

Edgar Filing: UNIVERSAL CORP /VA/ - Form 4

UNIVERSAL CORP /VA/
Form 4
March 02, 2001

UNITED STATES SECURITIES AND EXCHANGE COMMISSION
WASHINGTON, D.C. 20549
FORM 4

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP

() Check this box if no longer subject to Section 16.
Form 4 or Form 5 obligations may continue. See Instructions 1(b).

1. Name and Address of Reporting Person
KING, ALLEN B.
1501 NORTH HAMILTON STREET
RICHMOND, VA 23230
USA
2. Issuer Name and Ticker or Trading Symbol
UNIVERSAL CORPORATION
UVV
3. IRS or Social Security Number of Reporting Person (Voluntary)
4. Statement for Month/Year
02/01
5. If Amendment, Date of Original (Month/Year)
6. Relationship of Reporting Person(s) to Issuer (Check all applicable)
(X) Director () 10% Owner (X) Officer (give title below) () Other
(specify below)
PRESIDENT & COO
7. Individual or Joint/Group Filing (Check Applicable Line)
(X) Form filed by One Reporting Person
() Form filed by More than One Reporting Person

Table I -- Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

1. Title of Security	2. Transaction Date	3. Code	4. Securities Acquired (A) or Disposed of (D) Amount	A/D	Price	5. Amount of Securities Beneficially Owned at End of Month
COMMON STOCK	2/12/01	M	7,572	A	\$28.5625	
COMMON STOCK	2/12/01	S	6,238 (1)	D	\$34.672	
COMMON STOCK	2/12/01	S	640 (2)	D	\$34.672	694
COMMON STOCK	2/14/01	M	10,000	A	\$33.25	
COMMON STOCK	2/14/01	S	9,124 (1)	D	\$36.44	
COMMON STOCK	2/14/01	S	410 (2)	D	\$36.44	466
COMMON STOCK	2/20/01	M	14,371	A	\$35.3125	

Edgar Filing: UNIVERSAL CORP /VA/ - Form 4

COMMON STOCK	2/20/01	M		25,866	A	\$33.25		
COMMON STOCK	2/20/01	S		37,027(1)	D	\$36.93		
COMMON STOCK	3/20/01	S		1,501(2)	D	\$36.93	1,709	
COMMON STOCK							107,875	
COMMON STOCK							16,491	

						TOTAL	127,235	

Table II -- Derivative Securities Acquired, Disposed of, or Beneficially Owned

1. Title of Derivative Security	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date	4. Transaction Code	5. Number of Derivative Securities Acquired (A) or Disposed of (D)	6. Date Exercisable and Expiration Date	7. Title and Amount of Underlying Securities	8. Put or Call
OPTIONS TO BUY COMMON STOCK	28.5625	2/12/01	M	7,572	D 12/15/99 12/4/01	COMMON STOCK (4)	
OPTIONS TO BUY COMMON STOCK	33.25	2/14/01	M	10,000	D 6/15/99 12/4/01	COMMON STOCK (4)	
OPTIONS TO BUY COMMON STOCK	35.3125	2/20/01	M	14,371	D 12/15/98 12/4/01	COMMON STOCK (4)	
OPTIONS TO BUY COMMON STOCK	33.25	2/20/01	M	25,866	D 6/15/99 12/4/01	COMMON STOCK (4)	

Explanation of Responses:

(1) Sale of shares to pay the exercise price on a cashless exercise

(2) Sale of shares to pay the taxes on a cashless exercise

(3) shares held in the Stock Purchase Plan

(4) shares issued under the Executive Stock Plan

SIGNATURE OF REPORTING PERSON

ALLEN B. KING, SIGNATURE ON FILE, FILED ELECTRONICALLY

DATE

MARCH 2, 2001

