMT, Inc.

Edgar Filing: ALLIANT ENERGY CORP - Form U-9C-3

IEA

Industrial Energy Applications, Inc.

WP&L

Wisconsin P

6

ITEM 4 - SUMMARY OF AGGREGATE INVESTMENT
(Dollars in thousands)

Investments in energy-related companies:

Total consolidated capitalization as of September 30, 2002 (a)	\$4,982,
Total capitalization multiplied by 15% (Line 1 multiplied by 0.15)	747,
Greater of \$50 million or total capitalization multiplied by 15% (Line 2)	747,
Total current aggregate investment subsequent to April 21, 1998 (categorized by major line of energy-related business):	
Energy-related business category i	117,
Energy-related business category ii	15,
Energy-related business category iii	
Energy-related business category iv	
Energy-related business category v	61,
Energy-related business category vi	12,
Energy-related business category vii	2,
Energy-related business category viii	240,
Energy-related business category ix	14,
Energy-related business category x	
Total current aggregate investment	464,
Difference between the greater of \$50 million or 15% of capitalization and the total aggregate investment of the registered holding company system (Line 3 less Line 4)	\$282,

Investments in gas-related companies:

Total current aggregate investment (categorized by major line of gas-related business):	
Gas-related business category i	\$-
Gas-related business category ii	-
Total current aggregate investment	\$-

(a) Includes common equity, cumulative preferred stock of subsidiaries, long-term debt, current maturities and sinking funds and short-term debt

Edgar Filing: ALLIANT ENERGY CORP - Form U-9C-3

(variable rate demand bonds, commercial paper and other short-term borrowings).

(b) Represents \$128,875,000 of payments and \$111,358,000 of commitments for future payments required to purchase generation equipment that Alliant Energy intends to use to develop one or more qualifying facilities.

7

ITEM 5 - OTHER INVESTMENTS

(Dollars in thousands)

Major Line Of Energy-Related Business	Other Investment In Last U-9C-3 Report	Other Investment In This U-9C-3 Report	Reason In
Energy-related business category i*			
Henwood Energy Services, Inc.	\$1,342	\$1,342	No change.
Industrial Energy Applications, Inc.	47,133	47,133	No change.
Energy-related business category v*			
Cargill-Alliant, LLC	\$5,000	\$0	In the third quarter, Corporation sold Cargill-Alliant, LLC.
Heartland Energy Group, Inc.	3,289	3,289	No change.
Energy-related business category vi*			
BFC Gas Company LC	\$2,569	\$2,109	In the third quarter, made a distribution to Applications, Inc.
Energy-related business category vii*			
RMT, Inc. (including subsidiaries)	\$11,822	\$11,822	No change.
Energy-related business category ix*			
Oak Hill Pipeline LP	\$2,725	\$2,279	In the third quarter, made a distribution to Applications, Inc.

* Item 5 includes aggregate investments that were invested or committed to be invested in energy-related companies, prior to the date Rule 58 was effective for Alliant Energy Corporation (April 21, 1998 - Date Alliant Energy Corporation became a registered holding company), for which there is recourse, directly or indirectly, to Alliant Energy Corporation or any subsidiary thereof.

ITEM 6 - FINANCIAL STATEMENTS AND EXHIBITS

List all financial statements and exhibits filed as a part of this report.

A. FINANCIAL STATEMENTS:

Filed under confidential treatment pursuant to Rule 104 (b).

B. EXHIBITS:

Edgar Filing: ALLIANT ENERGY CORP - Form U-9C-3

1. Exhibit A - Certificate of Alliant Energy Corporation
2. Copies of contracts required by Item 3:
None

SIGNATURES

Pursuant to the requirements of the Public Utility Holding Company Act of 1935, Alliant Energy Corporation has duly caused this report to be signed on its behalf by the undersigned, thereunto duly authorized on the 22nd day of November 2002.

ALLIANT ENERGY CORPORATION

Registrant

By: /s/ John E. Kratchmer

John E. Kratchmer

Vice President-Controller and Chief Accountant
(Principal Accounting Officer)

8

Exhibit A

ALLIANT ENERGY CORPORATION
4902 N. Biltmore Lane, Madison, Wisconsin 53718-2132

CERTIFICATE

Pursuant to the requirements of Rule 58 of the Public Utility Holding Company Act of 1935, the undersigned certifies that a conformed copy of the quarterly report on Form U-9C-3 shall be filed with the interested state commissions promptly after filing with the SEC. The names and addresses of each state commission having jurisdiction over the retail rates of the public utility companies that are associate companies of any of the reporting companies are:

Iowa Utilities Board
350 Maple Street
Des Moines, Iowa 50319-0069

Illinois Commerce Commission
527 E. Capitol Avenue
Springfield, Illinois 62701

Minnesota Public Utilities Commission
121 7th Place E. Suite 350
St. Paul, MN 55101-2147

Public Service Commission of Wisconsin
610 North Whitney Way, P.O. Box 7854
Madison, Wisconsin 53707-7854

Edgar Filing: ALLIANT ENERGY CORP - Form U-9C-3

ALLIANT ENERGY CORPORATION

Registrant

By: /s/ John E. Kratchmer

John E. Kratchmer

Vice President–Controller and Chief Accounti
(Principal Accounting Officer)